

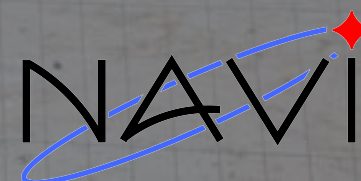
Microscopic calculations for structure and reactions of light nuclei

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Workshop on
Nuclear Astrophysics at the Dresden Felsenkeller
June 26-28, 2017

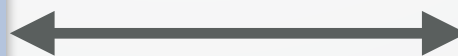
HZDR Rossendorf, Dresden, Germany



Our Aim:

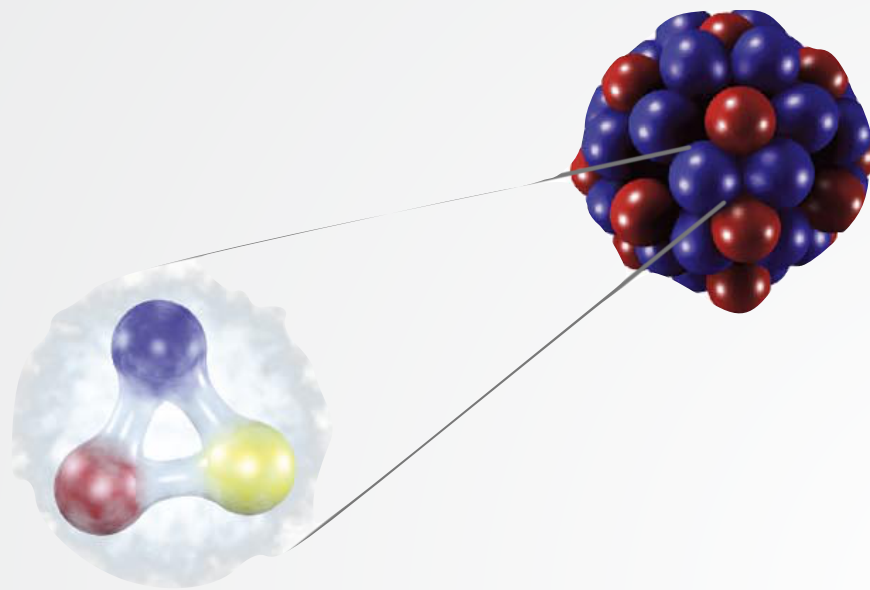
Solve the
nuclear many-body problem for
bound-states, resonances and scattering states
with realistic NN interactions

Many-Body Method

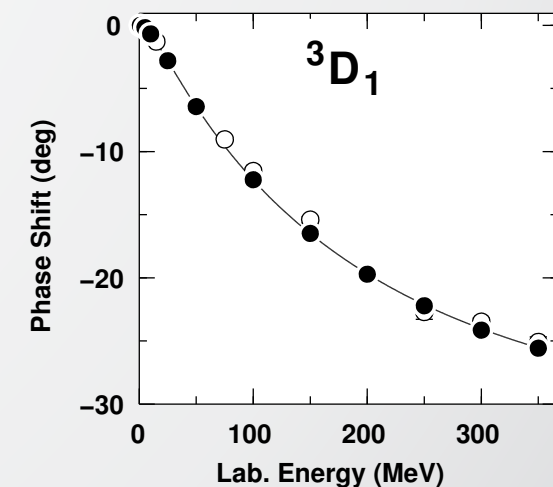
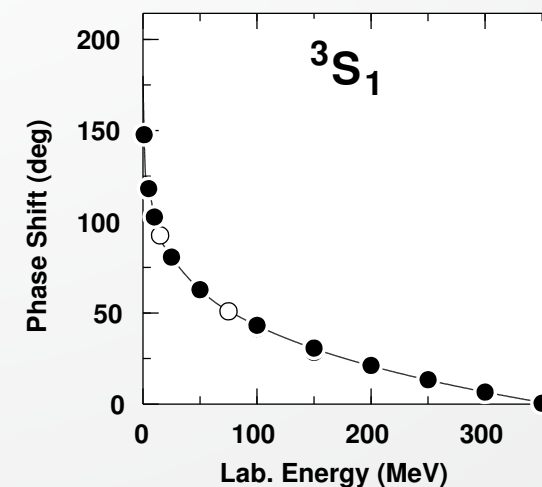
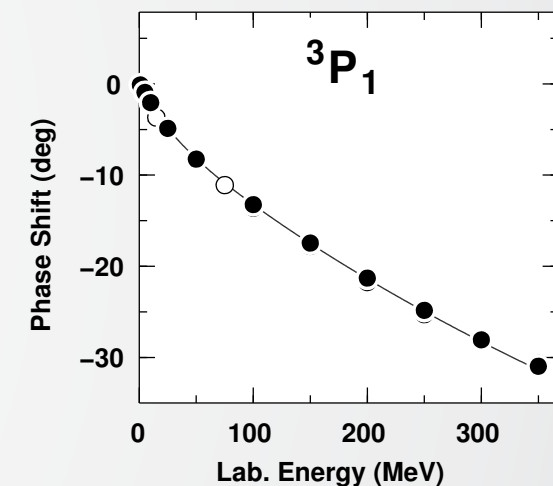
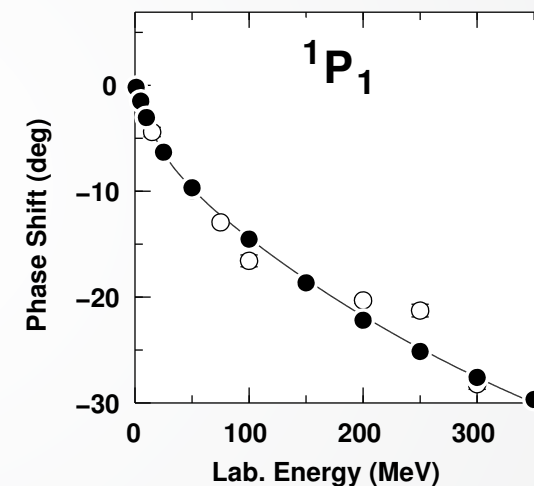
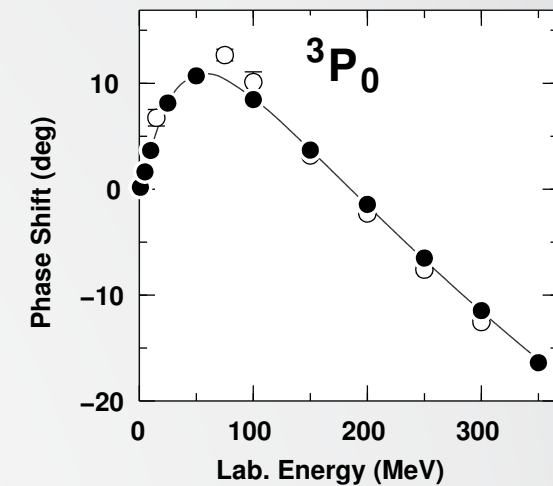
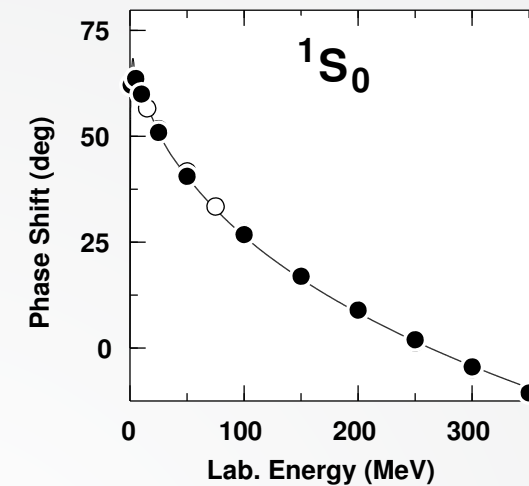


Effective Interaction

Nucleon-Nucleon Interaction

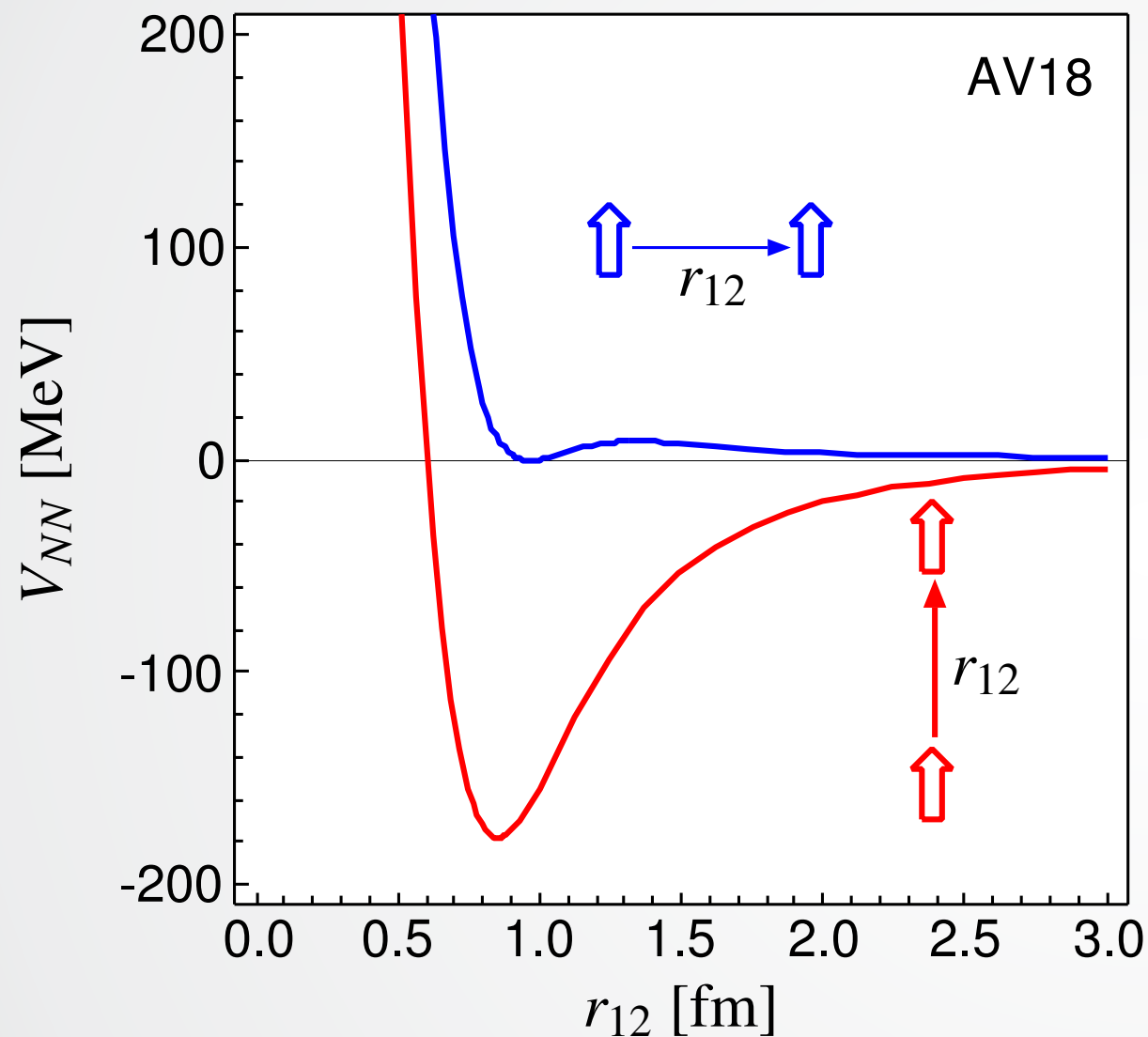


- Nucleons are not point-like, complicated quark and gluon sub-structure
- Nucleon-nucleon (NN) interaction: residual interaction
- Calculation within QCD not possible yet
→ construct **realistic NN potentials** ...
- describe two-nucleon properties (scattering, deuteron) with high accuracy
- high-momentum and off-shell behavior not constrained by scattering data



Nucleon-Nucleon Interaction

$S=1, T=0$



- **repulsive core**: nucleons can not get closer than ≈ 0.5 fm \rightarrow **central correlations**
- strong dependence on the orientation of the spins due to the **tensor force** (mainly from π -exchange) \rightarrow **tensor correlations**
- the nuclear force will induce strong short-range correlations in the nuclear wave function

$$\hat{S}_{12} = 3(\boldsymbol{\sigma}_1 \cdot \hat{\mathbf{r}}_{12})(\boldsymbol{\sigma}_2 \cdot \hat{\mathbf{r}}_{12}) - (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2)$$

