

# Near-field Infrared Microscopy: Near-field nano-optics at NIR to THz wavelengths using the FELBE free electron laser

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TU Dresden

503. Heraeus-Seminar  
Bad Honnef, D  
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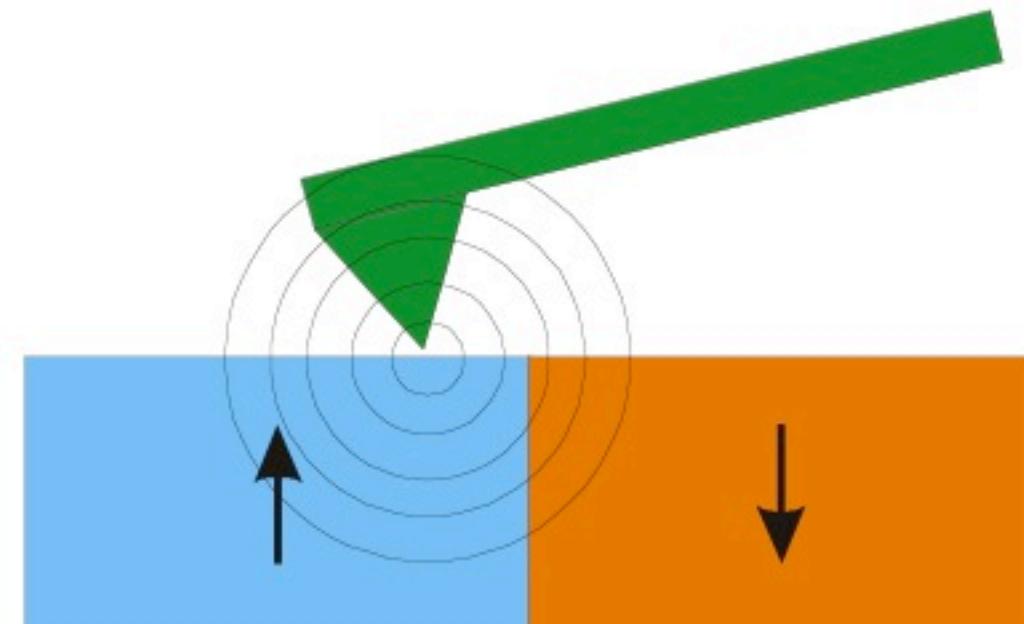
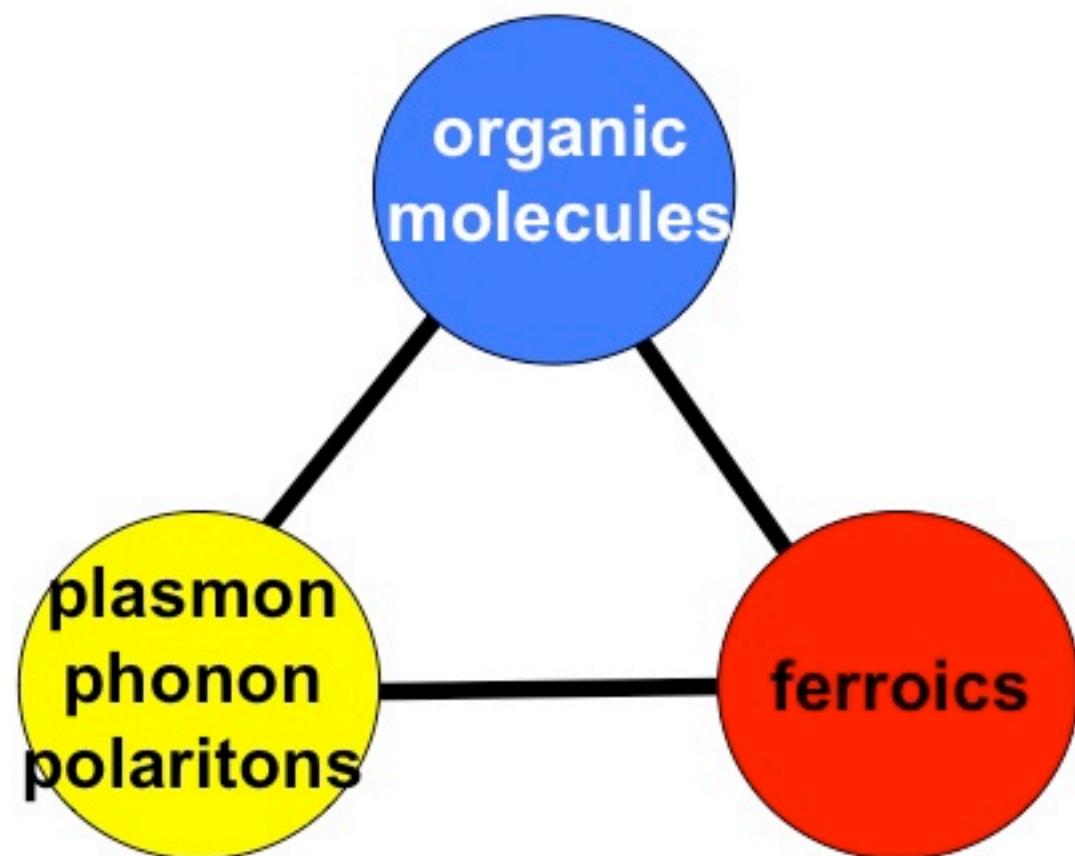


[lukas.eng@iapp.de](mailto:lukas.eng@iapp.de)

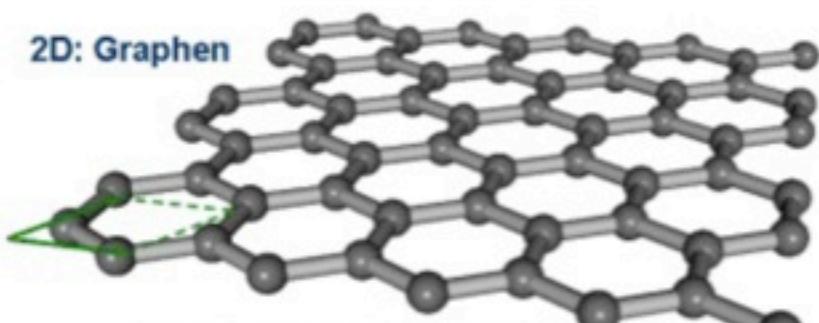
downtown Dresden

- **IR-Spectroscopy**
- **IR-Microscopy**
- **“Local-scale” fingerprints**
- **Broad-band investigations (NIR to THz)**
- **Combine two key technologies:**
  - free-electron laser
  - scanning force microscopy

**Optical and electronic properties of novel and functional nanomaterials for applications in molecular electronics and optoelectronics**

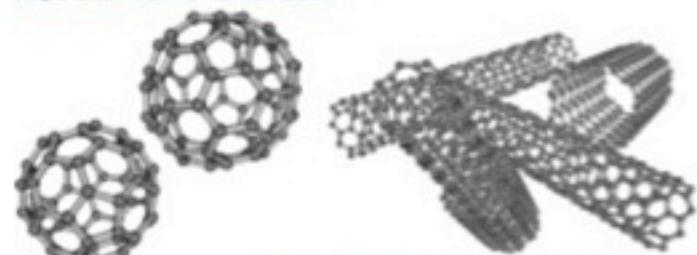


# Functionalized Graphene

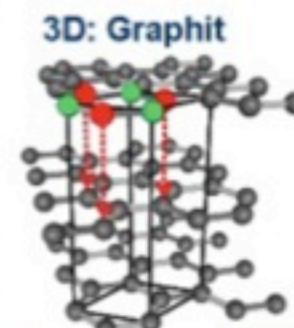


Graphen = 1 Lage Graphit

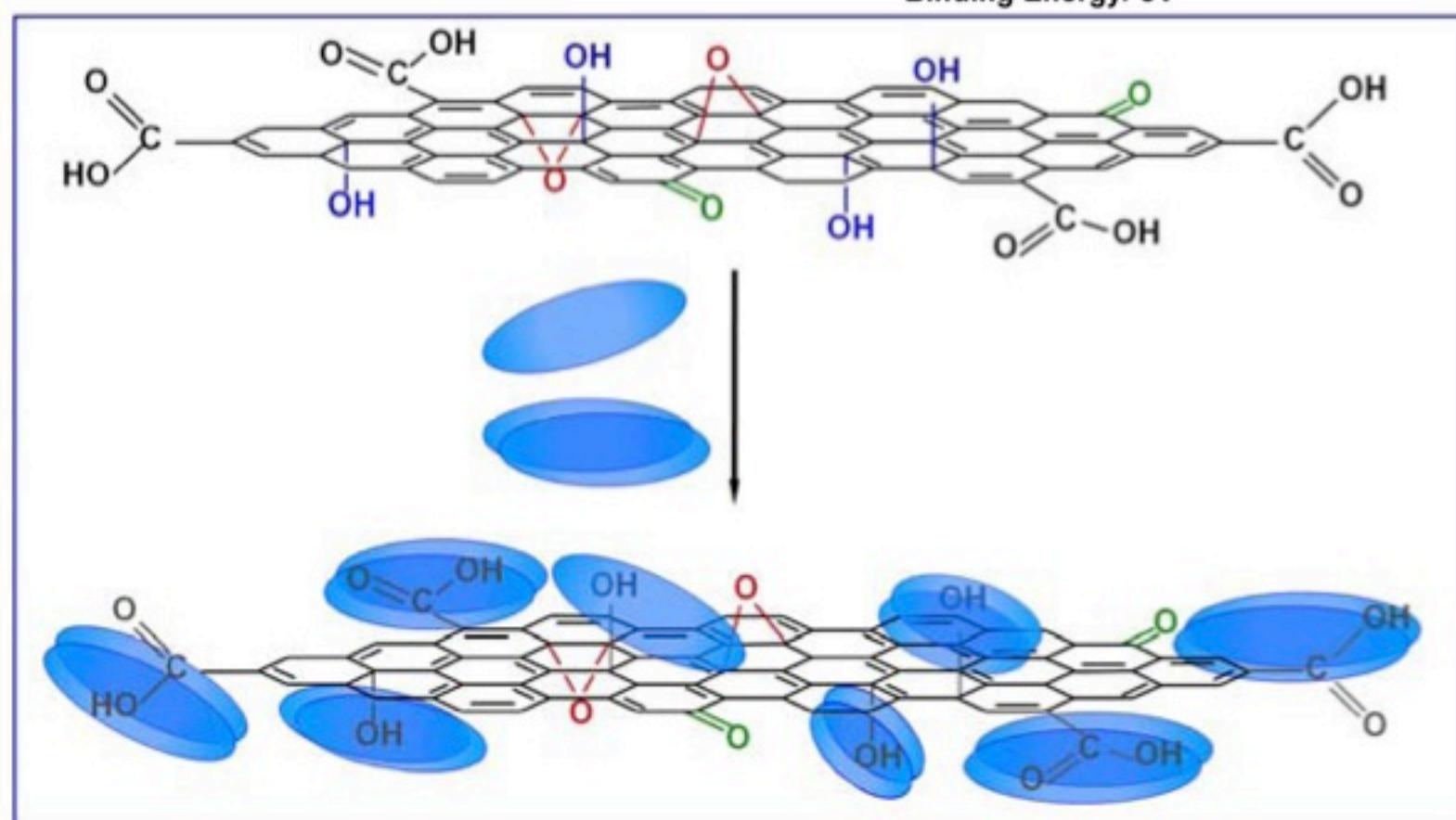
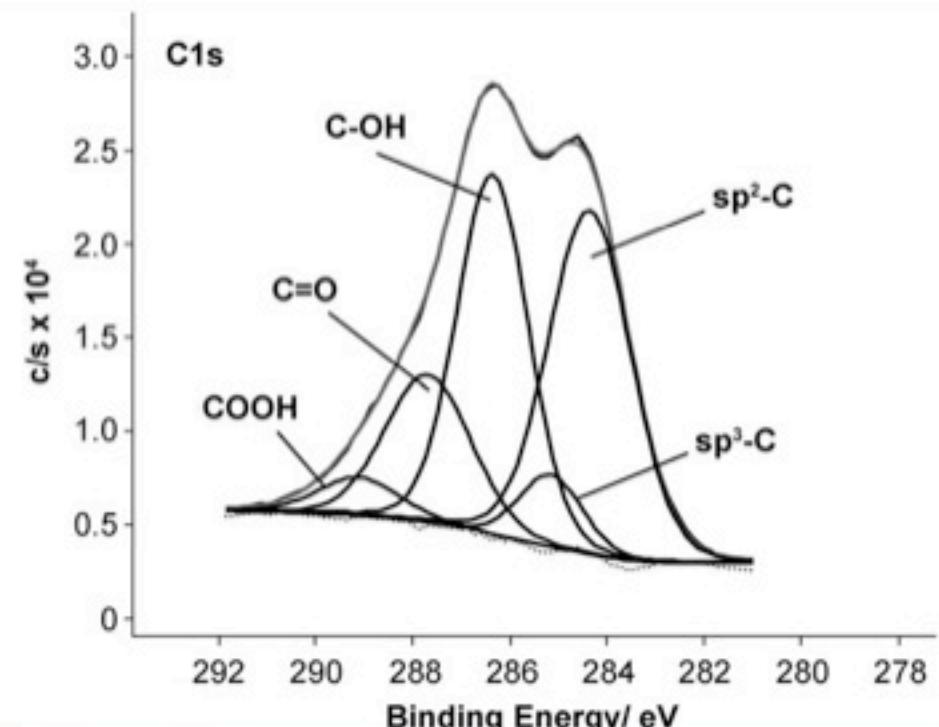
Quasi-0D: Fullerene