Unitary Correlation Operator Method

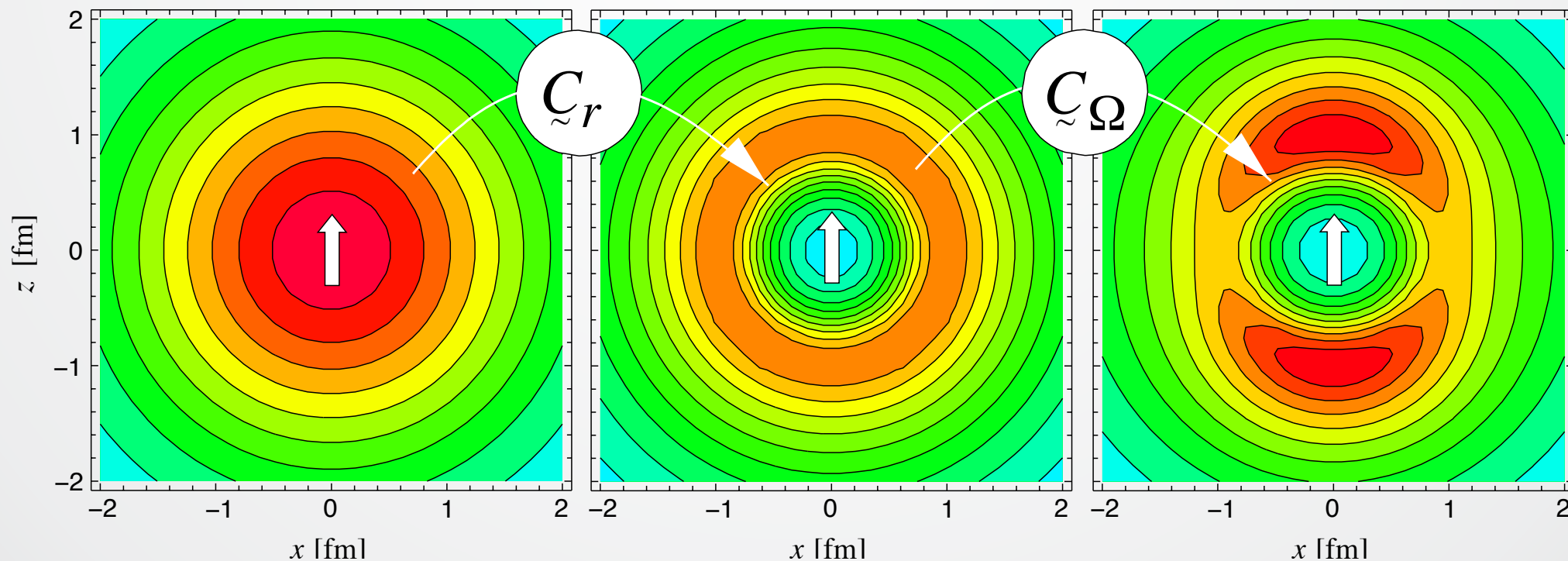
Correlation Operator

$$\hat{C} = \hat{C}_\Omega \hat{C}_r$$

Correlated Hamiltonian

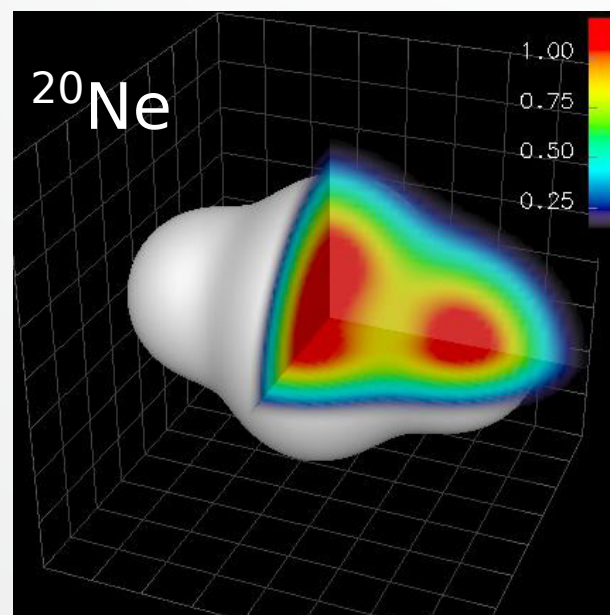
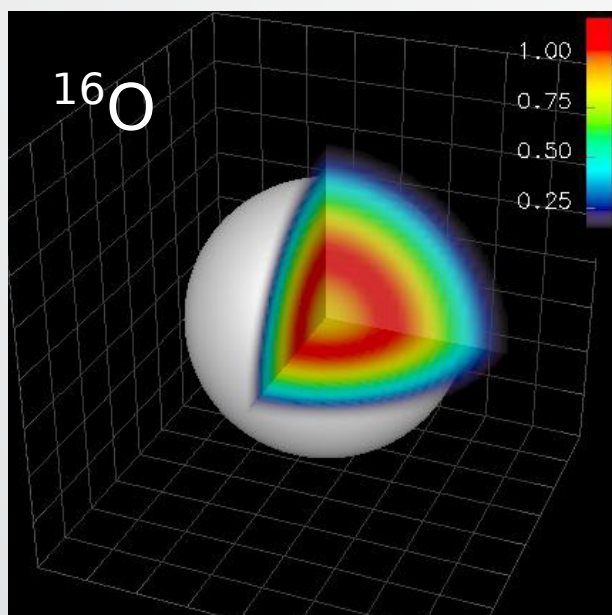
$$\hat{C}^\dagger (\hat{T} + \hat{V}) \hat{C} = \hat{T} + \hat{V}_{\text{UCOM}} + \dots$$

Central correlator shifts nucleons apart,
Tensor correlator aligns nucleons with spin



Fermionic Molecular Dynamics

Many-body Method using Gaussian wave-packet basis



Fermionic Molecular Dynamics

Fermionic

Intrinsic many-body states

$$|Q\rangle = \hat{A}\{|q_1\rangle \otimes \cdots \otimes |q_A\rangle\}$$

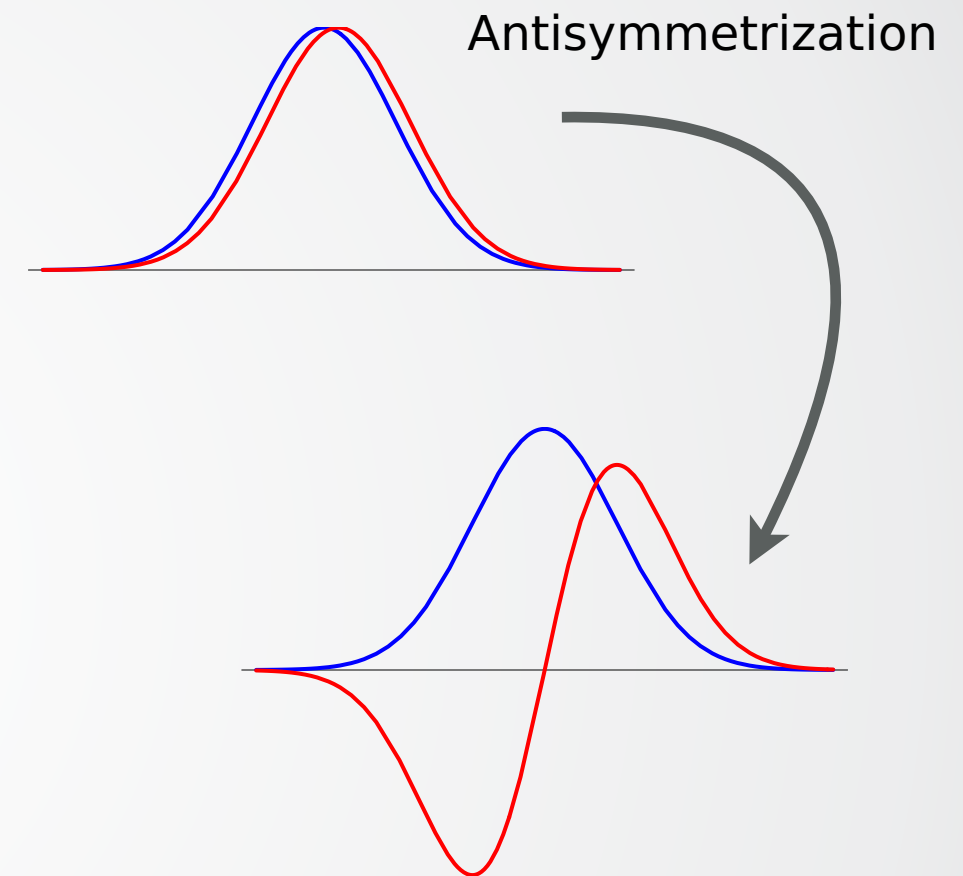
are antisymmetrized A-body states

Molecular

Single-particle states

$$\langle \mathbf{x} | q \rangle = \sum_i c_i \exp\left\{-\frac{(\mathbf{x} - \mathbf{b}_i)^2}{2a_i}\right\} \otimes |x_i^\uparrow, x_i^\downarrow\rangle \otimes |\xi\rangle$$

- Gaussian wave-packets in phase-space (complex parameter \mathbf{b}_i encodes mean position and mean momentum), spin is free, isospin is fixed
- width a_i is an independent variational parameter for each wave packet
- use one or two wave packets for each single particle state

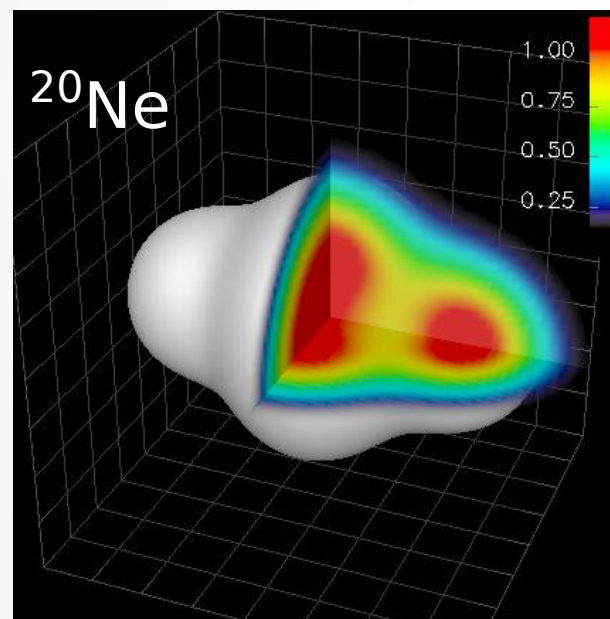
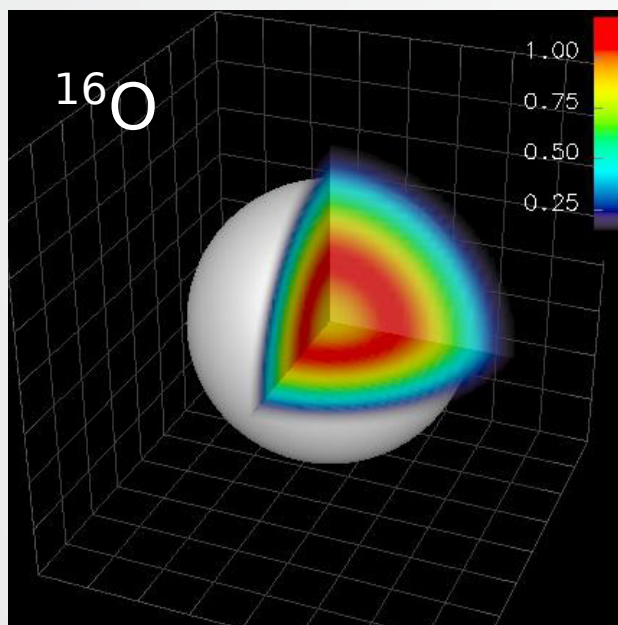


FMD basis contains
harmonic oscillator shell model
and **Brink-type cluster**
configurations as limiting cases

Projection after Variation

Variation and Projection

- minimize the energy of the intrinsic state
- intrinsic state may break symmetries of Hamiltonian
- restore inversion, translational and rotational symmetry by **projection on parity, angular (and linear) momentum**



Generator coordinates

- use generator coordinates (radii, quadrupole or octupole deformation, strength of spin-orbit force) to create additional basis states

Variation

$$\min_{\{q_v\}} \frac{\langle Q | \hat{H} - \hat{T}_{cm} | Q \rangle}{\langle Q | Q \rangle}$$

Projection

$$\hat{P}^{\pi} = \frac{1}{2} (1 + \pi \hat{\Pi})$$

$$\hat{P}^J_{MK} = \frac{2J+1}{8\pi^2} \int d^3\Omega D^J_{MK}{}^*(\Omega) \hat{R}(\Omega)$$

$$\hat{P}^{\mathbf{P}} = \frac{1}{(2\pi)^3} \int d^3X \exp\{-i(\hat{\mathbf{P}} - \mathbf{P}) \cdot \mathbf{X}\}$$

Variation after Projection

Variation after Projection

- Correlation energies can be quite large for well deformed and/or clustered states
- For light nuclei it is possible to perform real variation after projection
- Can be combined with generator coordinate method

Multiconfiguration Mixing

- Set of N intrinsic states optimized for different spins and parities and for different values of generator coordinates are used as basis states
- Diagonalize in set of projected basis states

Variation

$$\min_{\{q_v\}} \frac{\langle Q | \hat{H} - \hat{T}_{\text{cm}} | Q \rangle}{\langle Q | Q \rangle}$$

Variation after Projection

$$\min_{\{q_v, c^{\alpha_K}\}} \frac{\sum_{KK'} c^{\alpha_K} \langle Q | (\hat{H} - \hat{T}_{\text{cm}}) \hat{P}^{\pi} \hat{P}^J_{KK'} | Q \rangle c^{\alpha_{K'}}}{\sum_{KK'} c^{\alpha_K} \langle Q | \hat{P}^{\pi} \hat{P}^J_{KK'} | Q \rangle c^{\alpha_{K'}}}$$

(Intrinsic) Basis States

$$\{ |Q^{(a)}\rangle, a = 1, \dots, N \}$$

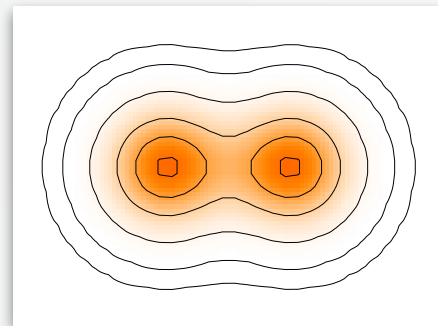
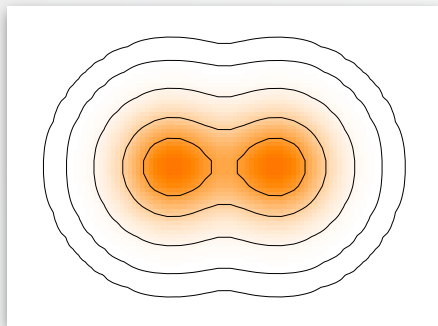
Generalized Eigenvalue Problem

$$\sum_{K'b} \underbrace{\langle Q^{(a)} | \hat{H} \hat{P}^{\pi} \hat{P}^J_{KK'} \hat{P}^{\mathbf{P}=0} | Q^{(b)} \rangle}_{\text{Hamiltonian kernel}} c^{\alpha_{K'b}} = E^{J^{\pi}\alpha} \sum_{K'b} \underbrace{\langle Q^{(a)} | \hat{P}^{\pi} \hat{P}^J_{KK'} \hat{P}^{\mathbf{P}=0} | Q^{(b)} \rangle}_{\text{norm kernel}} c^{\alpha_{K'b}}$$

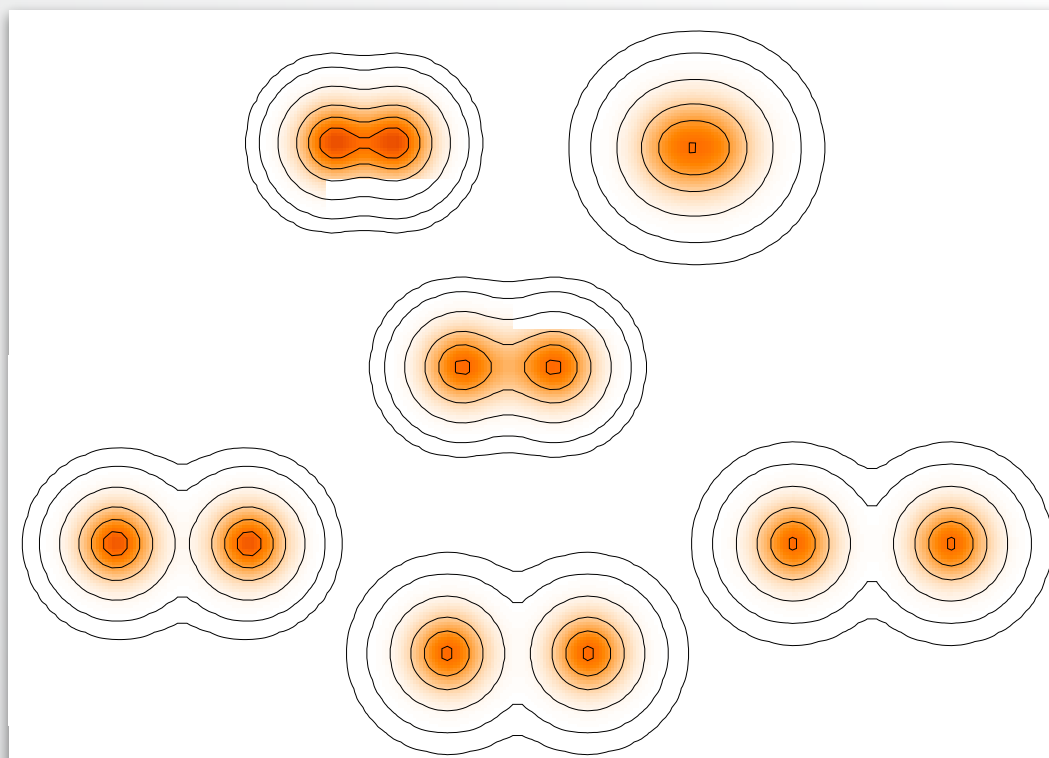
^8Be : PAV/VAP/Multiconfiguration Mixing

V/PAV

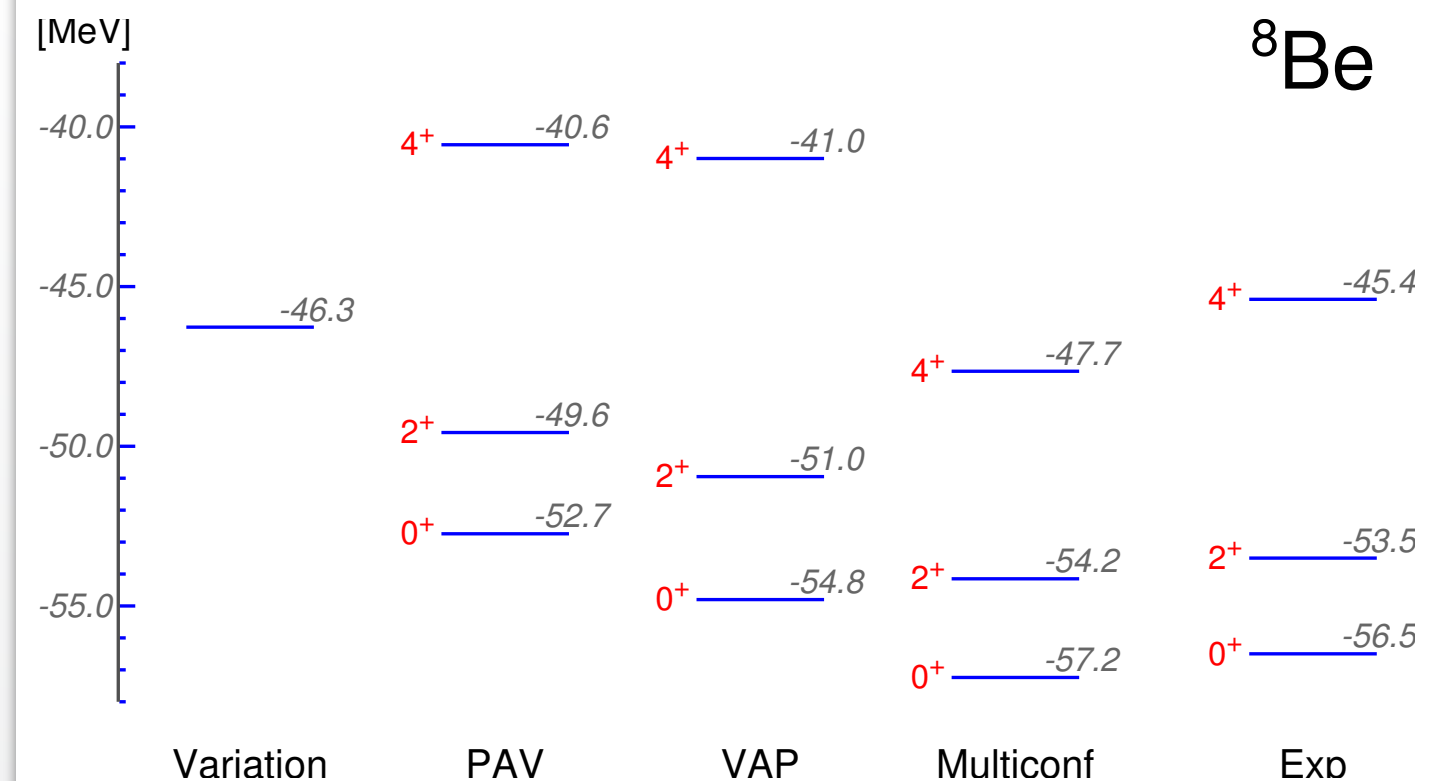
VAP



Multiconfiguration Mixing



	E_b [MeV]	r_{ch} [fm]	$B(E2)$ [$e^2 \text{ fm}^4$]
PAV	52.7	2.39	9.3
VAP	54.8	2.49	15.4
Multiconfig	57.2	2.74	30.4
Exp.	56.5		



$^3\text{He}(\alpha, \gamma)^7\text{Be}$ and $^3\text{H}(\alpha, \gamma)^7\text{Li}$ Radiative Capture

PRL **106**, 042502 (2011)

PHYSICAL REVIEW LETTERS

week ending
28 JANUARY 2011

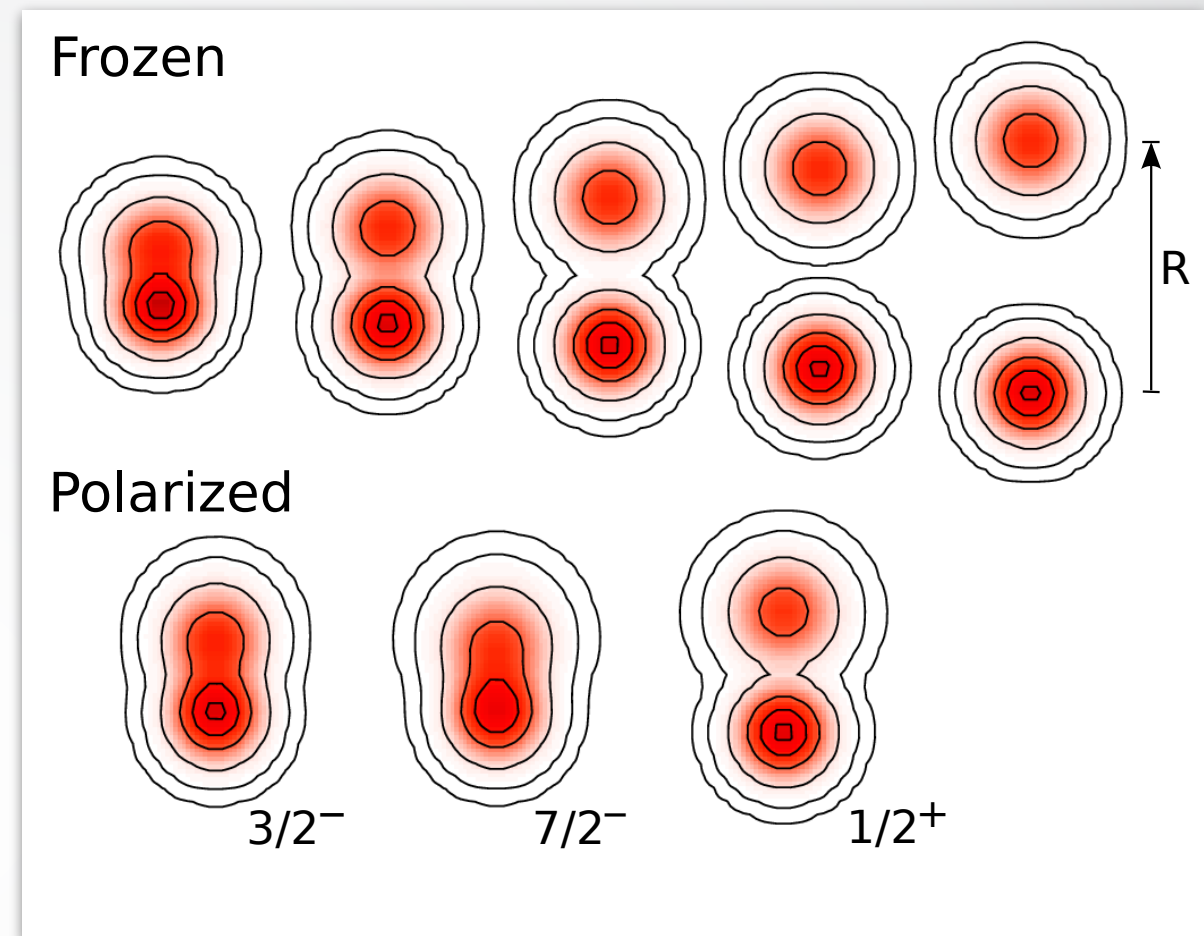
Microscopic Calculation of the $^3\text{He}(\alpha, \gamma)^7\text{Be}$ and $^3\text{H}(\alpha, \gamma)^7\text{Li}$ Capture Cross Sections Using Realistic Interactions

Thomas Neff*

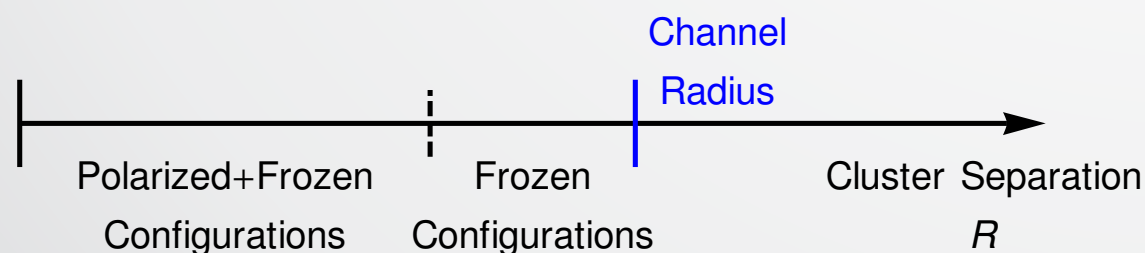
GSI Helmholtzzentrum für Schwerionenforschung GmbH, Planckstraße 1, 64291 Darmstadt, Germany
(Received 12 November 2010; published 25 January 2011)

FMD Basis States

- FMD wave functions use **Gaussian wave packets** as single-particle basis states
- Many-body basis states are Slater determinants projected on parity, angular momentum and total linear momentum
- FMD basis contains both harmonic oscillator and Brink-type cluster wave functions as special cases
- a realistic low-momentum interaction is obtained from the Argonne v_{18} interaction by the Unitary Correlation Operator Method in two-body approximation



- **Polarized** configurations are obtained by **variation after projection** for all spins and parities
- **Frozen** configurations are generated from ^4He and ^3He ground states
- at the channel radius many-body wave functions are matched to Whittaker and Coulomb solutions for point-like clusters with the ***R*-matrix** method



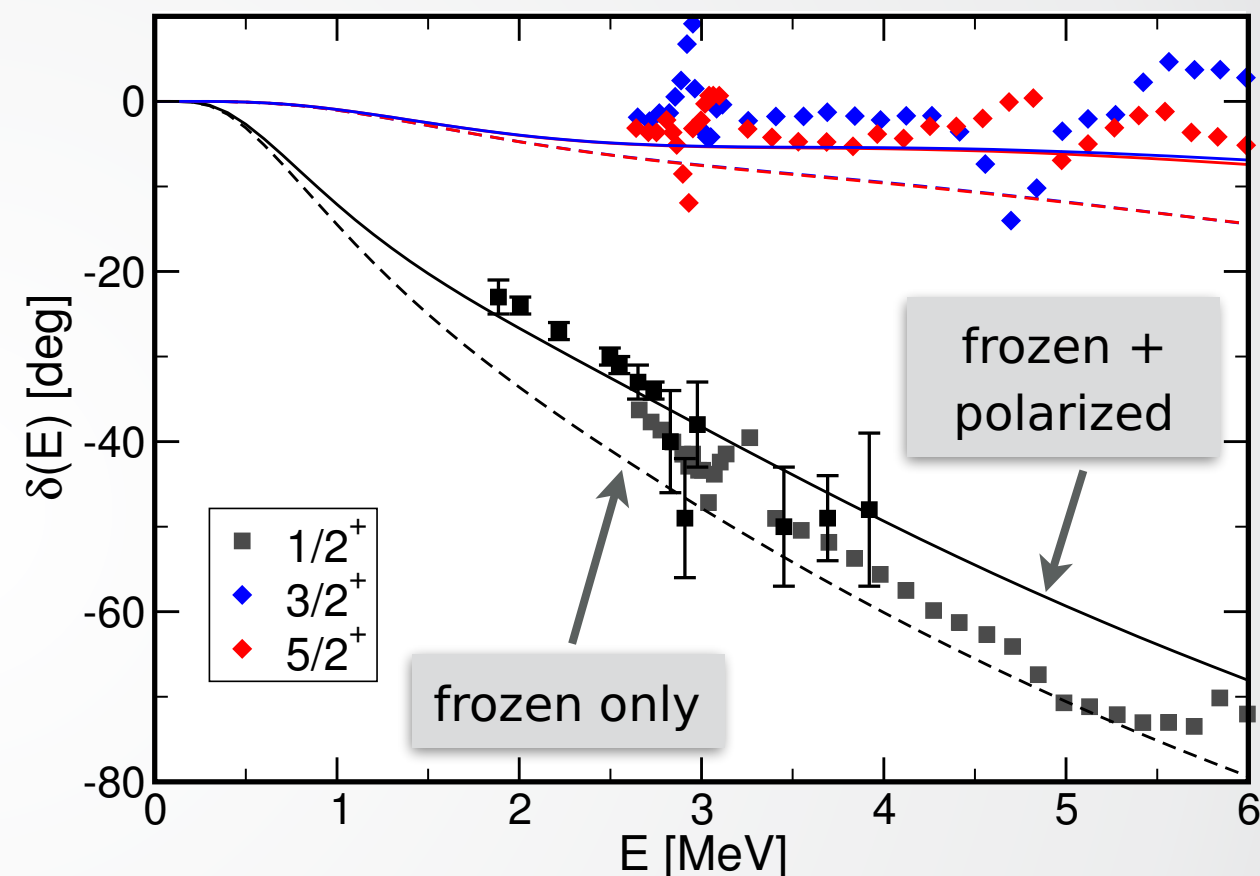
Bound and Scattering States

Bound States

		FMD	Experiment
${}^7\text{Be}$	$E_{3/2^-}$ [MeV]	-1.49	-1.59
	$E_{1/2^-}$ [MeV]	-1.31	-1.15
	r_{ch} [fm]	2.67	2.647(17)
	Q [$e \text{ fm}^2$]	-6.83	-
${}^7\text{Li}$	$E_{3/2^-}$ [MeV]	-2.39	-2.467
	$E_{1/2^-}$ [MeV]	-2.17	-1.989
	r_{ch} [fm]	2.46	2.444(43)
	Q [$e \text{ fm}^2$]	-3.91	-4.00(3)