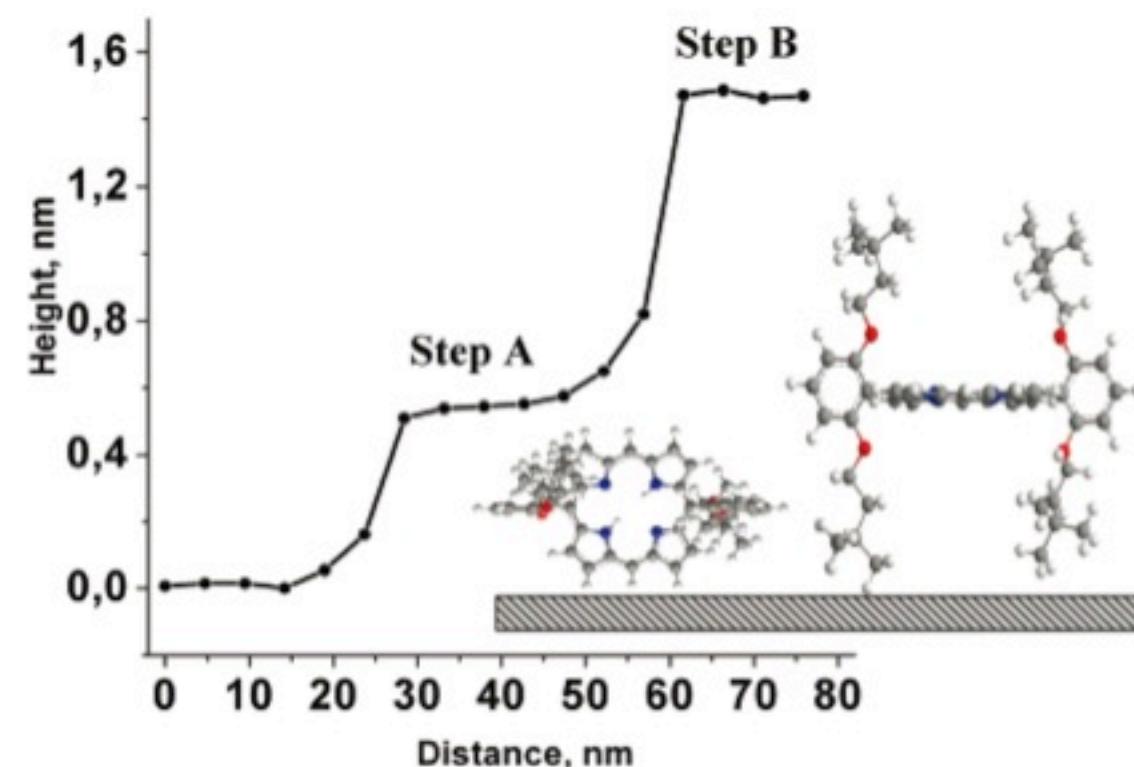
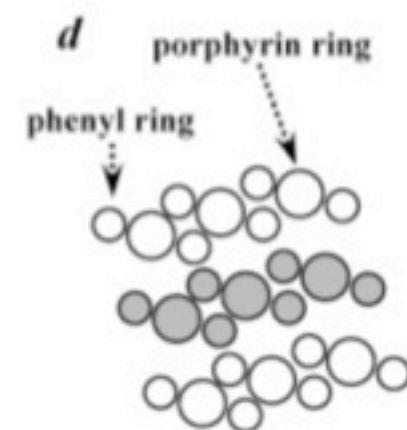
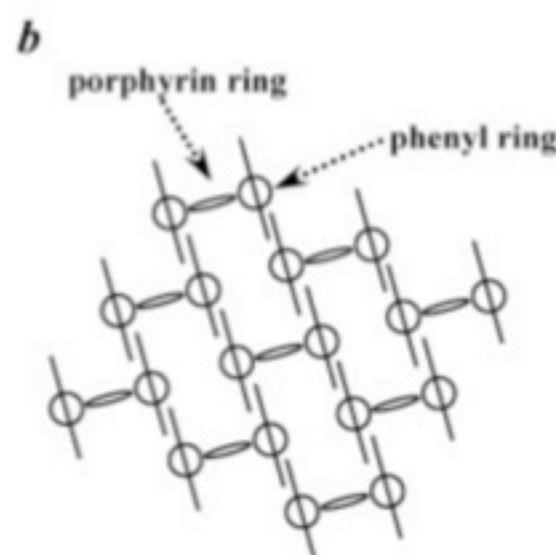
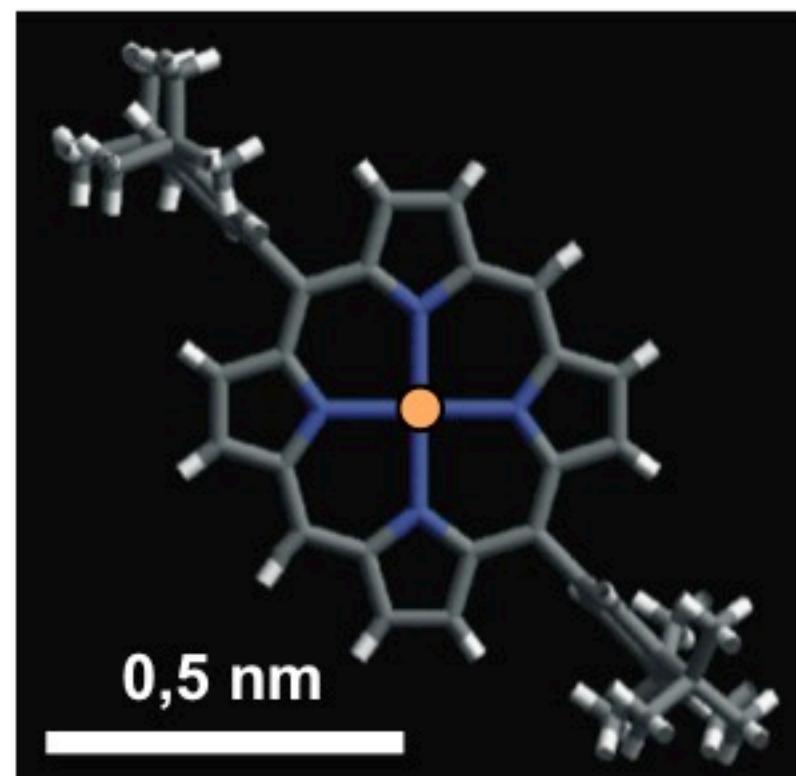
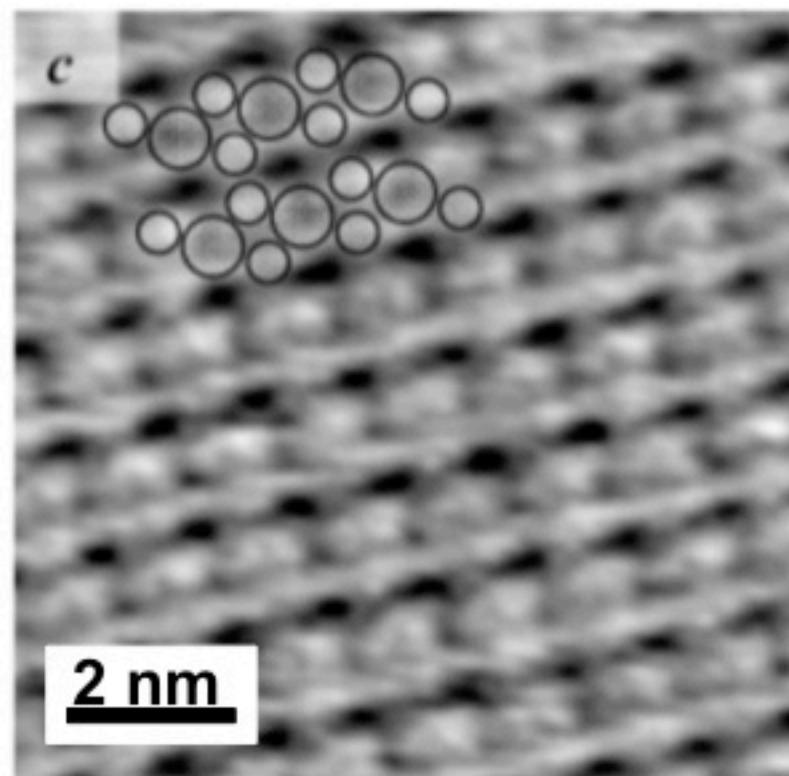
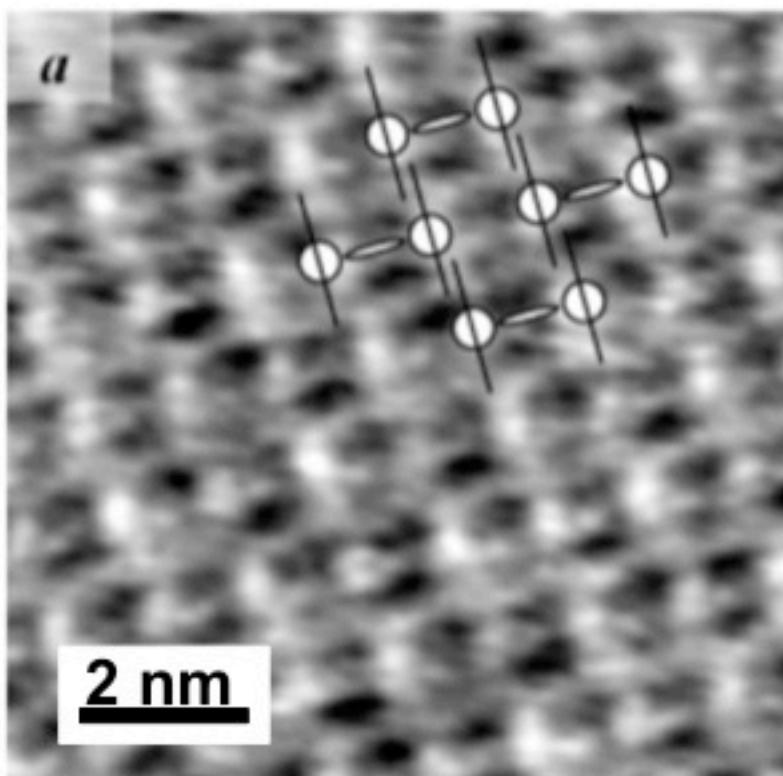
3D: Graphit



Quasi-1D: Kohlenstoffnanoröhren

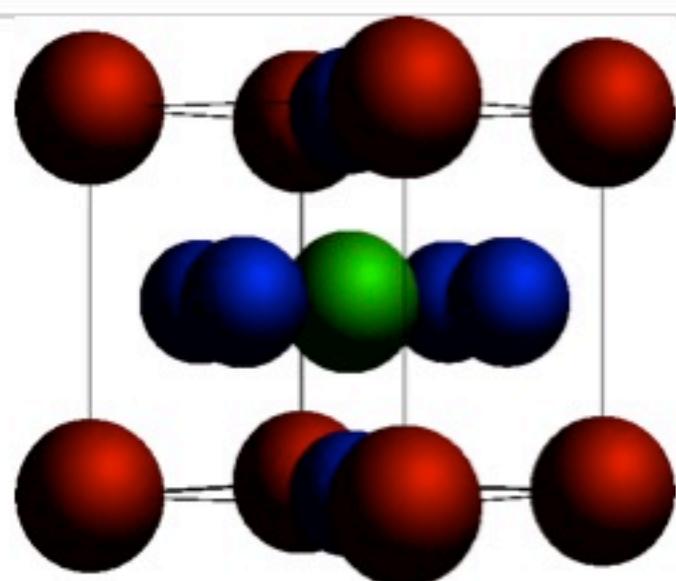


K. Haubner et al.,  
CPC 11 (2010) 2131

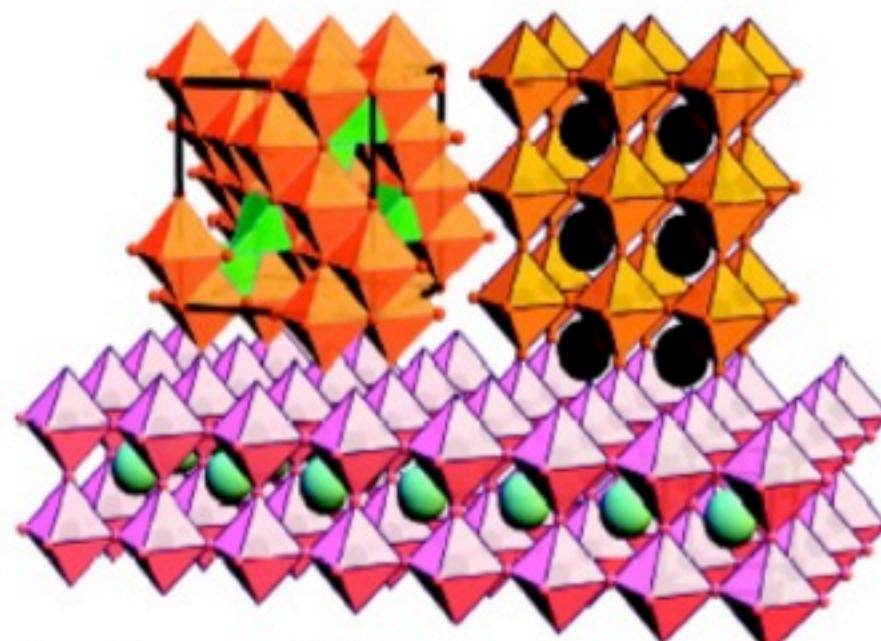


M. Nikiforov et al., *Nano Lett.* **8** (2008) 110

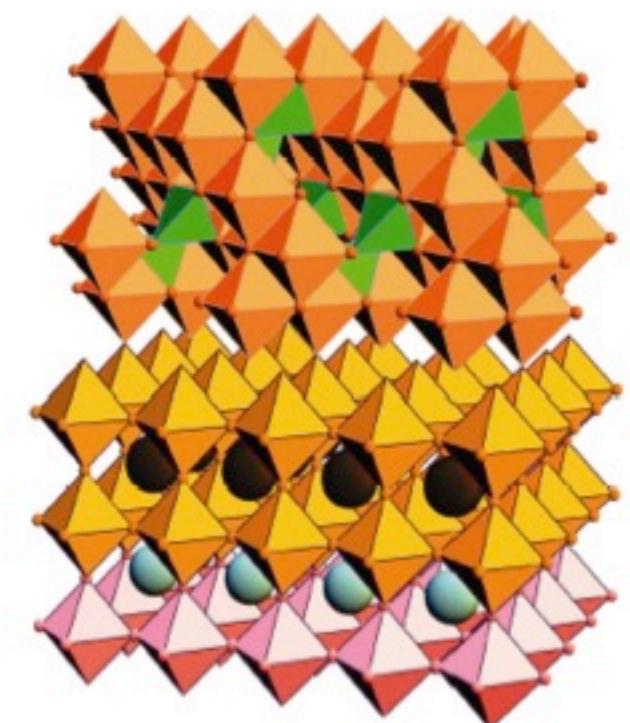
M. Nikiforov et al. *JAP* **106** (2009) 114307



Single Phase Materials



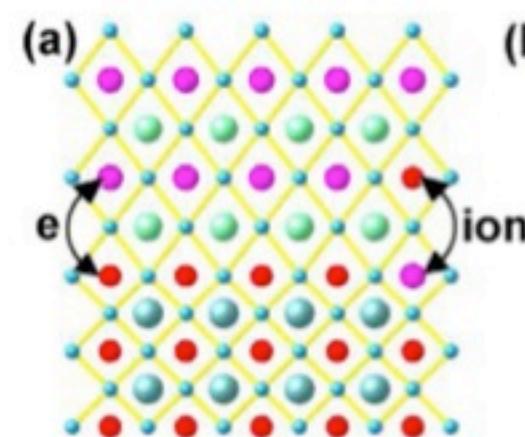
Horizontal Heterostructures



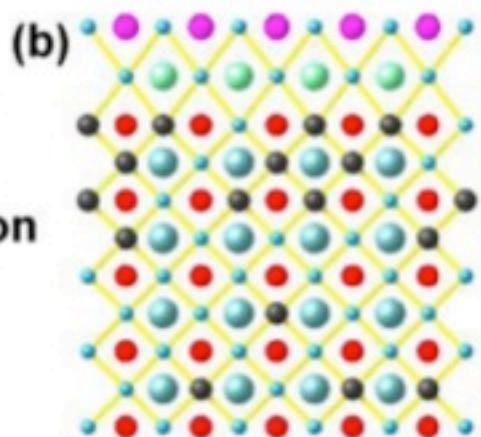
Vertical Heterostructures

**“doping”**

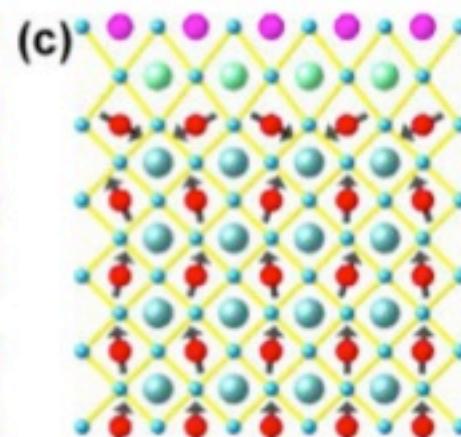
E. Beyreuther et al., *PRB* **73** (2006)