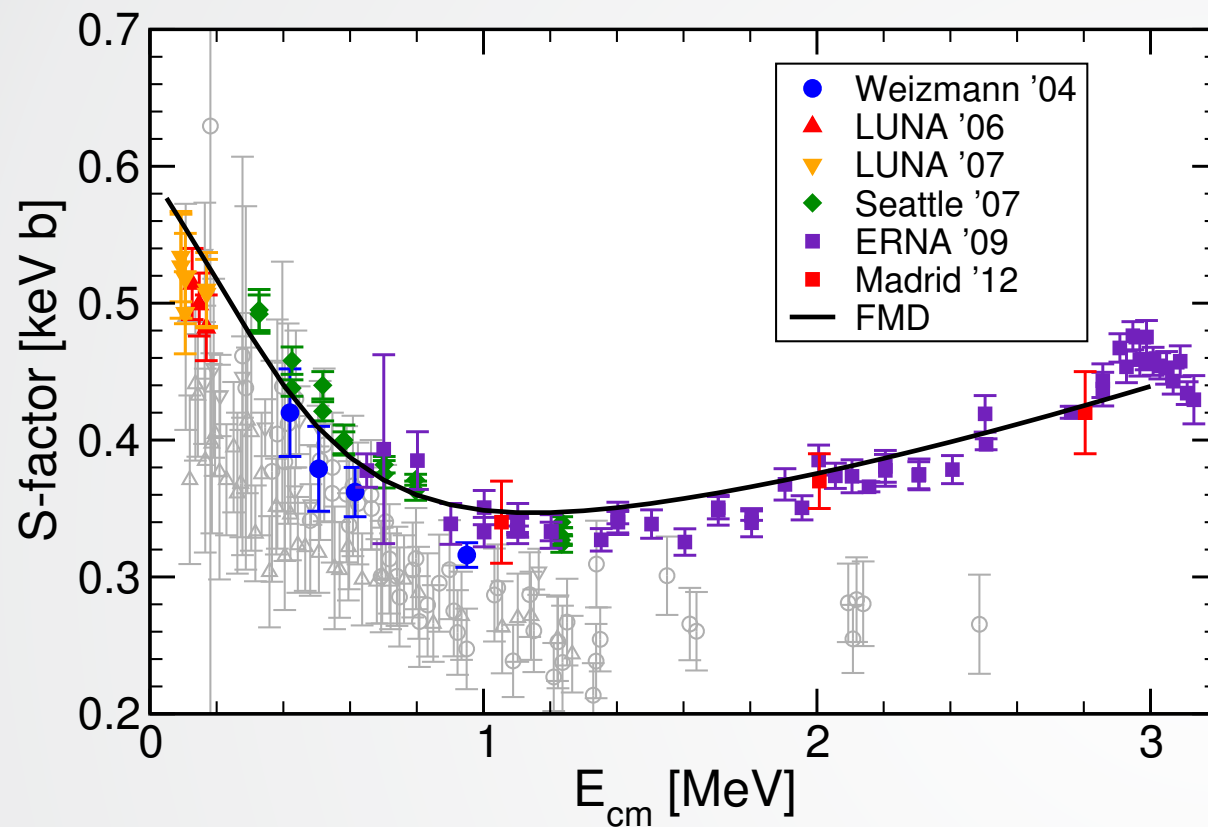
- centroid energy of bound states well reproduced, splitting between $3/2^-$ and $1/2^-$ states too small
- charge radii and quadrupole moment test the tails of bound state wave functions

Scattering States

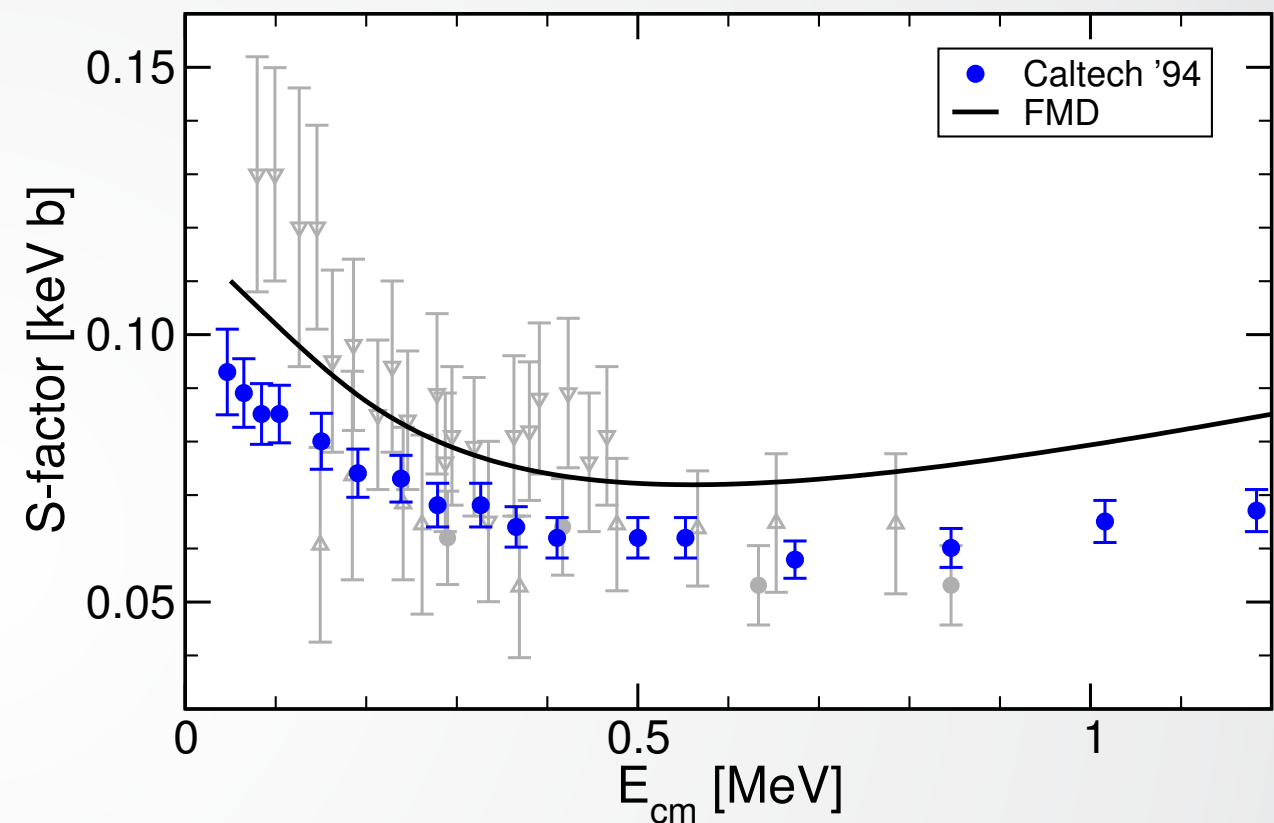


- s - and d -wave capture dominate at small energies
- polarized configurations are important for describing the phase shifts

Capture Cross Section

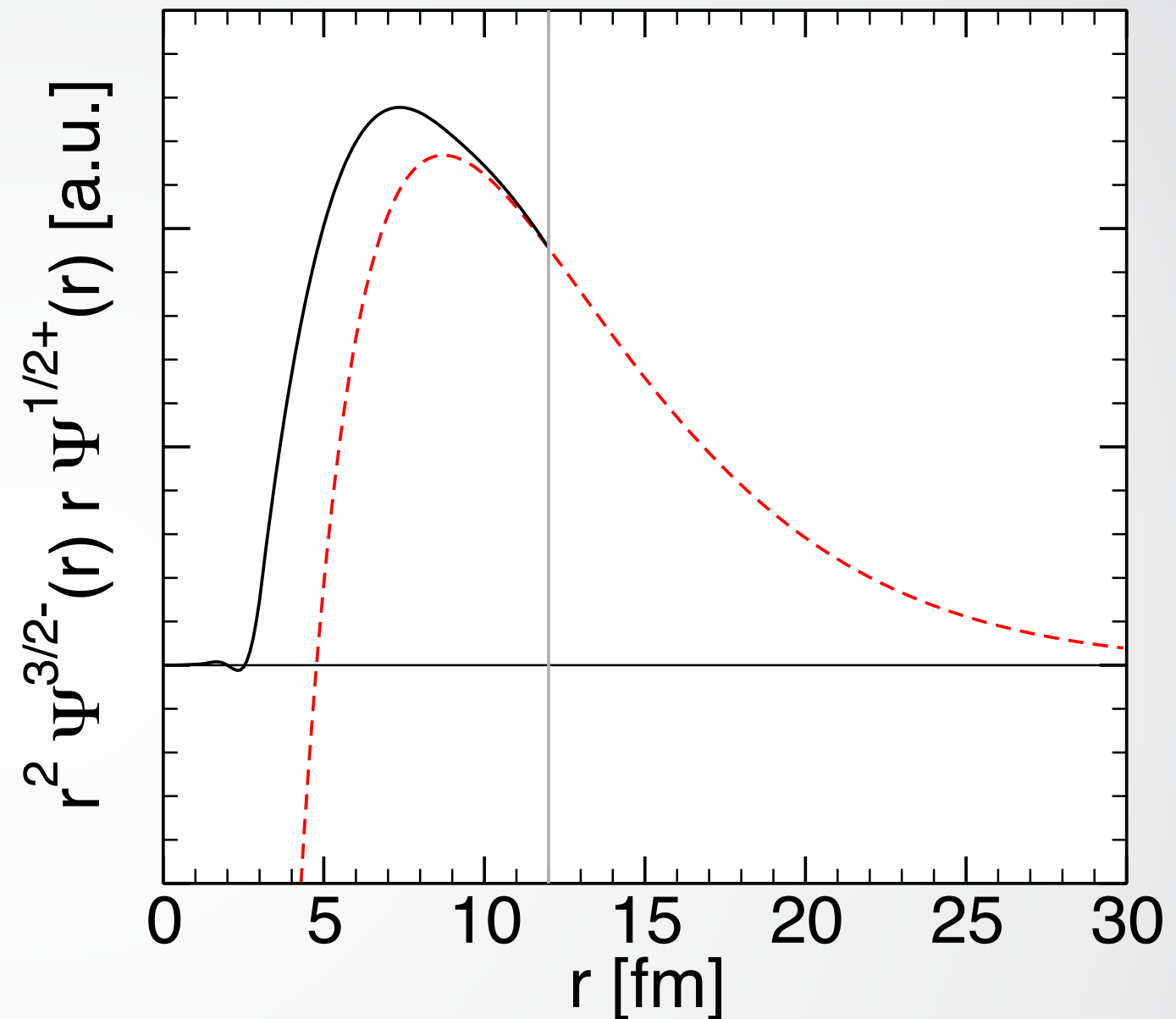
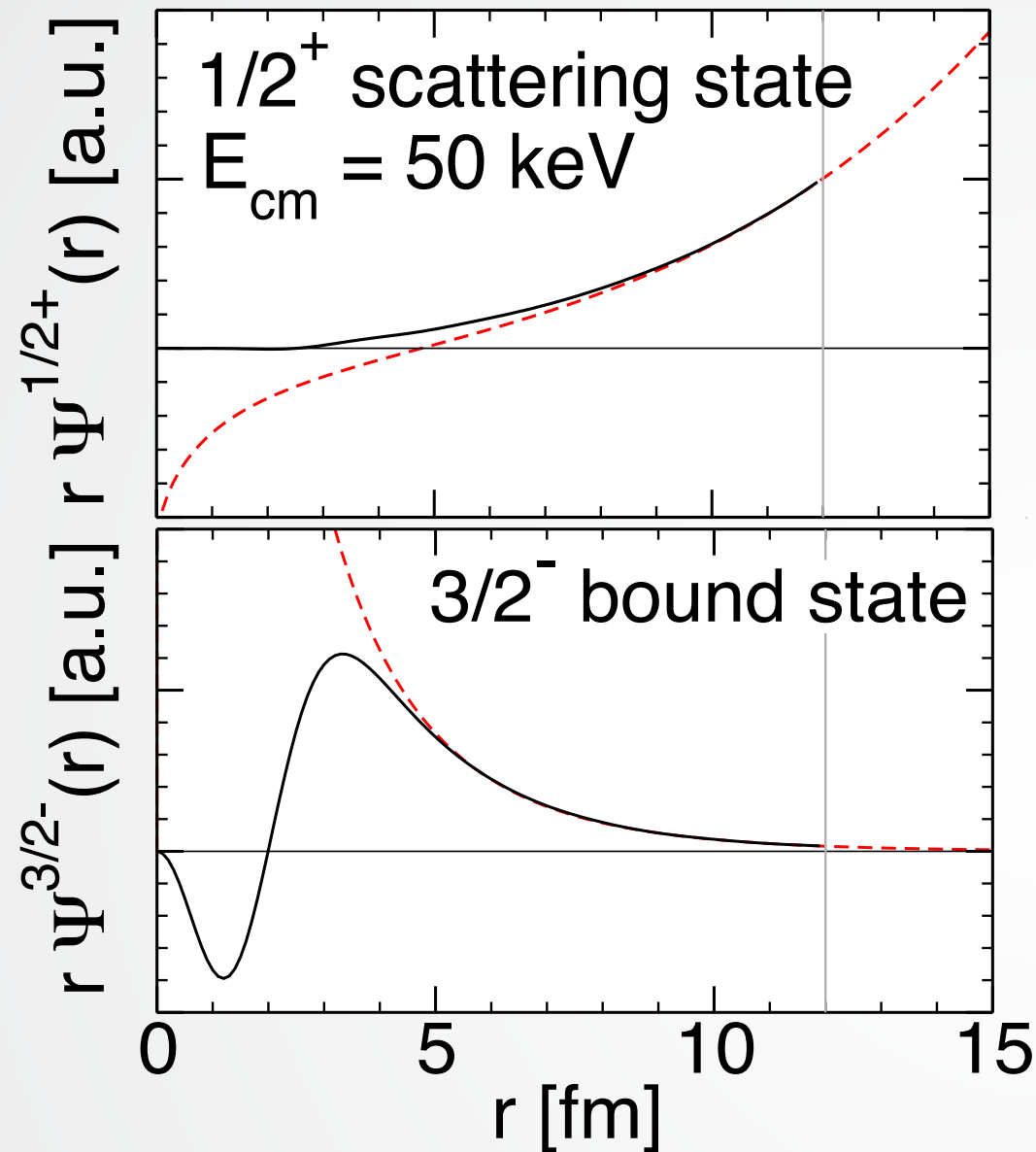