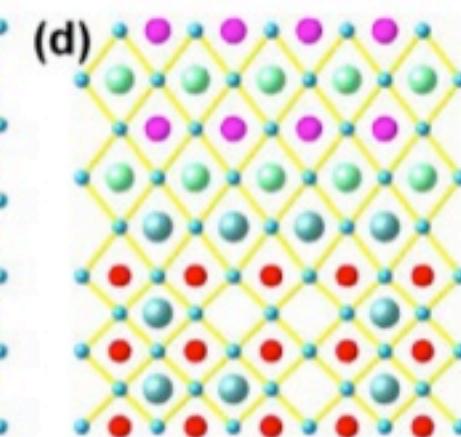
charge transfer



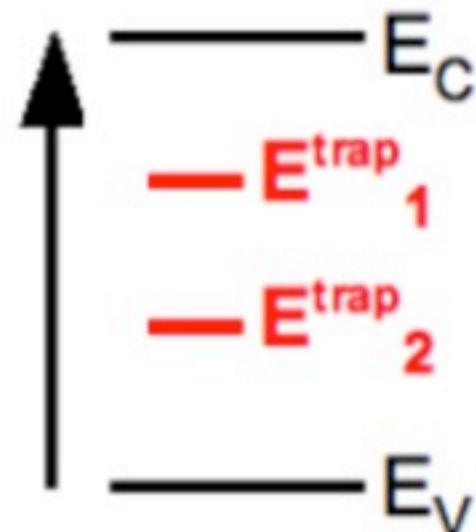
oxygen vacancies

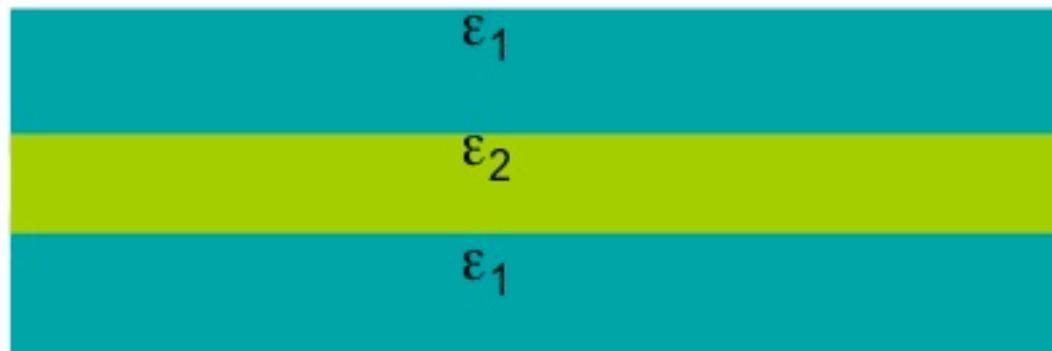


magnetic/orbital disorder



cation segregation





Vertically  
layered structure



Horizontally  
layered structure

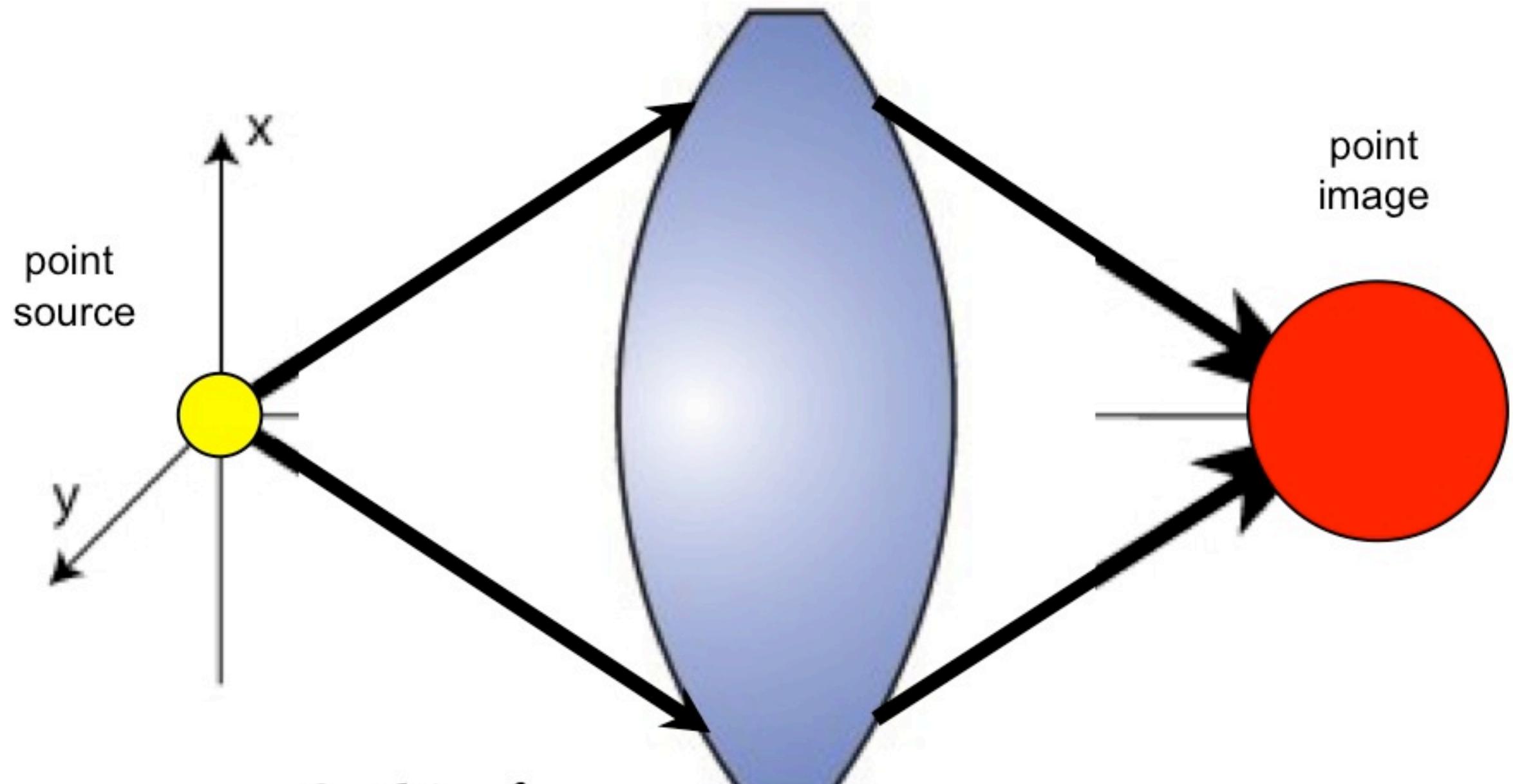


Horizontally layered,  
anisotropic structure

$\hat{\epsilon}_i(\omega)$

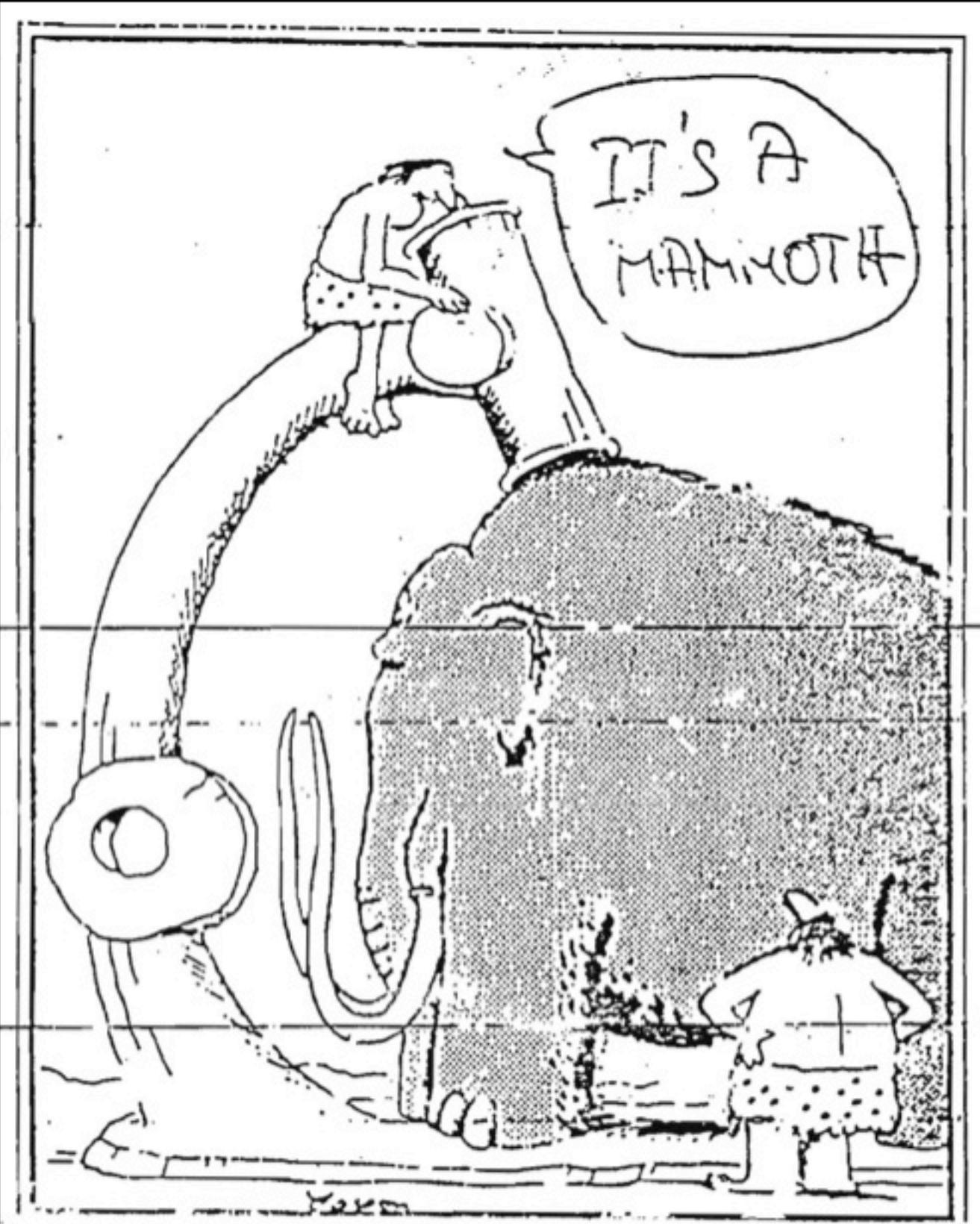
$\omega$  most interesting @ NIR ... THz

# Optical diffraction limit



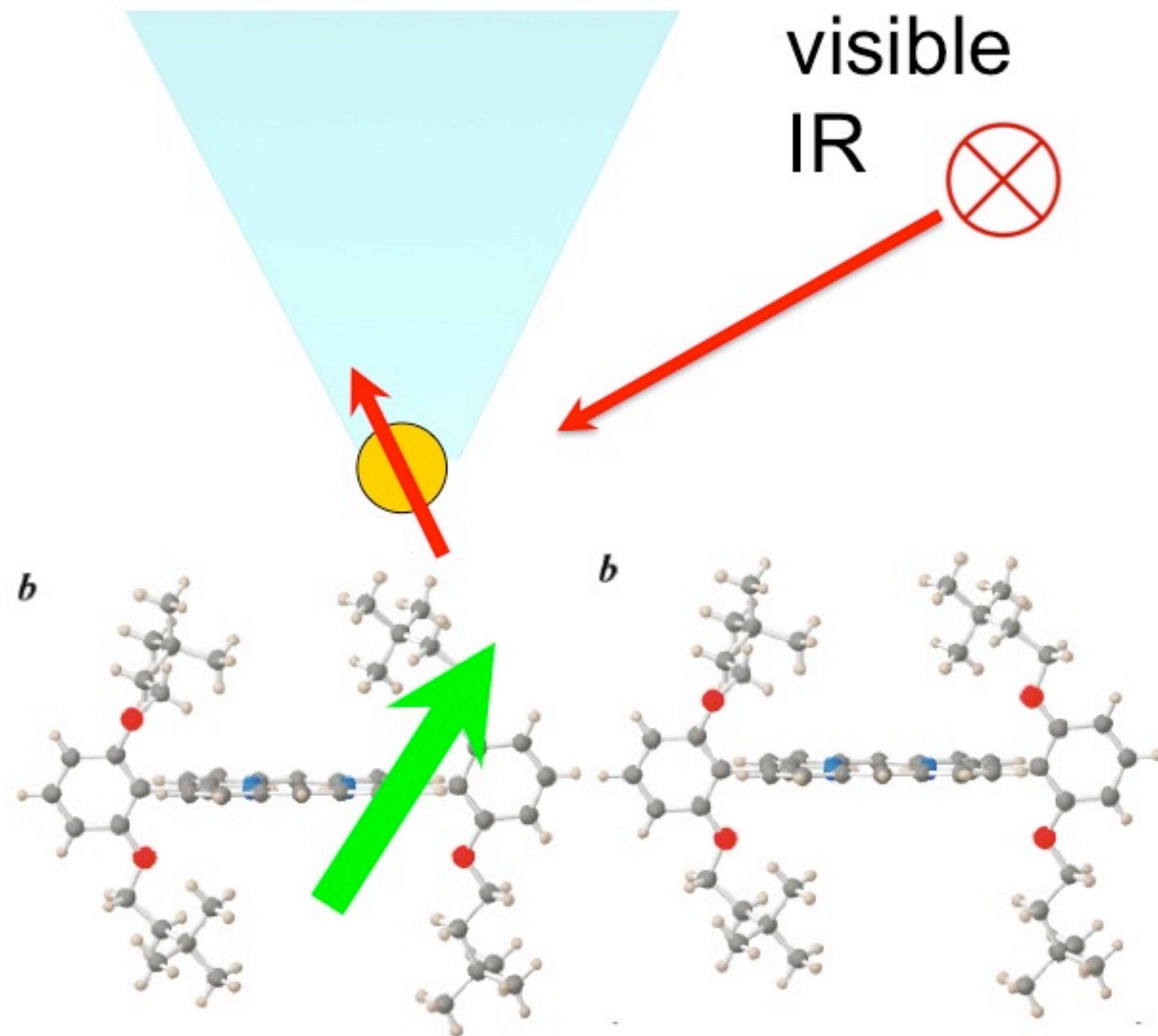
$$\Delta x \approx \frac{0.61 \cdot \lambda}{N.A.}$$

$\lambda$ : wavelength  
N.A.: numerical aperture



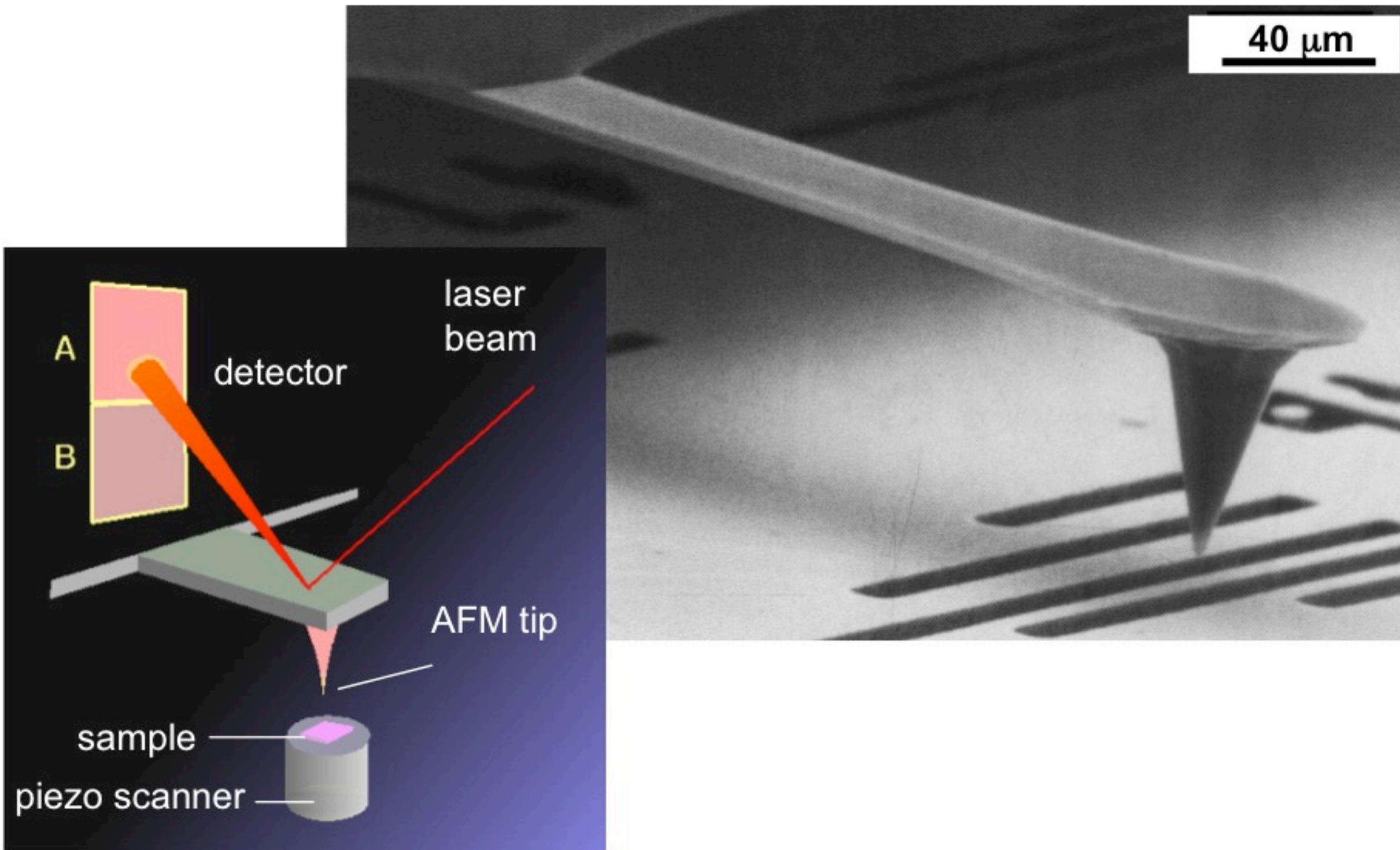
Early Microscope

- Motivation
- IR scattering SNOM based on nc-AFM
- Examples:
  - Si/SiO<sub>2</sub>
  - p-doped, buried Si
  - ferroelectric BaTiO<sub>3</sub>
  - IR superlensing:  
ferroic metamaterials
- Summary

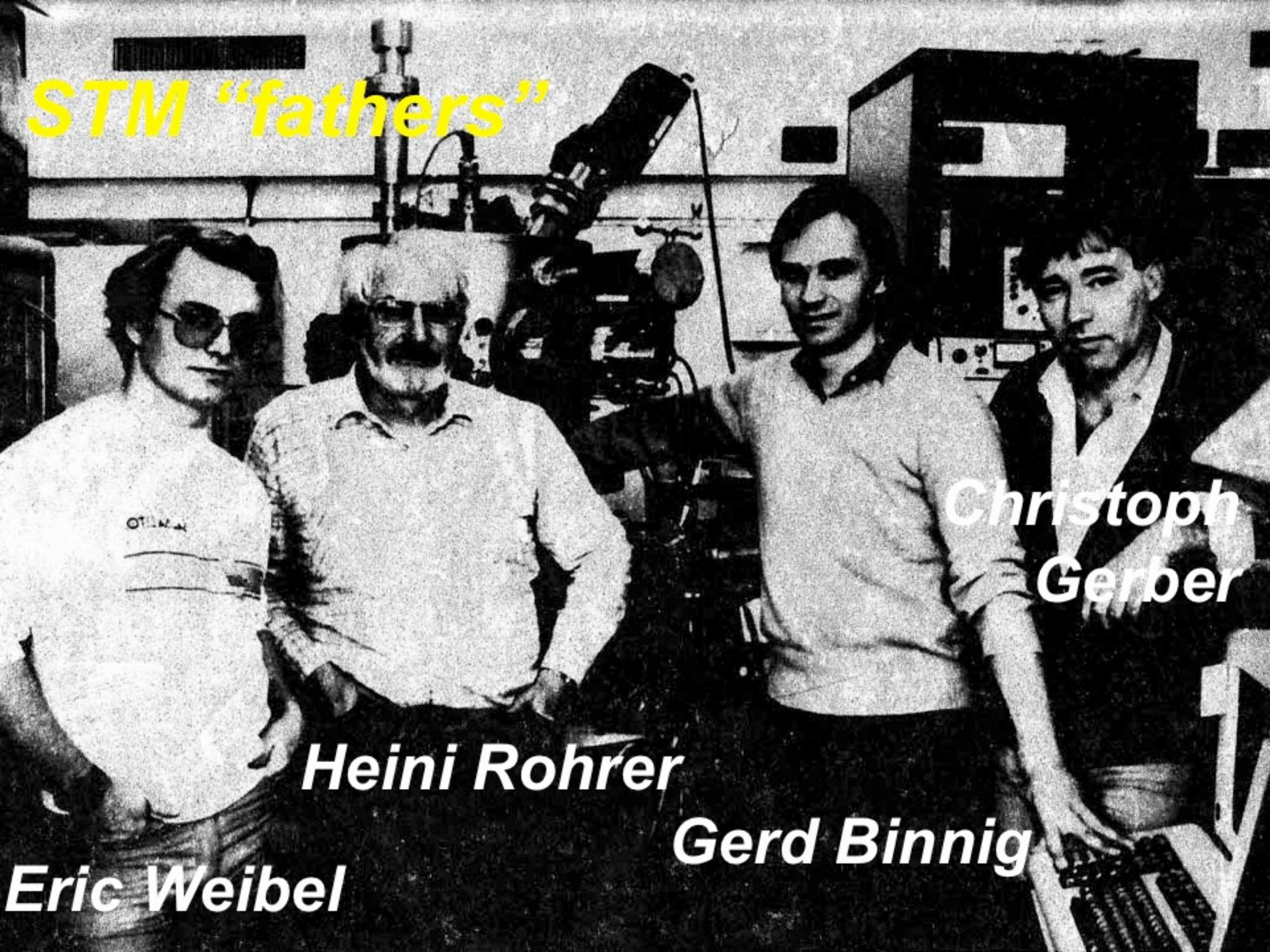


**scattering  
near-field  
optical  
microscopy**

**dipole-dipole  
interaction**



**STM "fathers"**



*Eric Weibel*

*Heini Rohrer*

*Gerd Binnig*

*Christoph  
Gerber*

# ***AFM "fathers"***

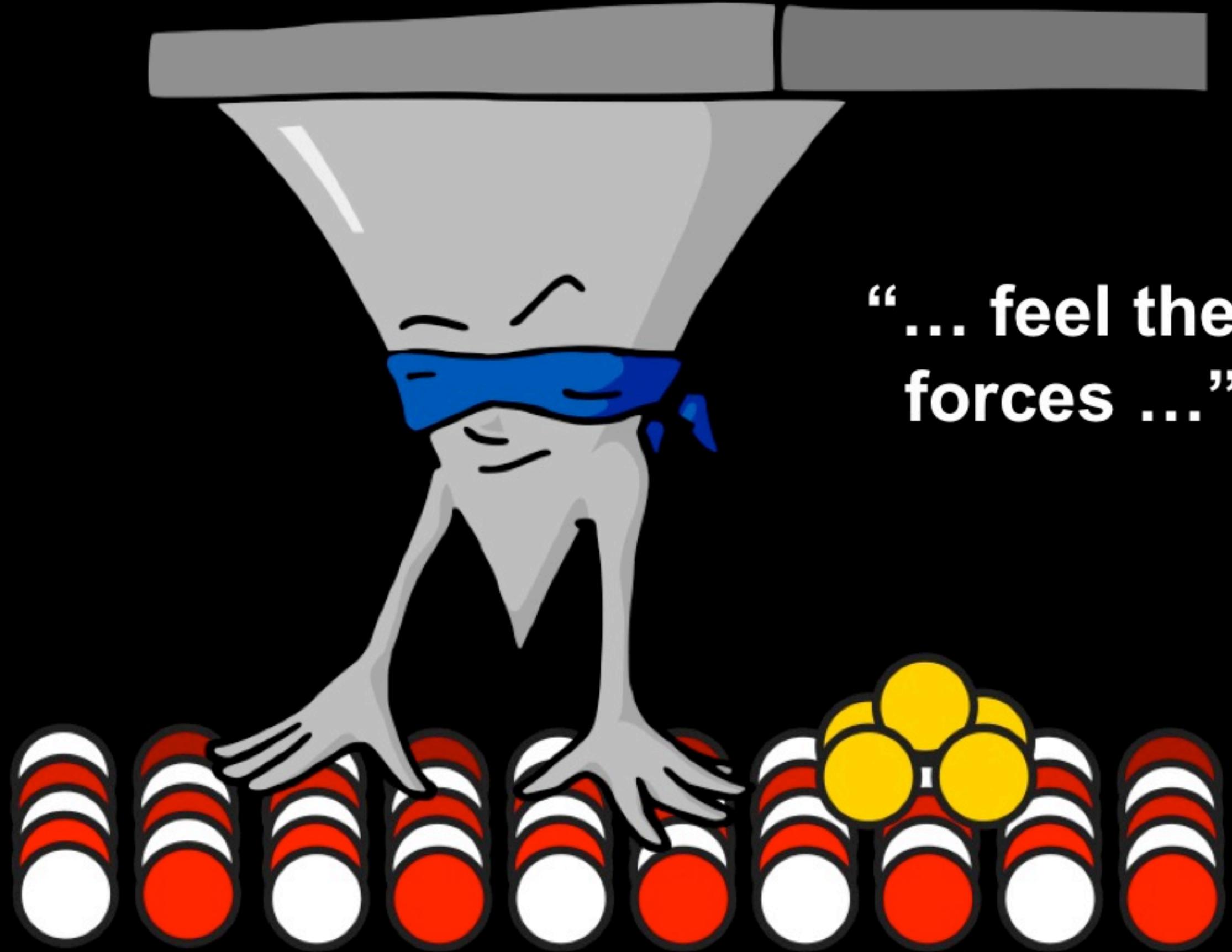


*Eric Weibel*

*Heini Rohrer*

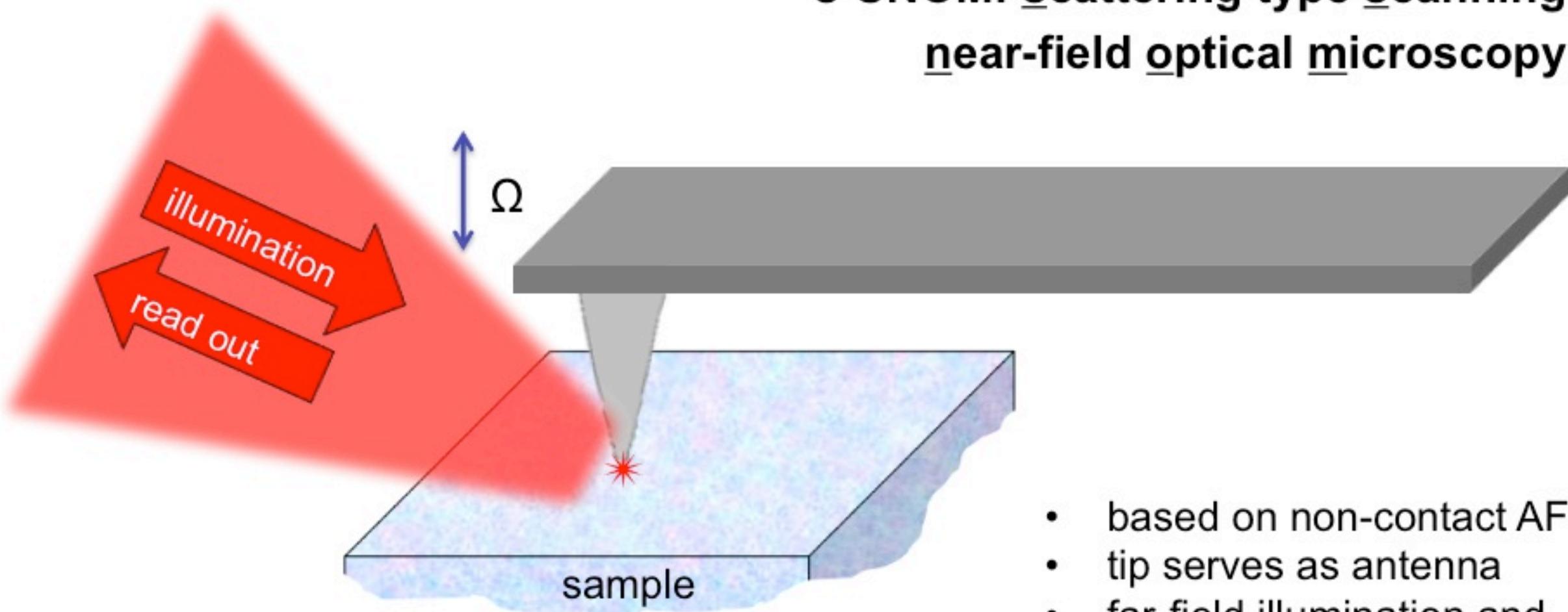
*Gerd Binnig*

*Christoph  
Gerber*



**“... feel the  
forces ...”**

**s-SNOM: scattering type scanning  
near-field optical microscopy**



- **scattering**
- **Raman**
- **absorption**
- **fluorescence**
- ...

- based on non-contact AFM
- tip serves as antenna
- far-field illumination and read out
- confined near field probes optical surface properties
- simultaneous AFM operation: topo, electrical, magnetic info