- good agreement with new high quality ${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$ data regarding both energy dependence and normalization



- calculations reproduce energy dependence but not normalization of ${}^3\text{H}(\alpha,\gamma){}^7\text{Li}$ data by Brune *et al.*

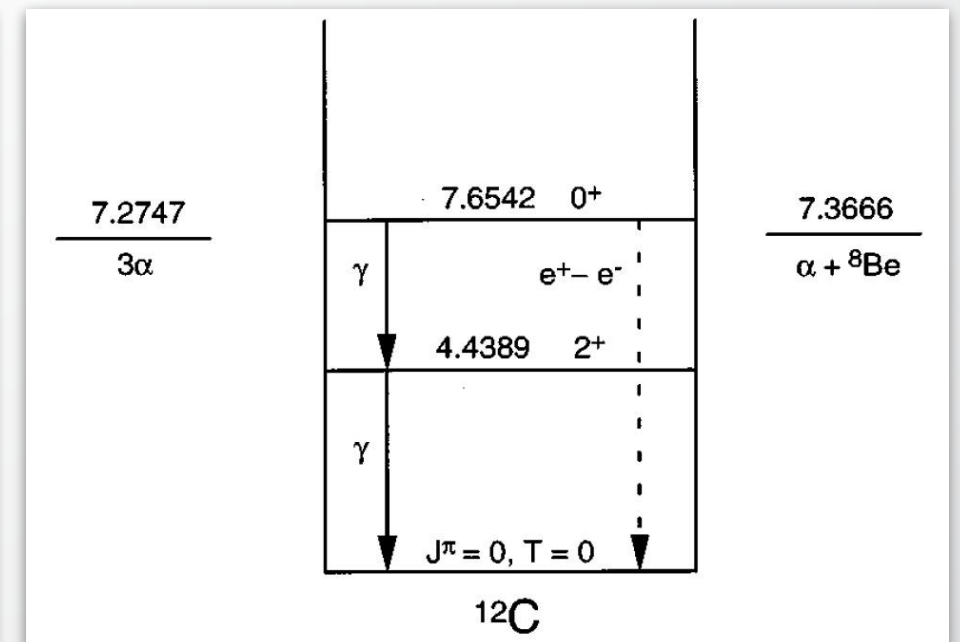
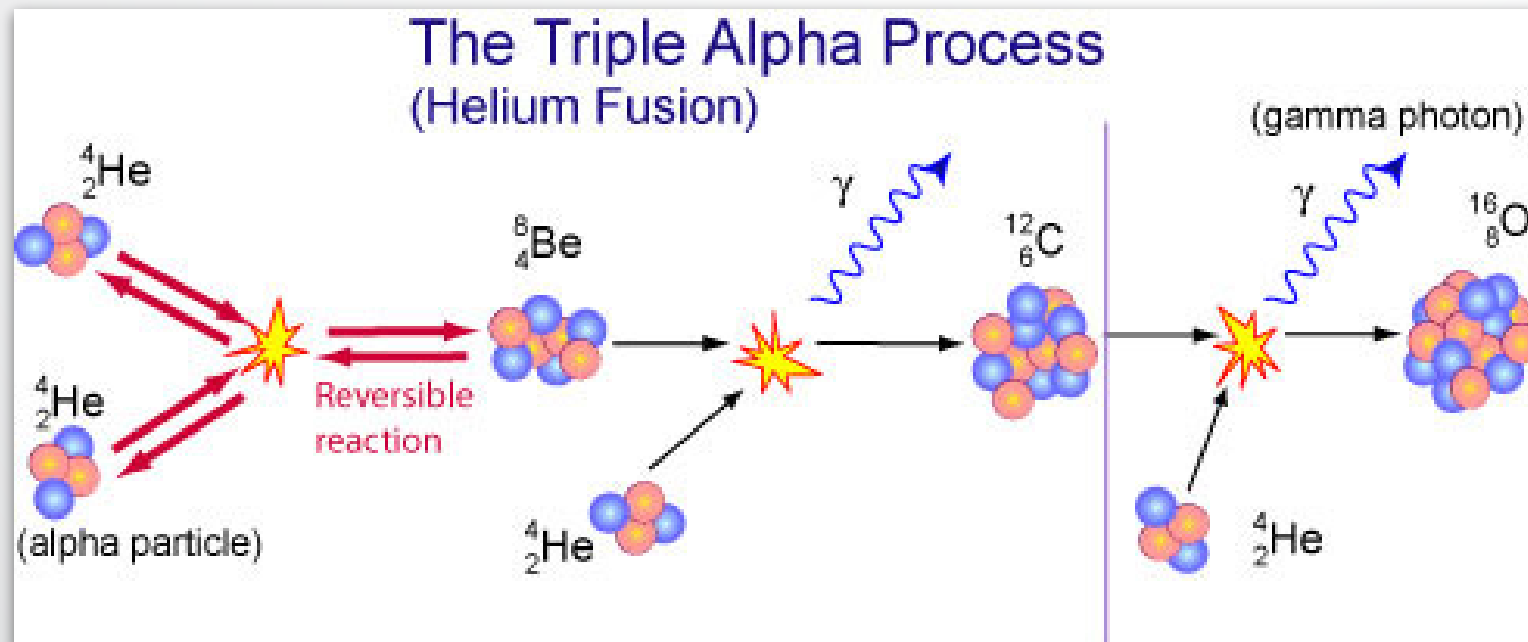
Overlap functions and Matrixelements



- Overlap functions from projection on RGM-cluster states
- Dipole matrix elements calculated from overlap functions reproduce full calculation within 2%
- cross section depends significantly on internal part of wave function, description as an “external” capture is too simplified

Cluster States in ^{12}C

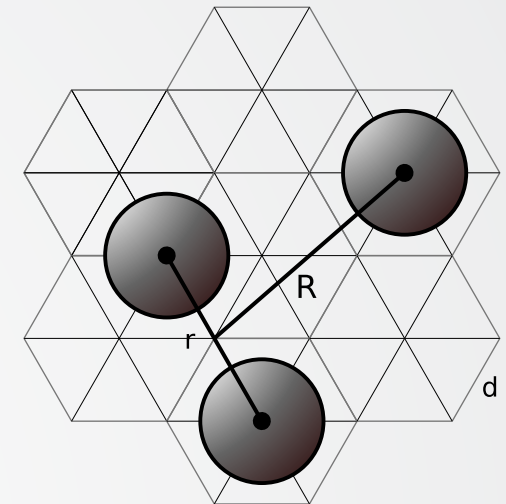
FMD and Cluster Model Calculations



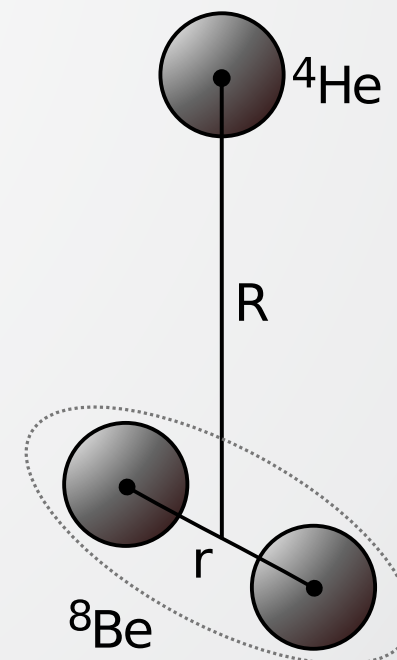
^{12}C : Microscopic α -Cluster Model

- ^{12}C is described as a system of three α -particles
- α -particles are given by HO $(0s)^4$ wave functions
- wave function is fully antisymmetrized
- effective Volkov nucleon-nucleon interaction adjusted to reproduce α - α and ^{12}C ground state properties
- Internal region: **α 's on triangular grid**
- External region: **$^8\text{Be}(0^+, 2^+, 4^+)$ - α configurations**

Internal Region



External Region



$$|\Psi_{JMK\pi}^{3\alpha}(\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3)\rangle = \hat{P}^\pi \hat{P}_{MK}^J \hat{A} \{ |\Psi_\alpha(\mathbf{R}_1)\rangle \otimes |\Psi_\alpha(\mathbf{R}_2)\rangle \otimes |\Psi_\alpha(\mathbf{R}_3)\rangle \}$$

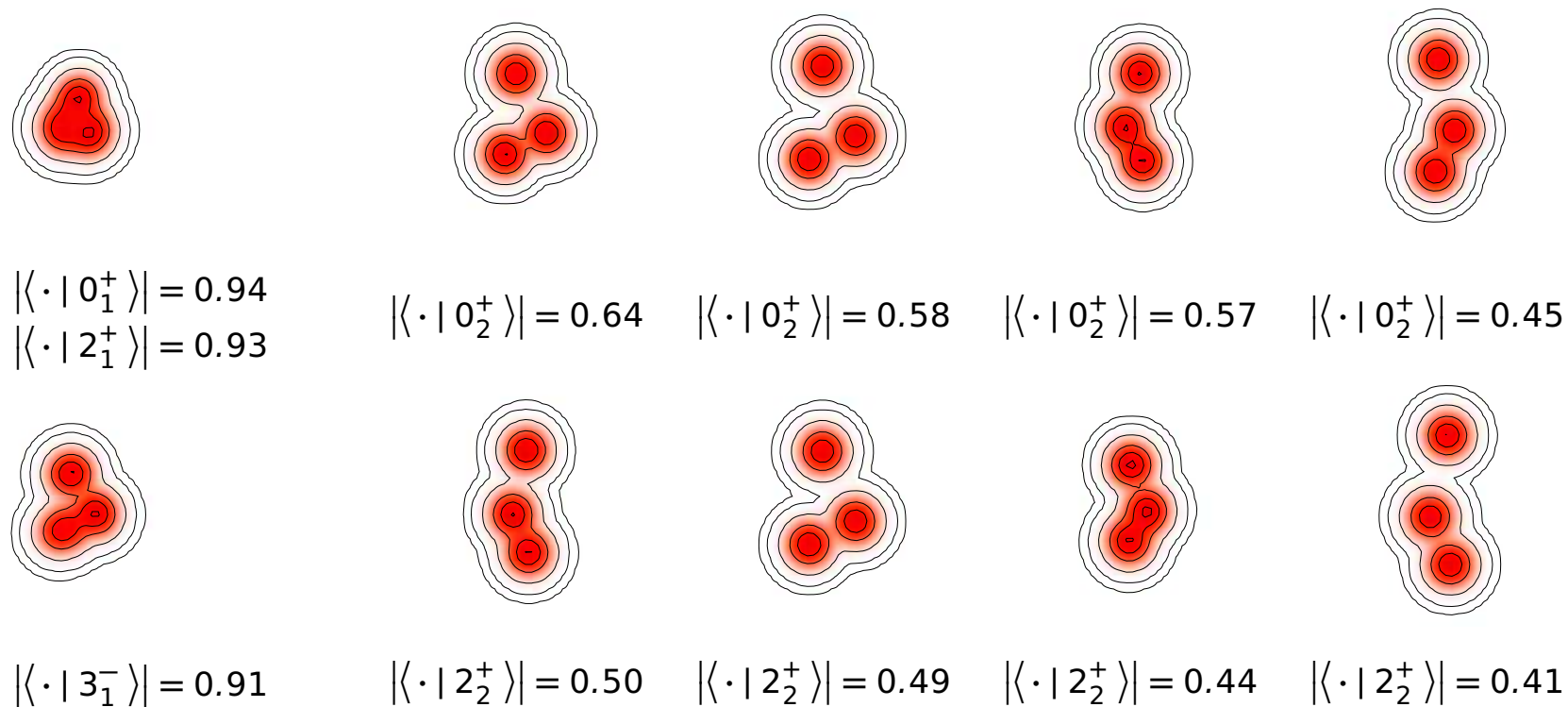
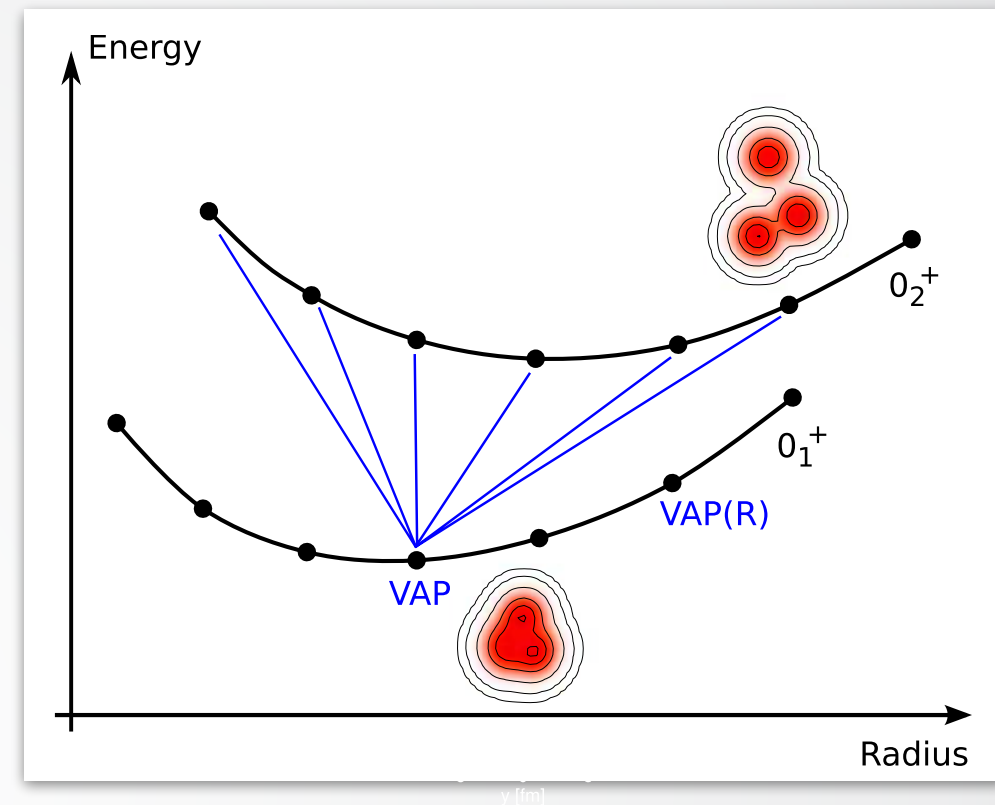
Double Projection

$$|\Psi_{IK}^{8\text{Be}}\rangle = \sum_i \hat{P}_{K0}^I \hat{A} \{ |\Psi_\alpha(-\frac{r_i}{2}\mathbf{e}_z)\rangle \otimes |\Psi_\alpha(+\frac{r_i}{2}\mathbf{e}_z)\rangle \} c_i^I$$

$$|\Psi_{IK;JM\pi}^{8\text{Be},\alpha}(R_j)\rangle = \hat{P}^\pi \hat{P}_{MK}^J \hat{A} \{ |\Psi_{IK}^{8\text{Be}}(-\frac{R_j}{3}\mathbf{e}_z)\rangle \otimes |\Psi_\alpha(+\frac{2R_j}{3}\mathbf{e}_z)\rangle \}$$

^{12}C : FMD

- **AV18 UCOM(SRG)** ($\alpha=0.20 \text{ fm}^4$) interaction — Increase strength of spin-orbit force by a factor of two to partially account for omitted three-body forces
- Internal region: FMD basis states obtained by **VAP** with radius as generator coordinate for **first** 0^+ , 1^+ , 2^+ , ..., perform VAP for **second** 0^+ , 1^+ , 2^+ , ... with radius as generator coordinate
- External region: $^8\text{Be}(0^+, 2^+, 4^+)$ - α configurations, polarization effects in ^8Be are important



Basis states are not orthogonal !