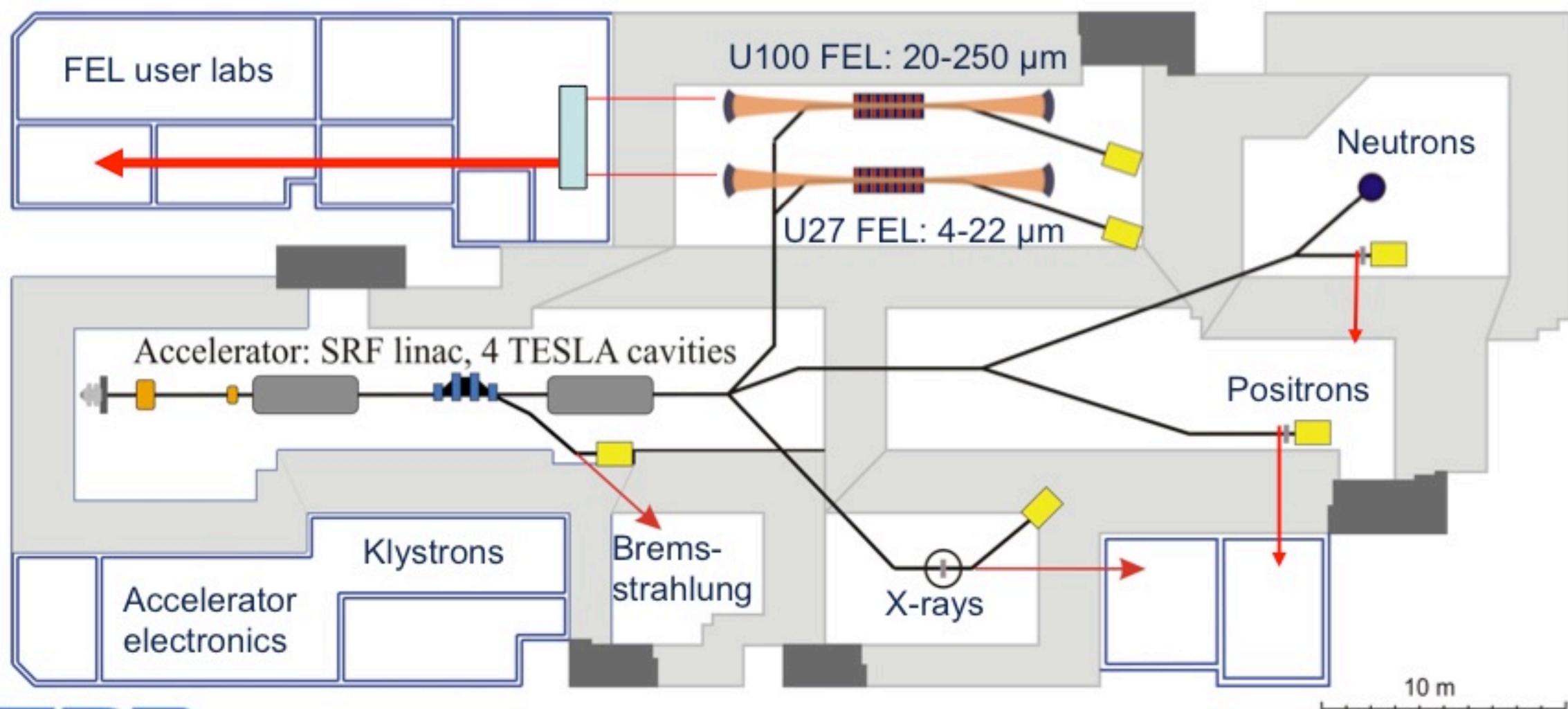


 HZDR

 HELMHOLTZ  
ZENTRUM DRESDEN  
ROSSENDORF

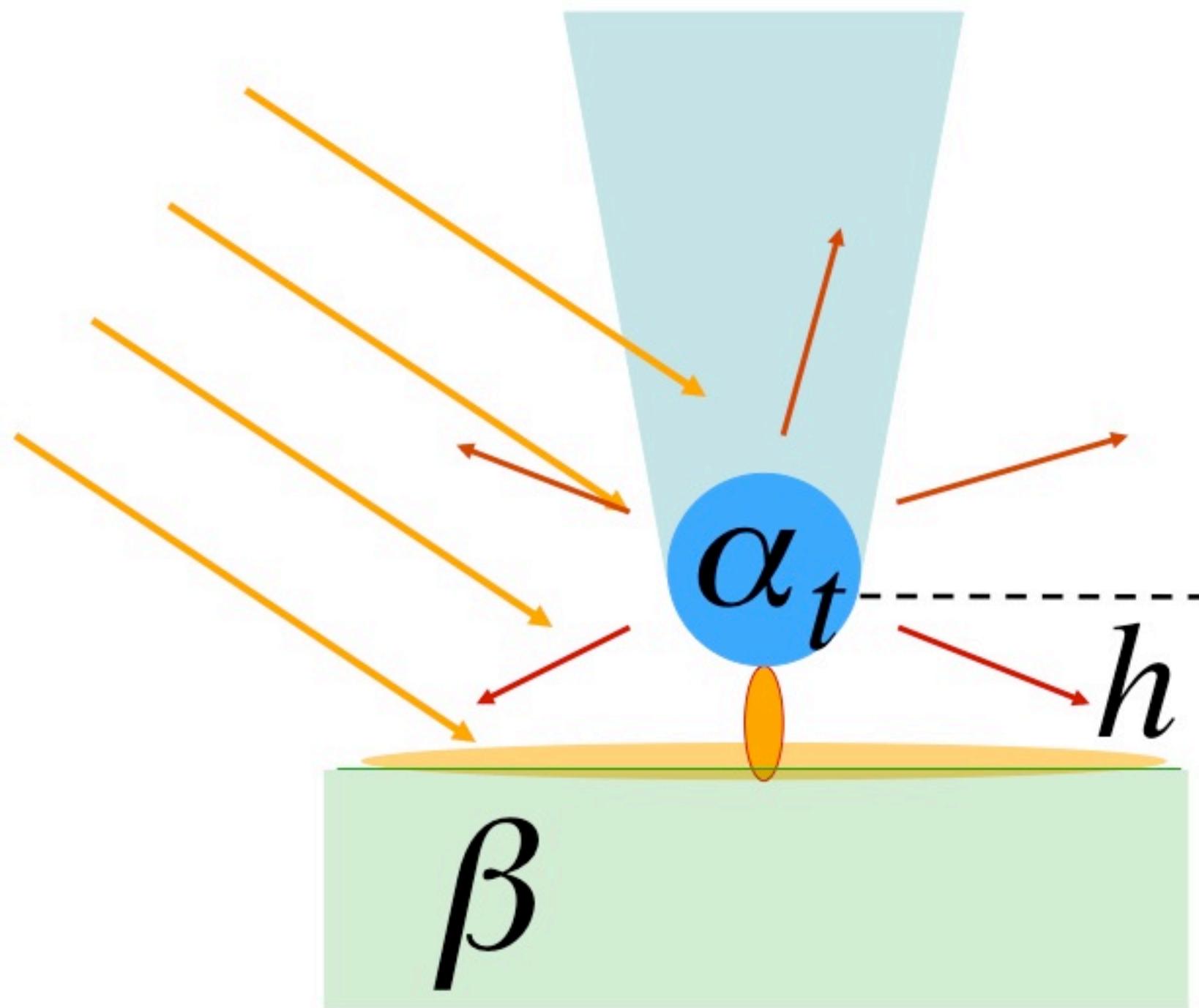
[www.hzdr.de](http://www.hzdr.de)

FELBE: Free Electron Linac with high Brilliance and low Emittance



hzdr

# Resonant field enhancement



$\alpha_t$  tip polarizability  
 $h$  tip-sample distance  
 $\beta$  sample response  
 $\alpha_{\text{eff}}$  total polarizability

$$\alpha_{ij} = \frac{\delta \vec{P}_i}{\delta \vec{E}_j}$$

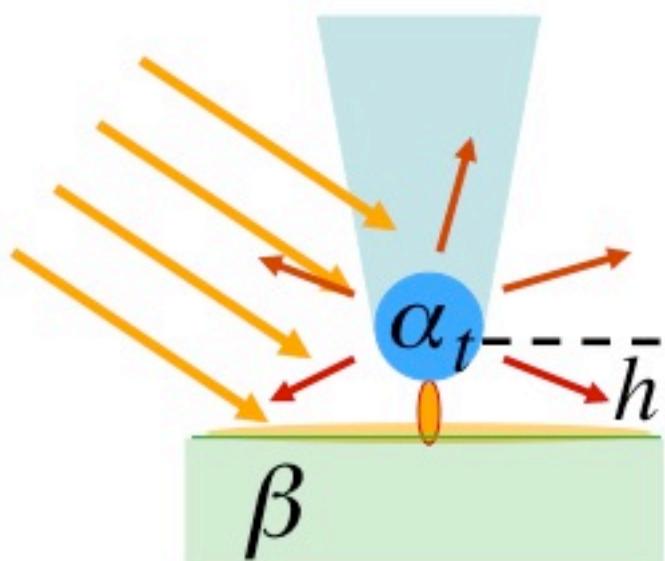
$$\alpha_{\text{eff}} = \frac{\alpha_t \cdot (1 + \beta)}{1 - \frac{\alpha_t \cdot \beta}{16\pi h^3}}$$

p-polarization

$$\alpha_{\text{eff}} = \frac{\alpha_t \cdot (1 - \beta)}{1 - \frac{\alpha_t \cdot \beta}{32\pi h^3}}$$

$$\beta = \frac{\epsilon_s - 1}{\epsilon_s + 1}$$

s-polarization



$$\alpha_{eff} = \frac{\alpha_t \cdot (1 + \beta)}{1 - \frac{\alpha_t \cdot \beta}{16\pi h^3}}$$

p-polarization

$\alpha_t$  tip polarizability  
 $h$  tip-sample distance  
 $\beta$  sample response  
 $\alpha_{eff}$  total polarizability

$$\beta = \frac{\epsilon_s - 1}{\epsilon_s + 1}$$

Tip resonance  
 Plasmon polariton  
 (visible wavelengths)

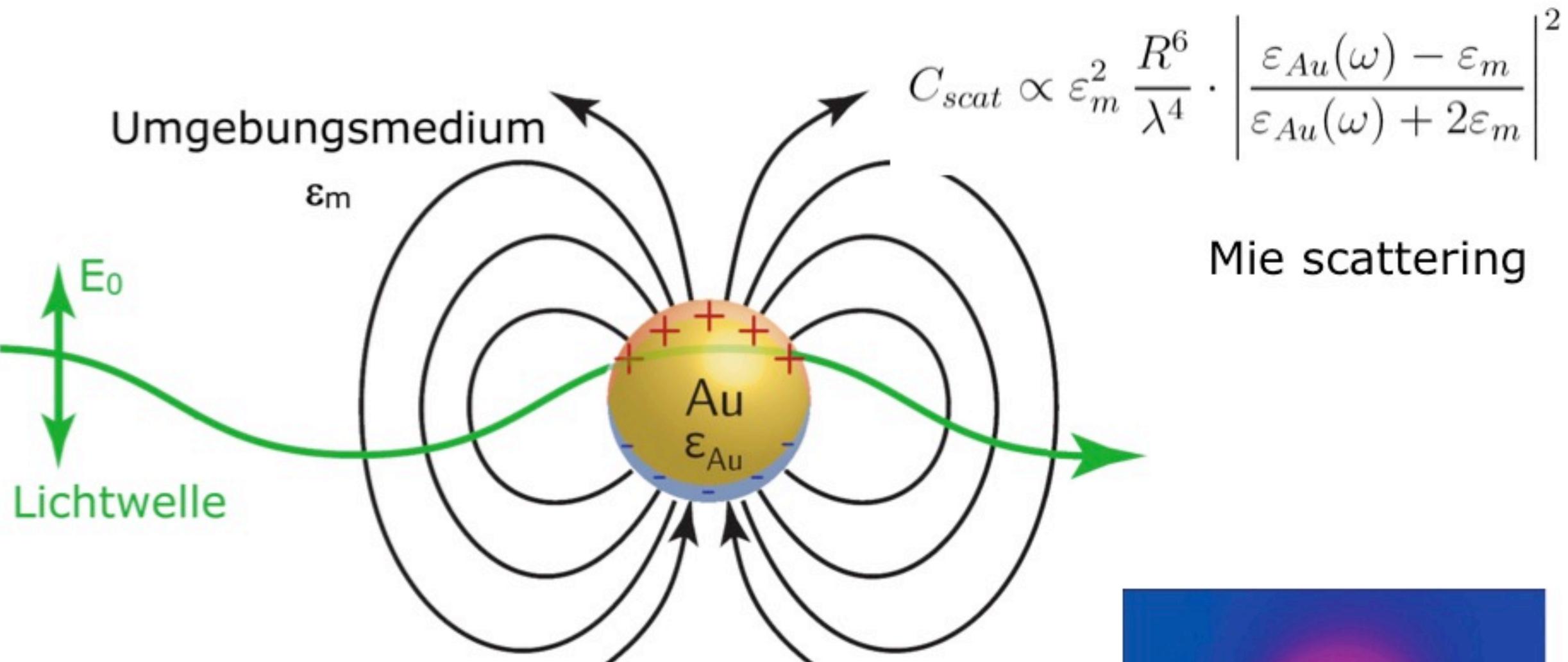
Sample resonance  
 Phonon polariton  
 (IR wavelengths)

Scattering:

$$C_{sca} = \frac{k^4}{6\pi} |\alpha_{eff}|^2 = \frac{8\pi^3}{3\lambda^4} |\alpha_{eff}|^2$$

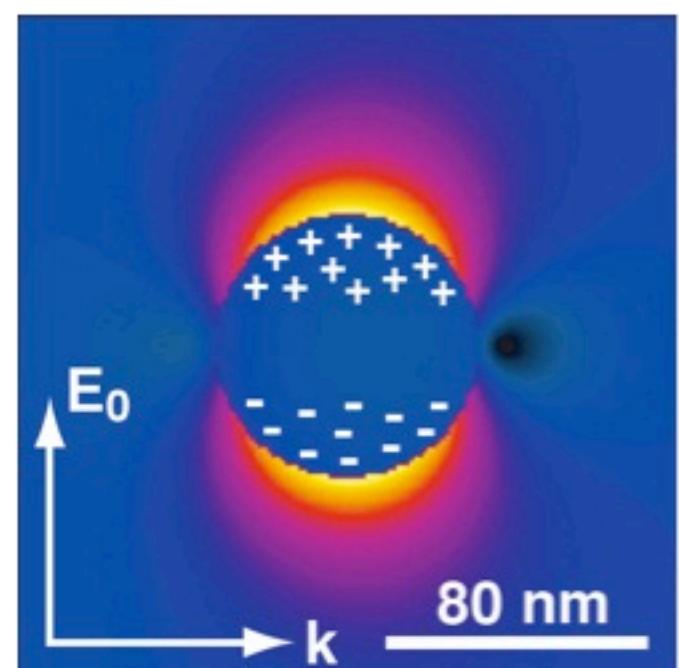
Absorption:

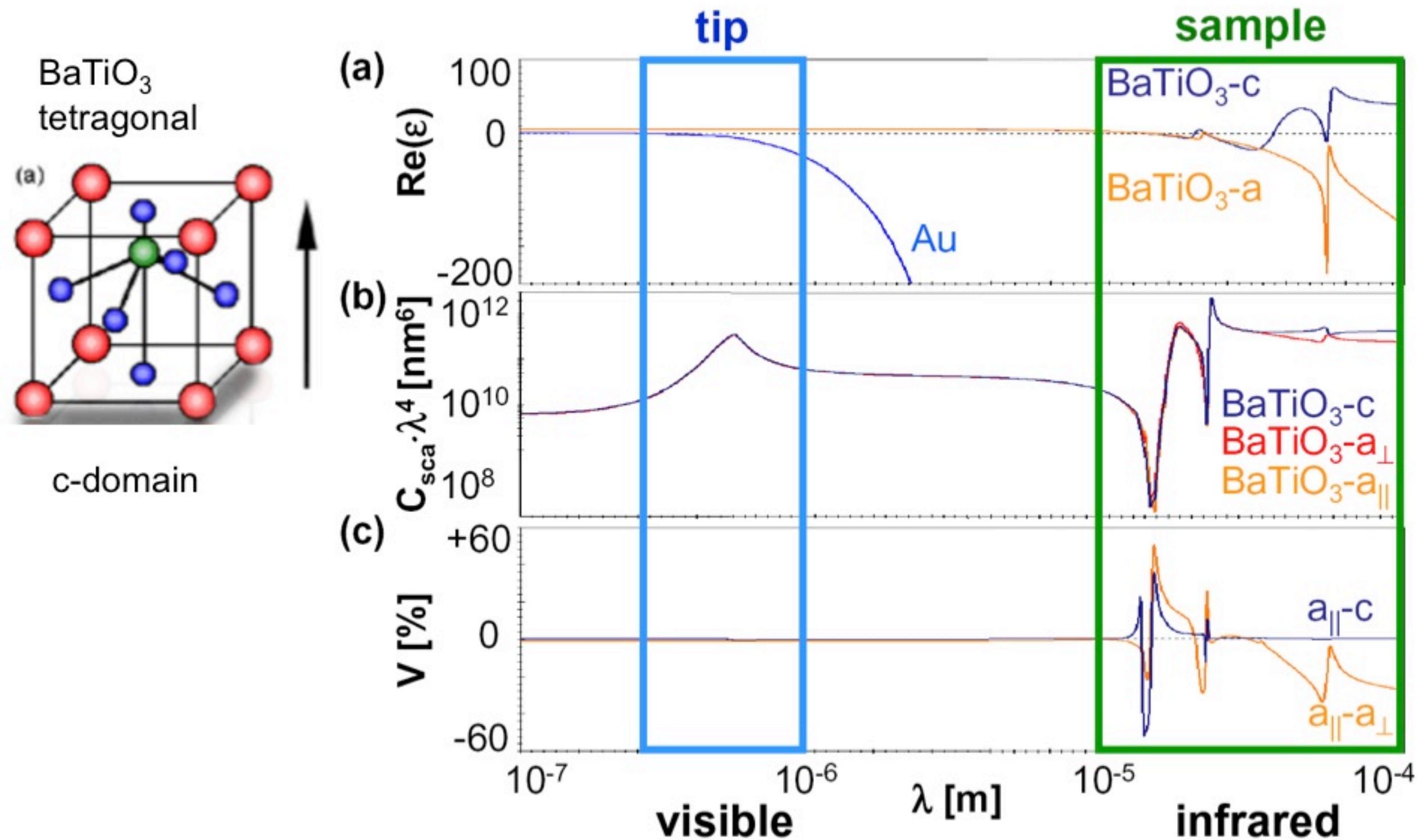
$$C_{abs} = k \cdot \text{Im}(\alpha_{eff}) = \frac{2\pi}{\lambda} \cdot \text{Im}(\alpha_{eff})$$

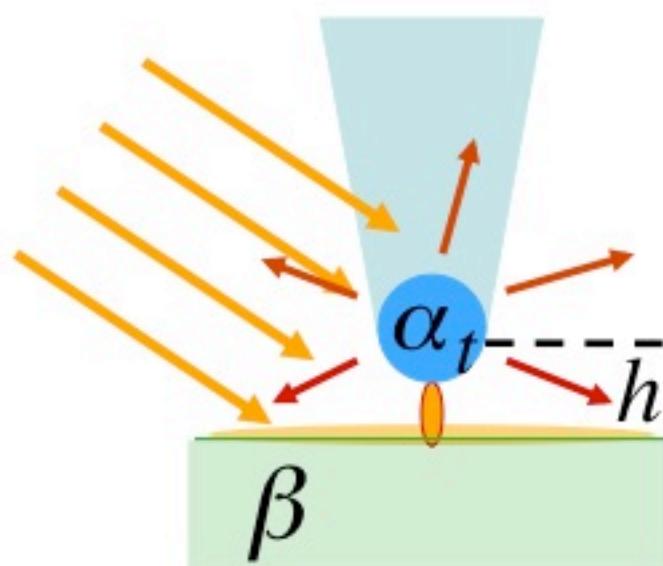


Limiting effects:

- small particle:  $d < 3$  nm, damping
- large particle:  $d > \lambda/2$ , dephasing







$$\alpha_{eff} = \frac{\alpha_t \cdot (1 + \beta)}{1 - \frac{\alpha_t \cdot \beta}{16\pi h^3}}$$

p-polarization

|                |                      |
|----------------|----------------------|
| $\alpha_t$     | tip polarizability   |
| $h$            | tip-sample distance  |
| $\beta$        | sample response      |
| $\alpha_{eff}$ | total polarizability |

$$\beta = \frac{\varepsilon_s - 1}{\varepsilon_s + 1}$$

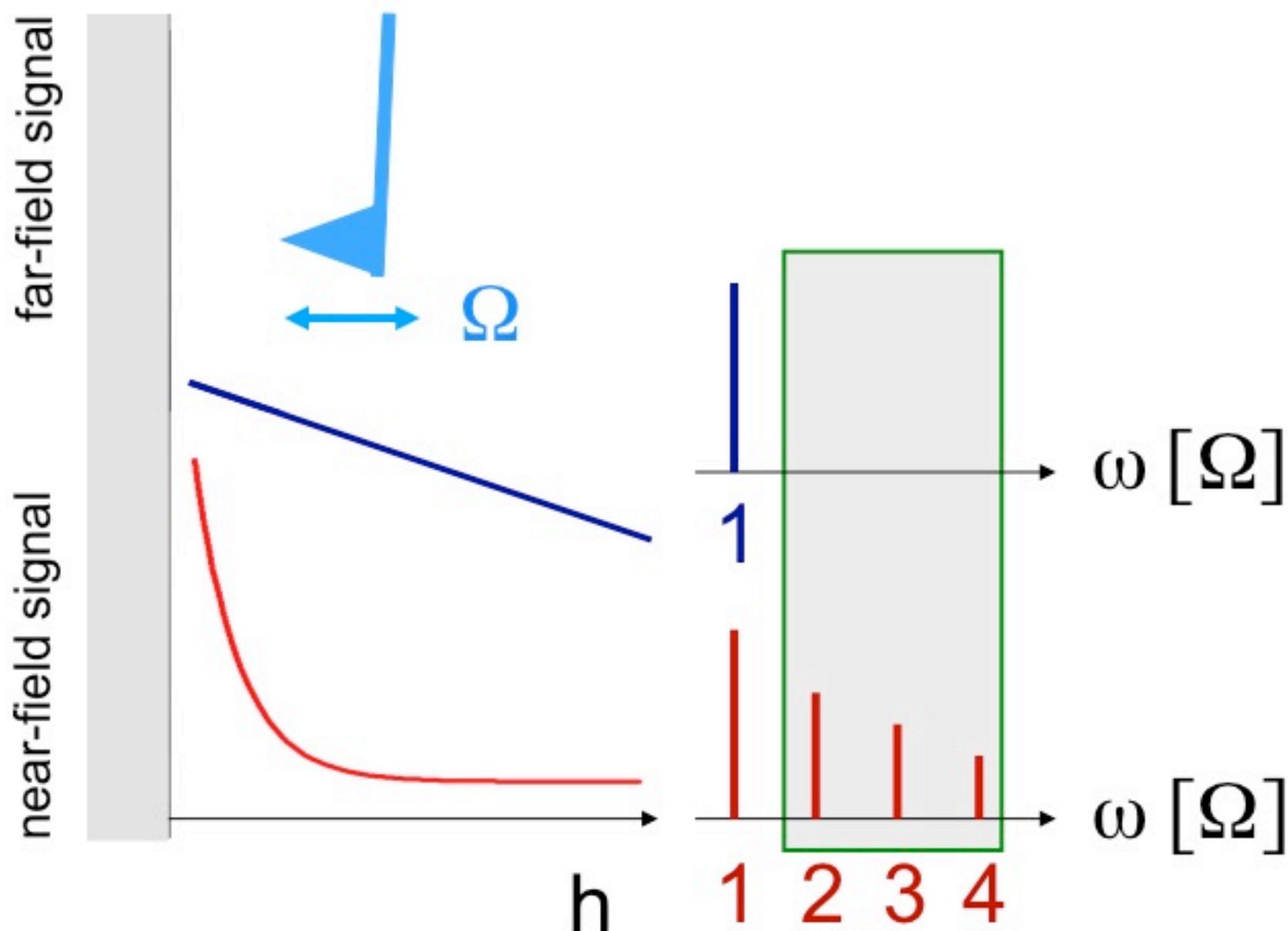
Scattering:

$$C_{sca} = \frac{k^4}{6\pi} |\alpha_{eff}|^2 = \frac{8\pi^3}{3\lambda^4} |\alpha_{eff}|^2$$

Absorption:

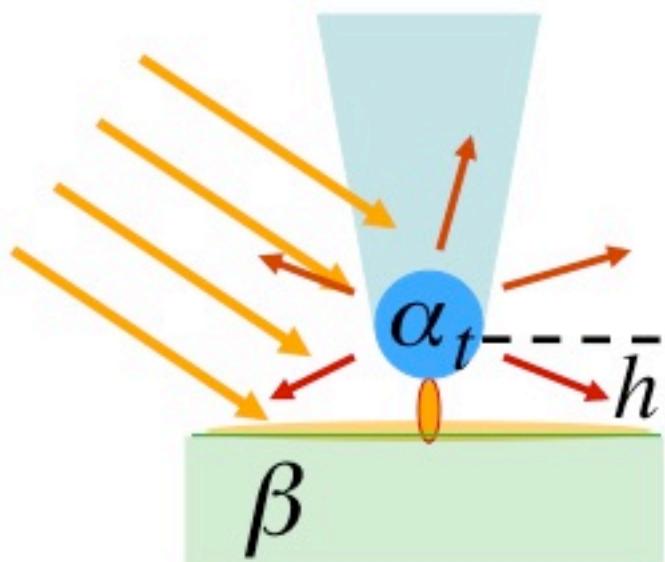
$$C_{abs} = k \cdot \text{Im}(\alpha_{eff}) = \frac{2\pi}{\lambda} \cdot \text{Im}(\alpha_{eff})$$

- $\lambda$  spectroscopy
- distance  $h$  spectroscopy
- imaging -> microscopy



**Fourier series de-convolution  
of non-linear near-field signal**

# 4 Examples



$$\alpha_{eff} = \frac{\alpha_t \cdot (1 + \beta)}{1 - \frac{\alpha_t \cdot \beta}{16\pi h^3}}$$

$\alpha_t$  tip polarizability  
 $h$  tip-sample distance  
 $\beta$  sample response

$$\beta = \frac{\epsilon_s - 1}{\epsilon_s + 1}$$

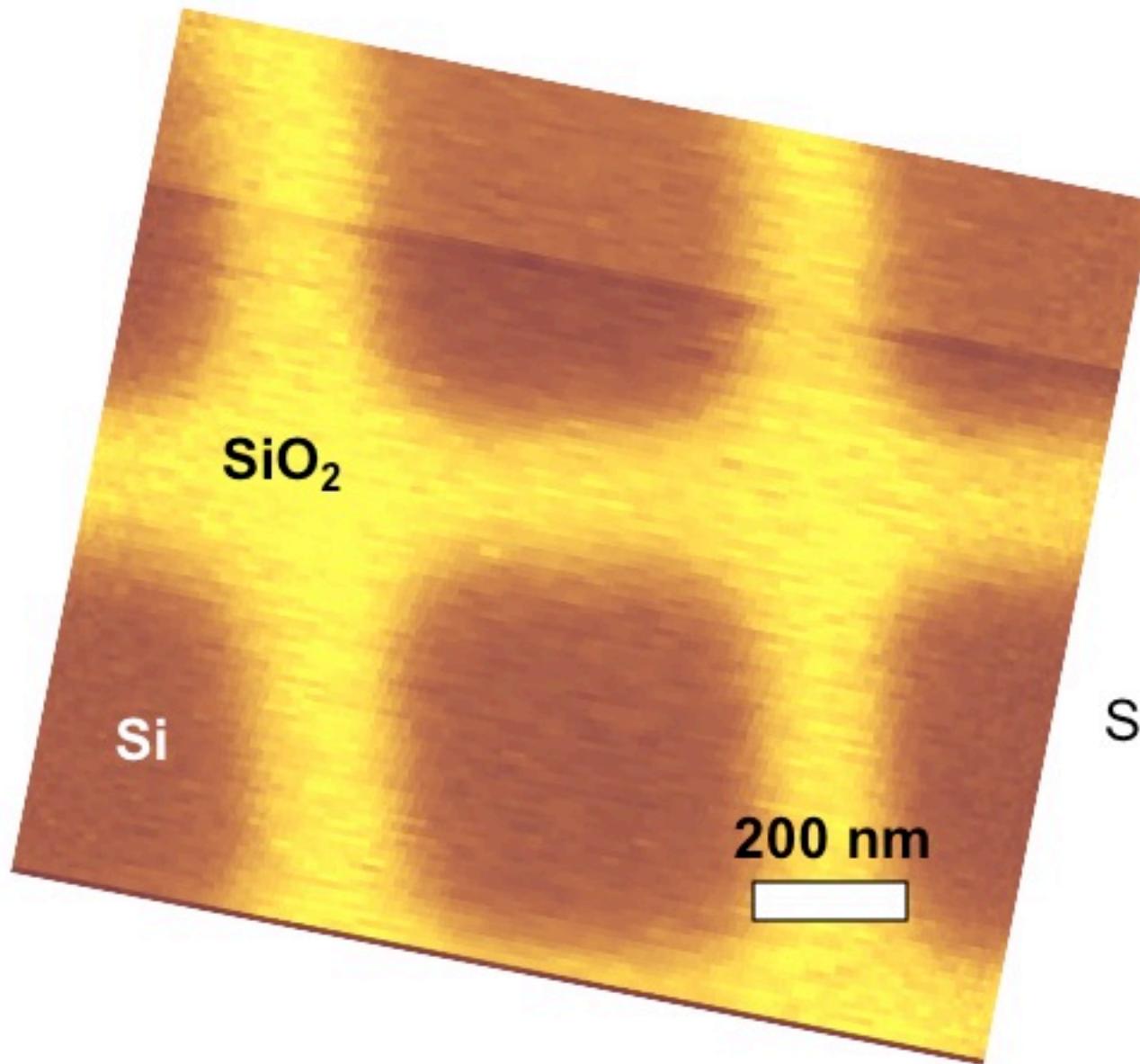
Tip resonance  
Plasmon polariton  
(visible wavelengths)