0_2^+ and 2_2^+ states have no rigid intrinsic structure

^{12}C : Matching to Coulomb Asymptotics

- asymptotically only Coulomb interaction between ^8Be and α
- calculate spectroscopic amplitudes with RGM wavefunction
- use microscopic **R-matrix** method to match logarithmic derivative of spectroscopic amplitudes to Coulomb solutions

Bound states (Whittaker)

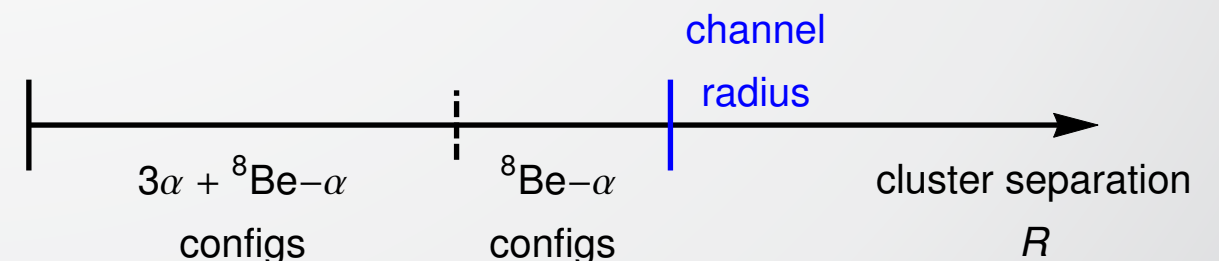
$$\psi_c(r) = A_c \frac{1}{r} W_{-\eta_c, L_c+1/2}(2K_c r), \quad K_c = \sqrt{-2\mu(E - E_c)}$$

Resonances (purely outgoing Coulomb - complex energy)

$$\psi_c(r) = A_c \frac{1}{r} O_{L_c}(\eta_c, k_c r), \quad k_c = \sqrt{2\mu(E - E_c)}$$

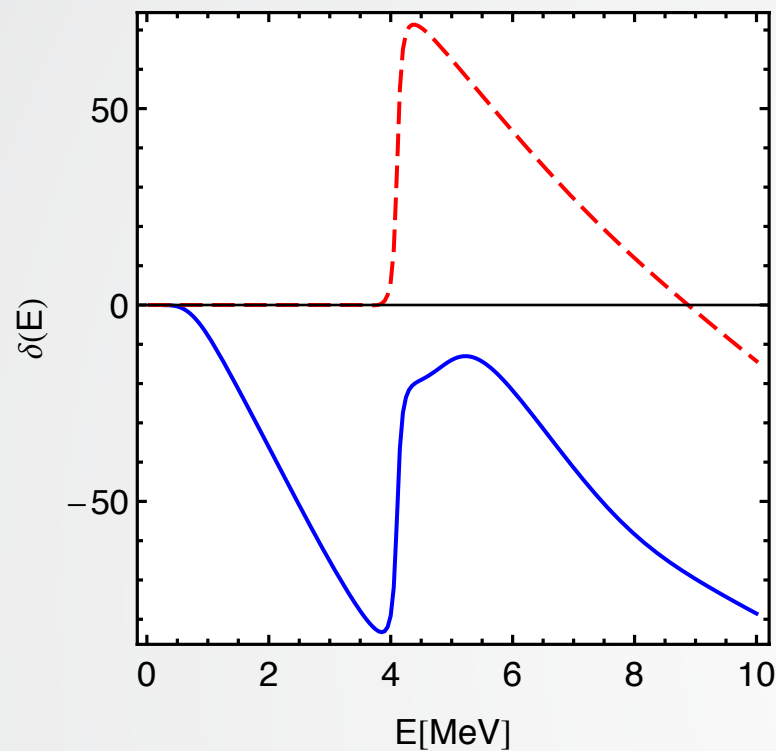
Scattering States (incoming + outgoing Coulomb)

$$\psi_c(r) = \frac{1}{r} \{ \delta_{L_c, L_0} I_{L_c}(\eta_c, k_c r) - S_{c, c_0} O_{L_c}(\eta_c, k_c r) \}, \quad k_c = \sqrt{2\mu(E - E_c)}$$

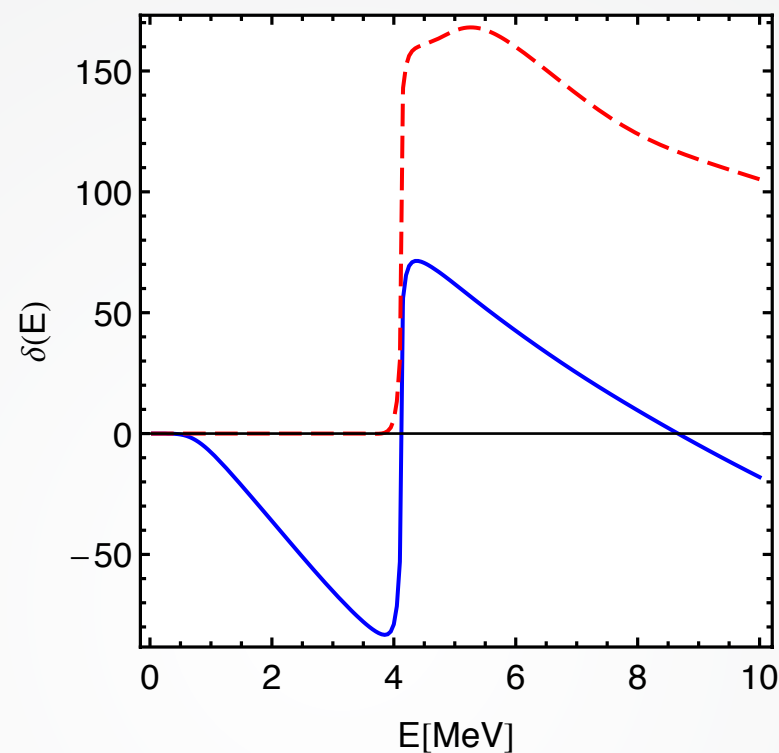


$^8\text{Be}(0_1^+, 0_2^+) + \alpha$ Continuum: 0^+ Phaseshifts

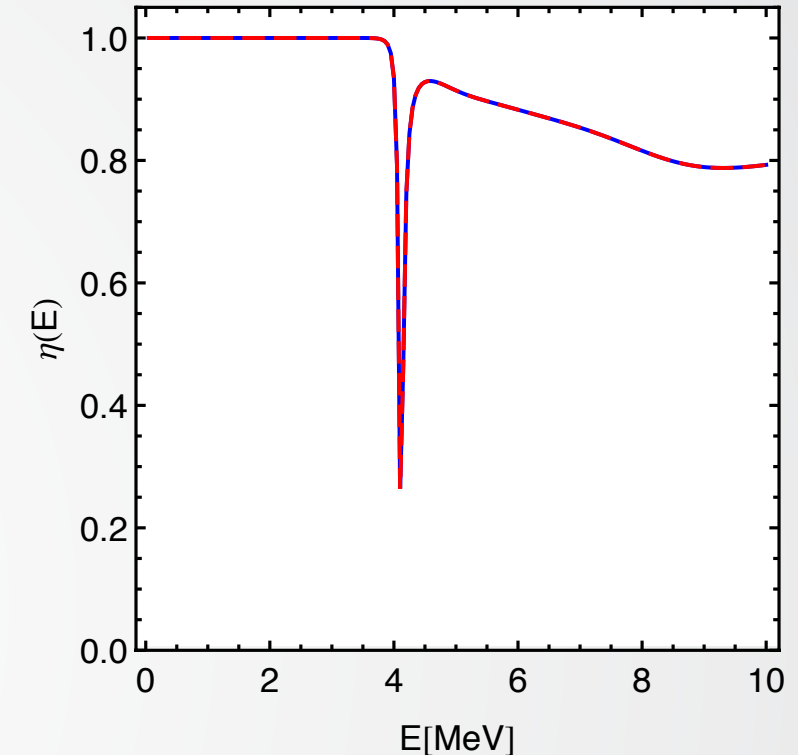
Eigenphaseshifts



Phaseshifts



Inelasticities



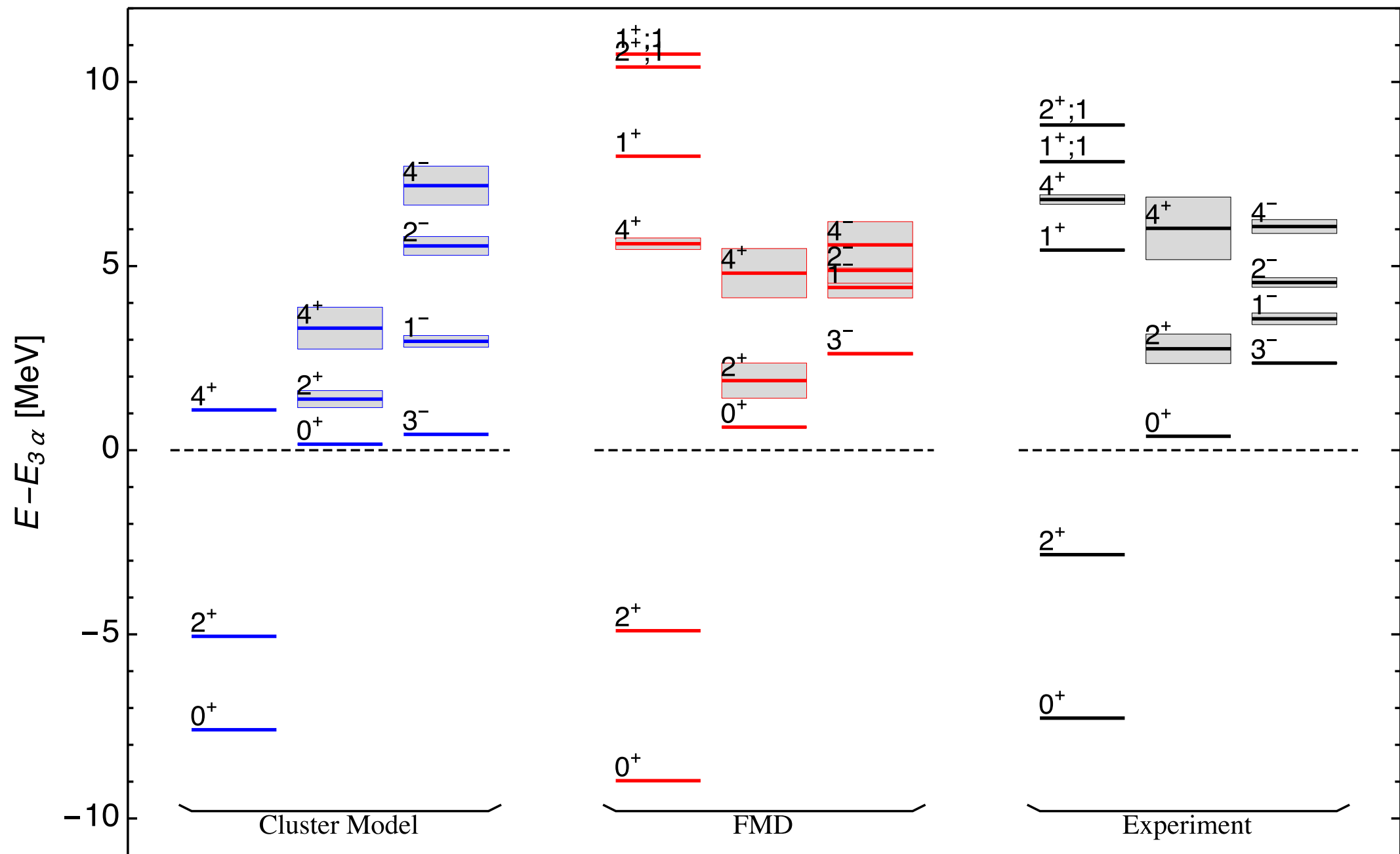
Gamow States

	E [MeV]	Γ_α [MeV]
0_2^+	0.29	$1.78 \cdot 10^{-5}$
0_3^+	4.11	0.12
0_4^+	4.76	1.51

- Hoyle state missed when scanning the phase shifts
- non-resonant background
- strong coupling between $^8\text{Be}(0^+)$ and $^8\text{Be}(2^+)$ channel at 4.1 MeV

Cluster Model

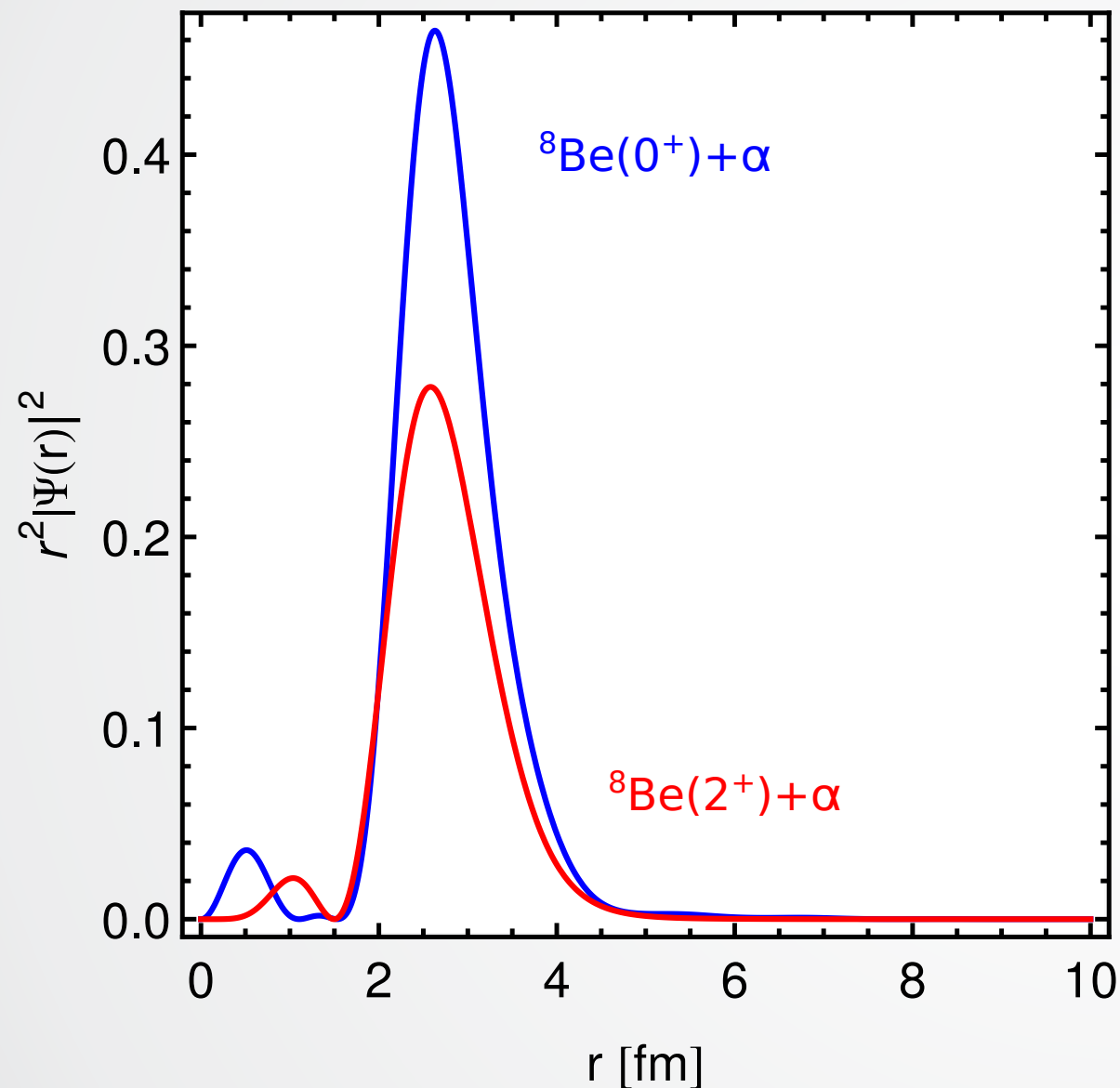
^{12}C : Spectrum



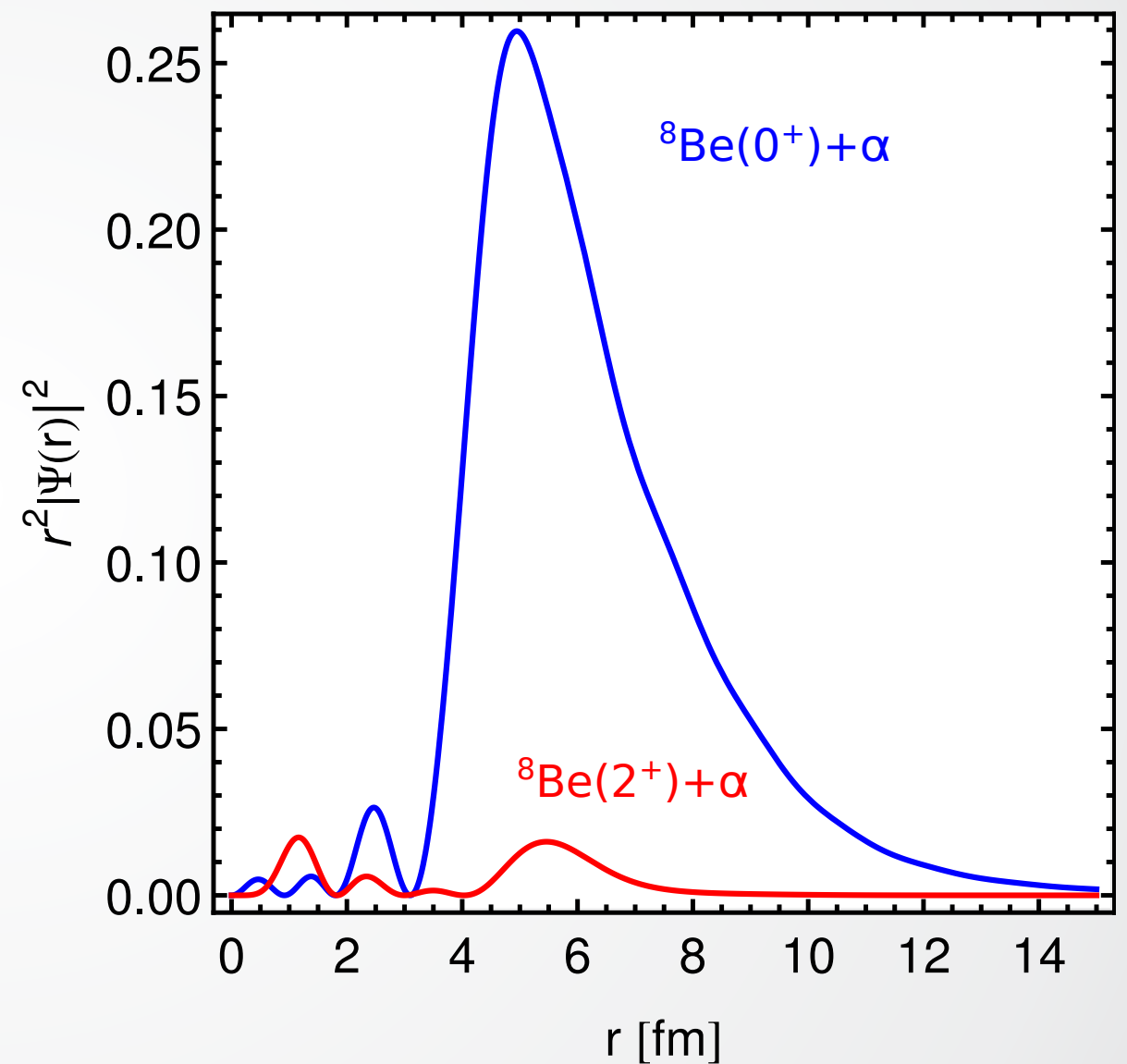
- FMD provides a consistent description of p -shell states, negative parity states and cluster states

^{12}C : ^8Be - α Spectroscopic Amplitudes

Ground State

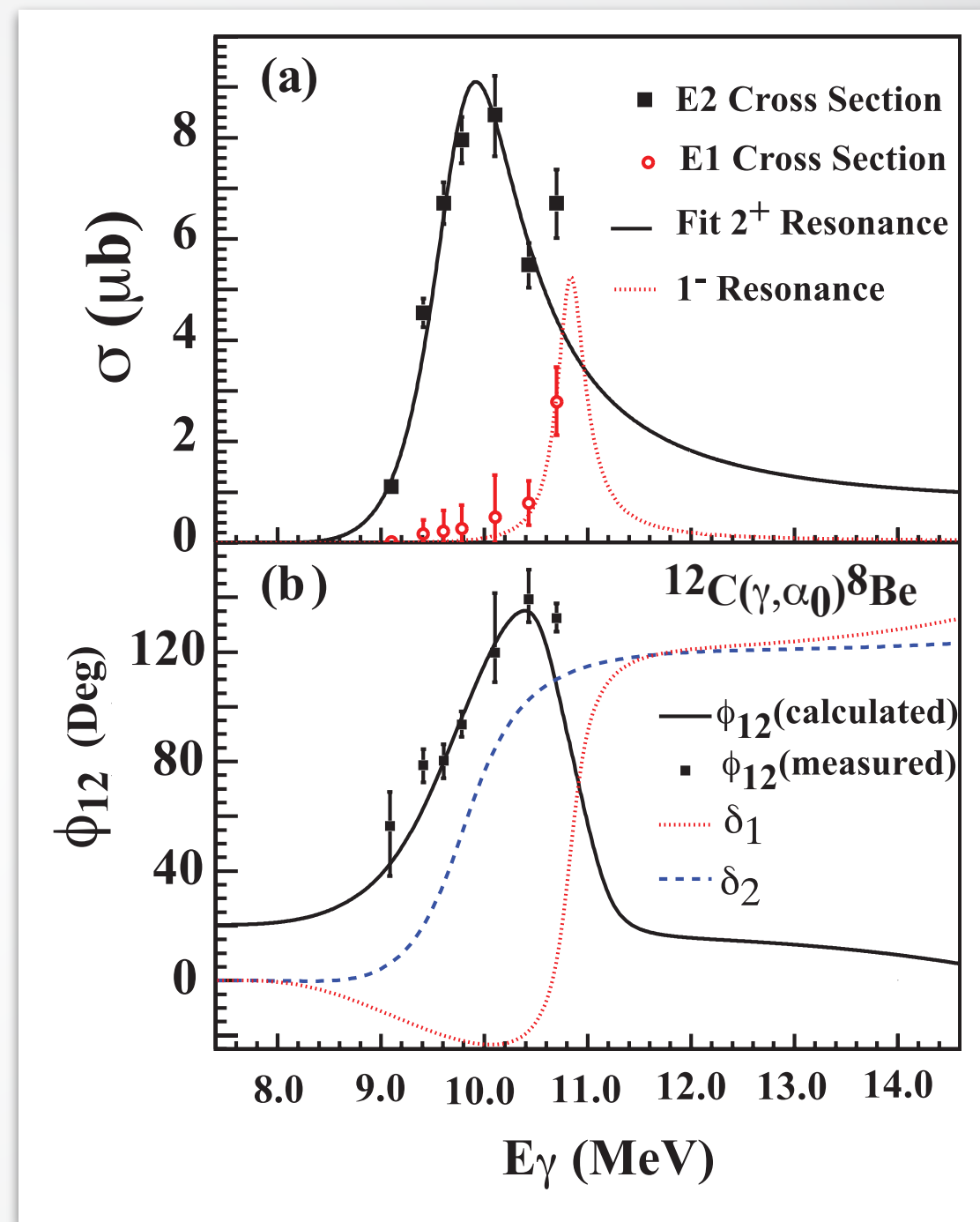
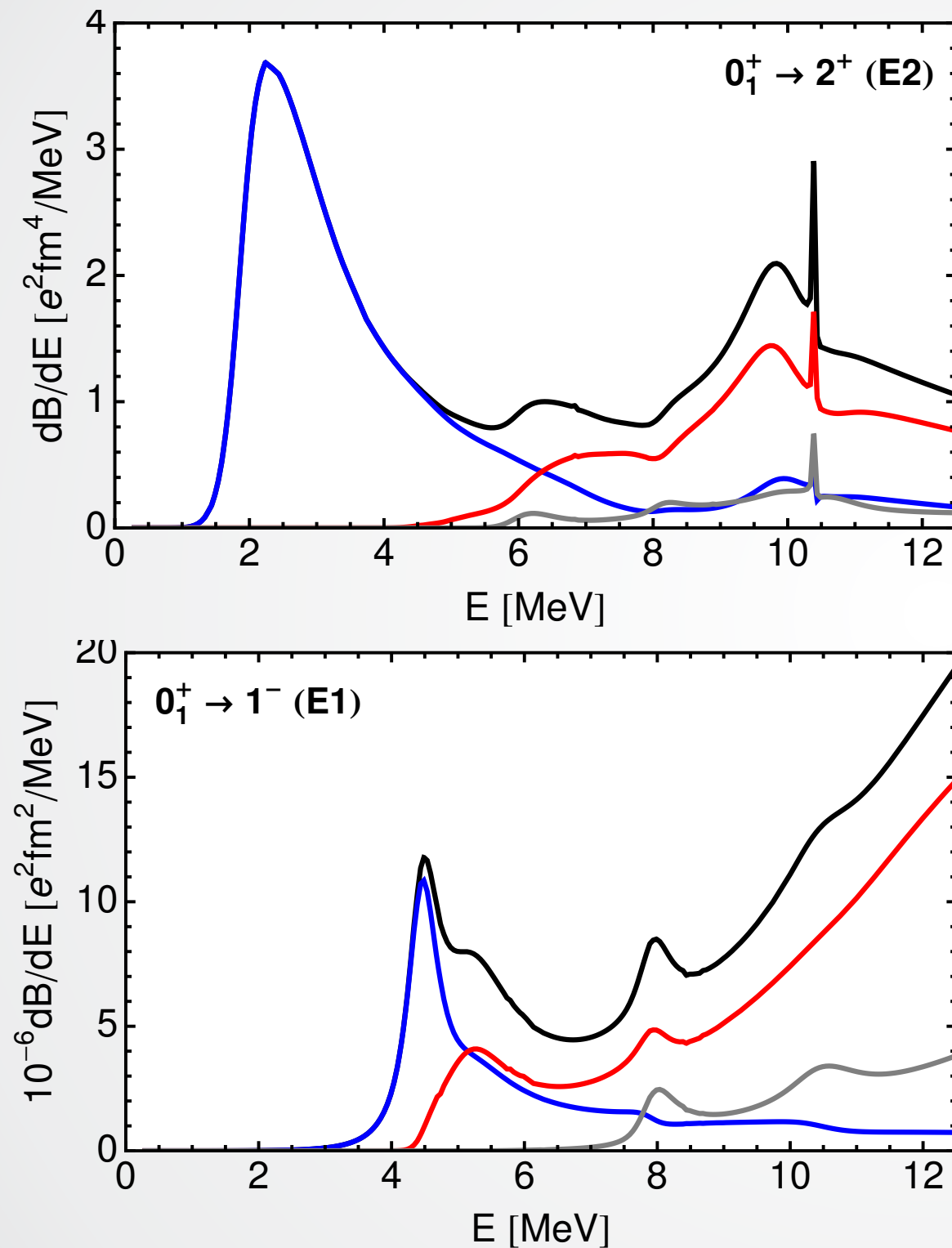


Hoyle State



- Ground state overlap with $^8\text{Be}(0^+) + \alpha$ and $^8\text{Be}(2^+) + \alpha$ configurations of similar magnitude
- Hoyle state overlap dominated by $^8\text{Be}(0^+) + \alpha$ configurations, large spatial extension

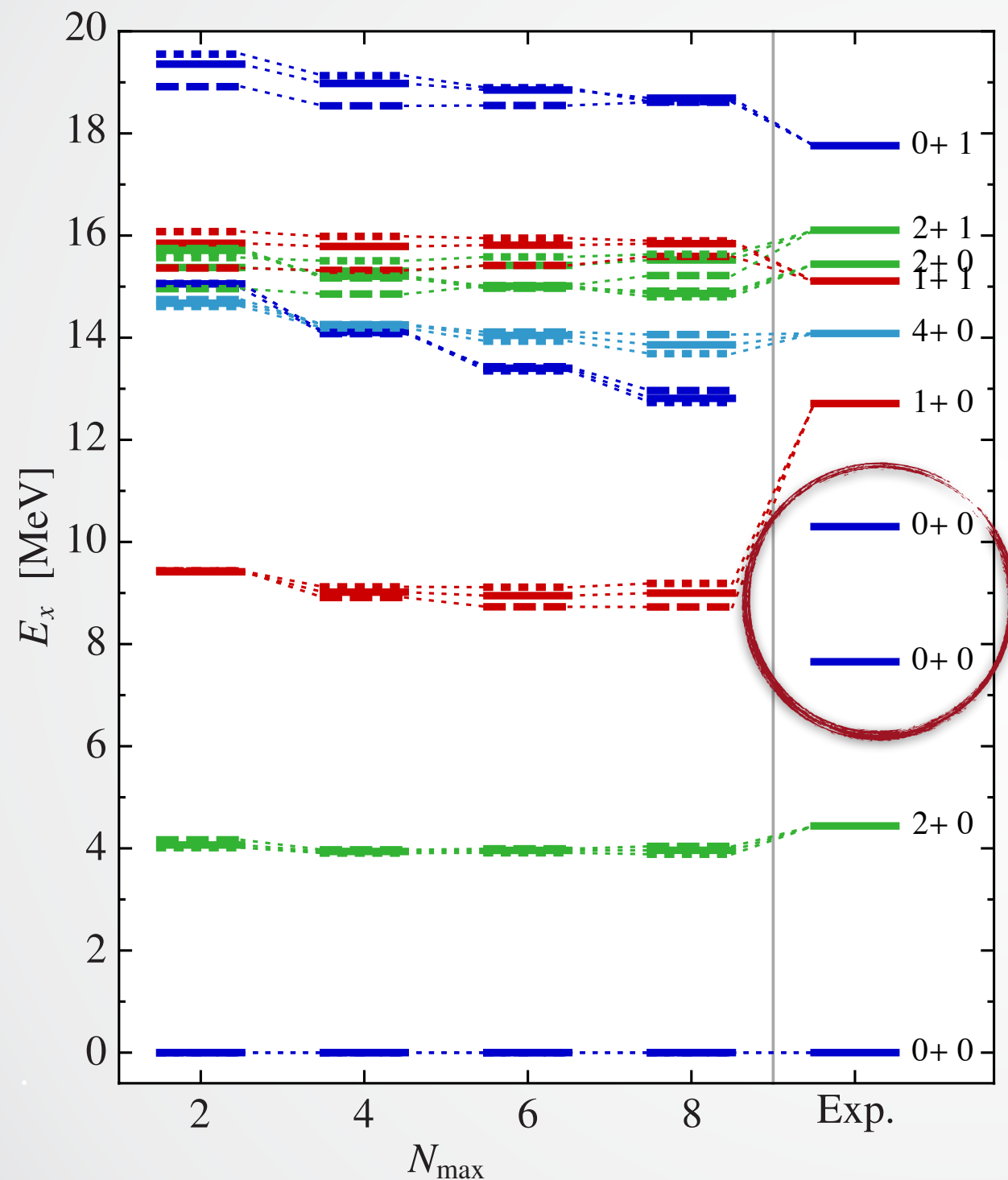
^{12}C : Transitions into the Continuum



Zimmermann et al., Phys. Rev. Lett. **110**, 152502 (2013)

- E1 transition isospin-forbidden in cluster model

^{12}C : Cluster States in the Oscillator Basis ?

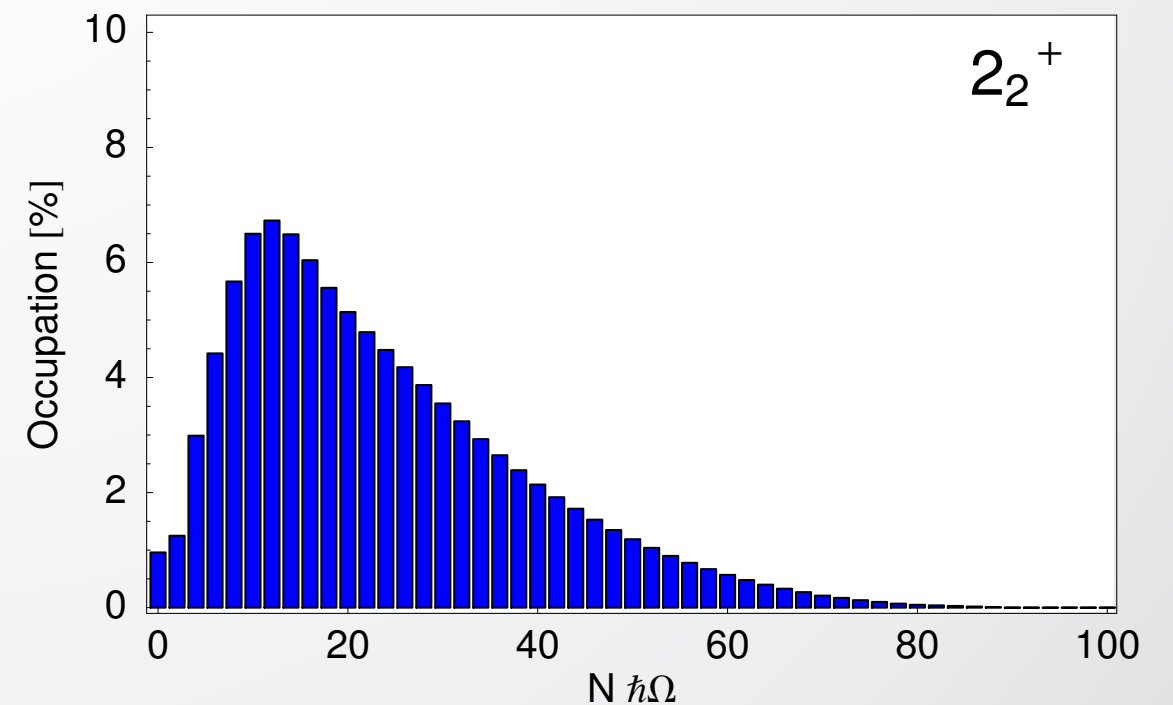
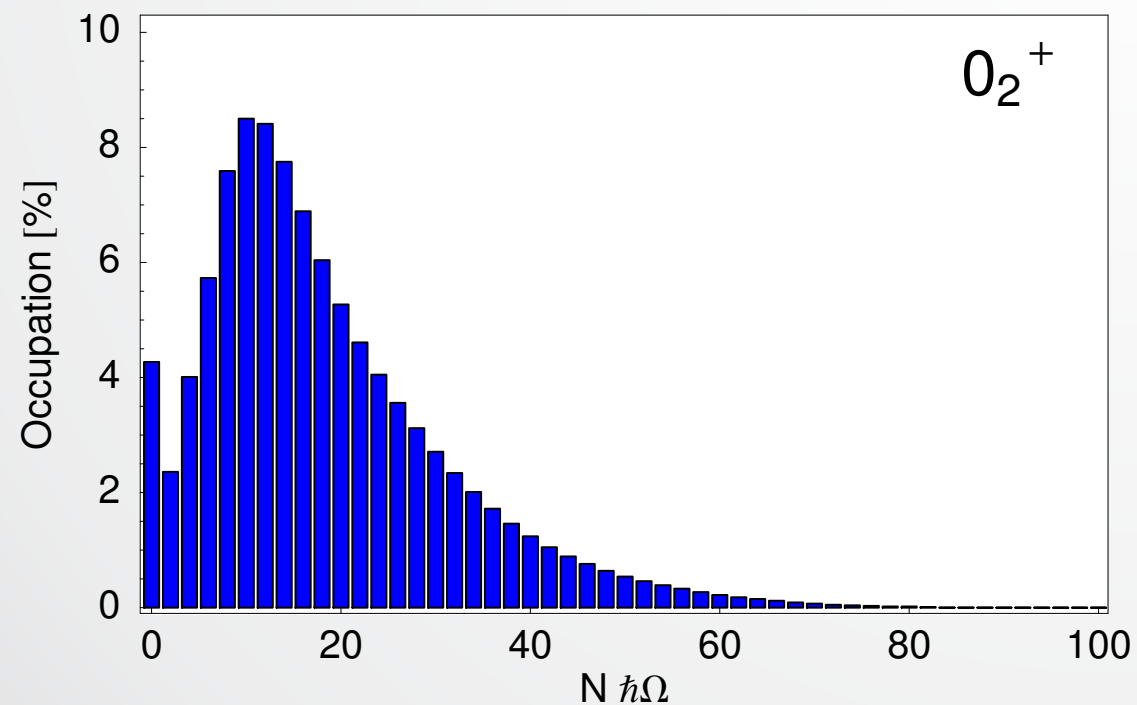
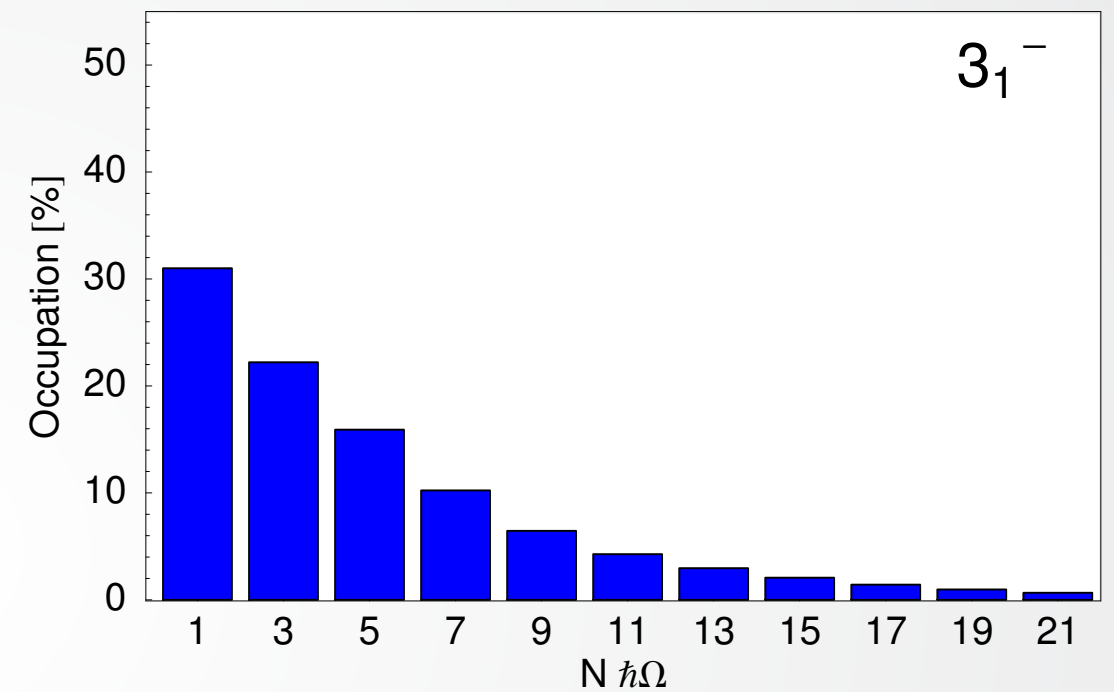
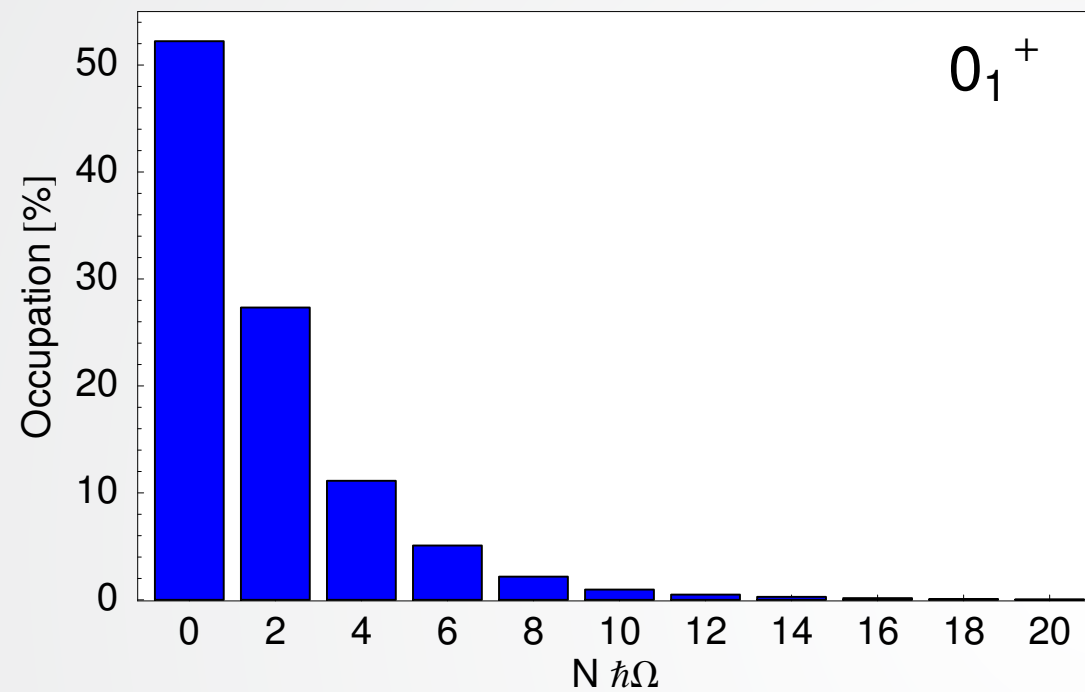


State of the art NCSM calculation with chiral NN+NNN forces

Hoyle state and other cluster states missing !

^{12}C : $N\hbar\Omega$ Decomposition

$$\text{Occ}(N) = \langle \psi | \delta \left(\sum_i (\hat{H}_i^{HO} / \hbar\Omega - 3/2) - N \right) | \psi \rangle$$



Summary and Conclusions

Unitary Correlation Operator Method

- Explicit description of short-range central and tensor correlations

Fermionic Molecular Dynamics

- Gaussian wave-packet basis contains HO shell model and Brink-type cluster states
- R-matrix method for description of continuum states

${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$ Capture Reaction

- Consistent description of bound-state properties, phase shifts and capture cross section
- Good agreement with ${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$ data, but normalization off for ${}^3\text{H}(\alpha,\gamma){}^7\text{Li}$

Continuum states in ${}^{12}\text{C}$

- Compare α -cluster model and FMD
- Model space with ${}^8\text{Be}(0^+, 2^+, \dots) + \alpha$ configurations
- Consistent picture for ground state band, negative parity states and cluster states in the continuum
- Hoyle state band built on ${}^8\text{Be}(\text{gs}) + \alpha$