⇒ Signal increased

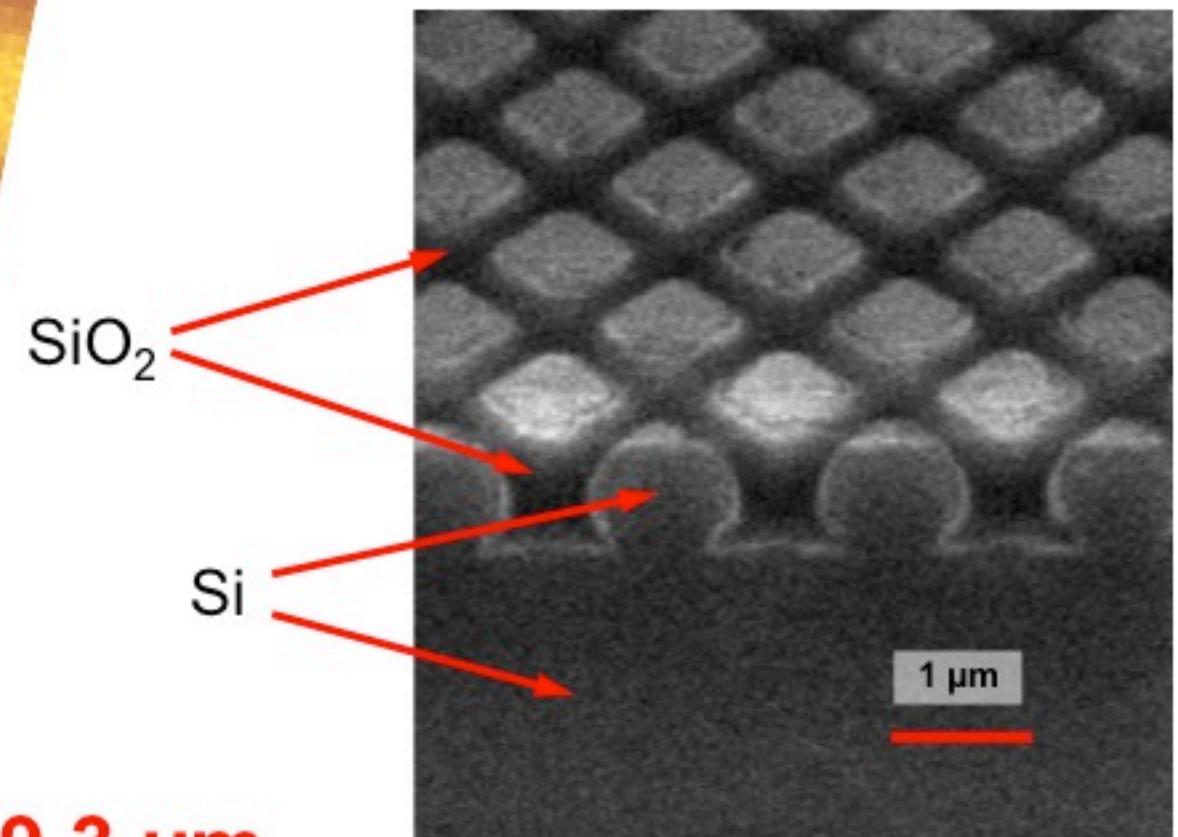
Sample resonance  
Phonon polariton  
(IR wavelengths)

⇒ Signal increased

# #1: Si/SiO<sub>2</sub>



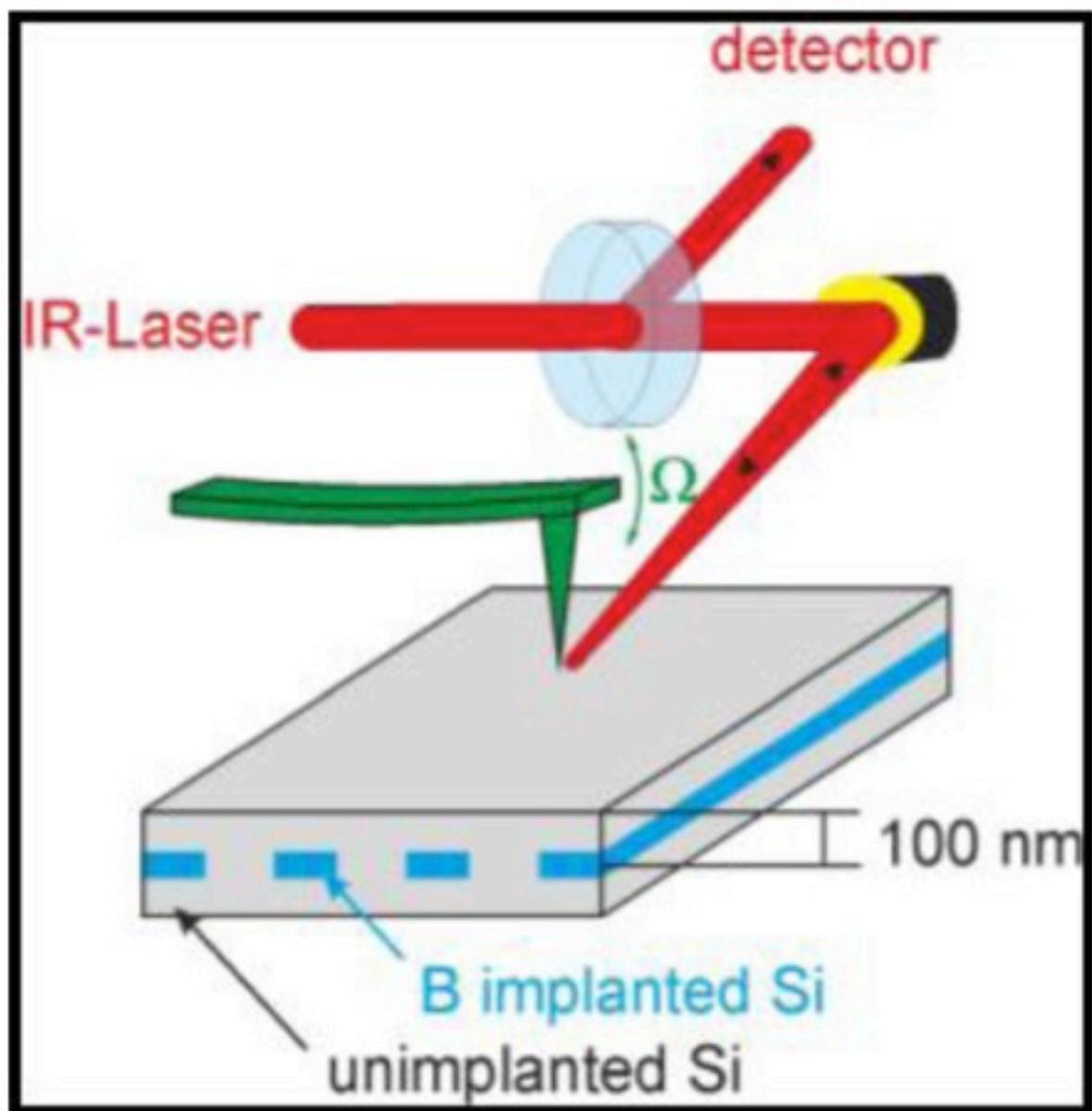
- DRAM application
- Non-destructive technique
- Local dopand concentration



➤ Resolution of <  $\lambda/1000$  @ 9.3 μm

M.T. Wenzel, TUD (2011)

SiO<sub>2</sub> filled trenches,  
thickness 400 nm,  
width < 200 nm

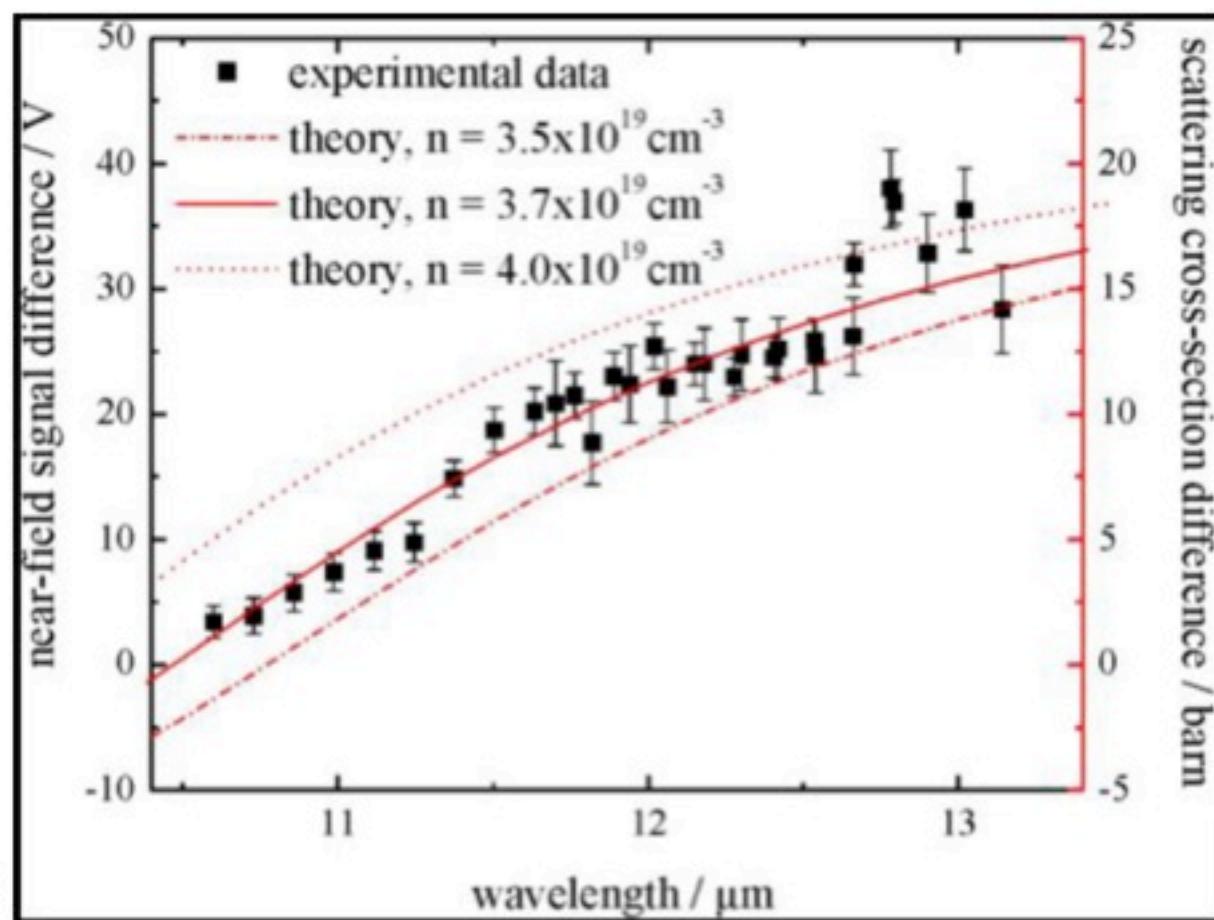


Theory:  $(4,0 \pm 1,0) \times 10^{19} \text{ cm}^{-3}$

Experiment:  $(3,7 \pm 0,3) \times 10^{19} \text{ cm}^{-3}$

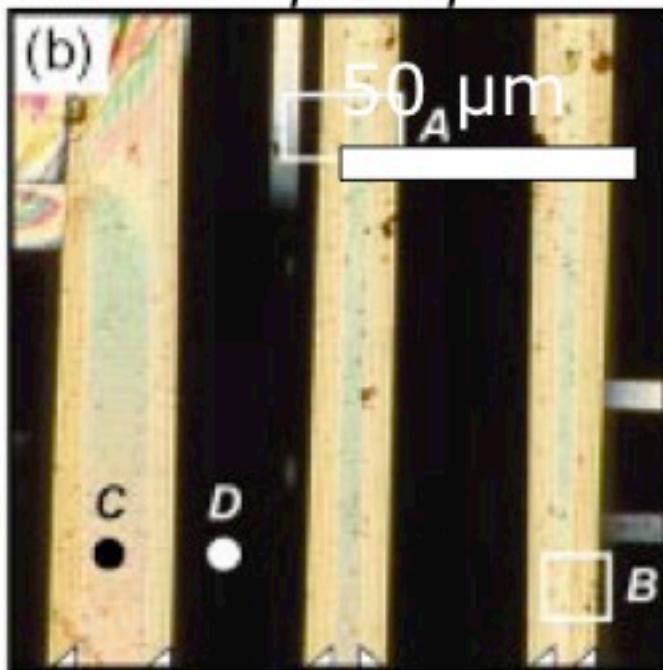
$$\varepsilon_s(\omega, N) = \varepsilon_\infty - \frac{\omega_p^2(N)}{\omega^2 + i\omega\gamma(N)}$$

$$\omega_p^2(N) = \frac{q^2 N}{m^* \varepsilon_0}$$

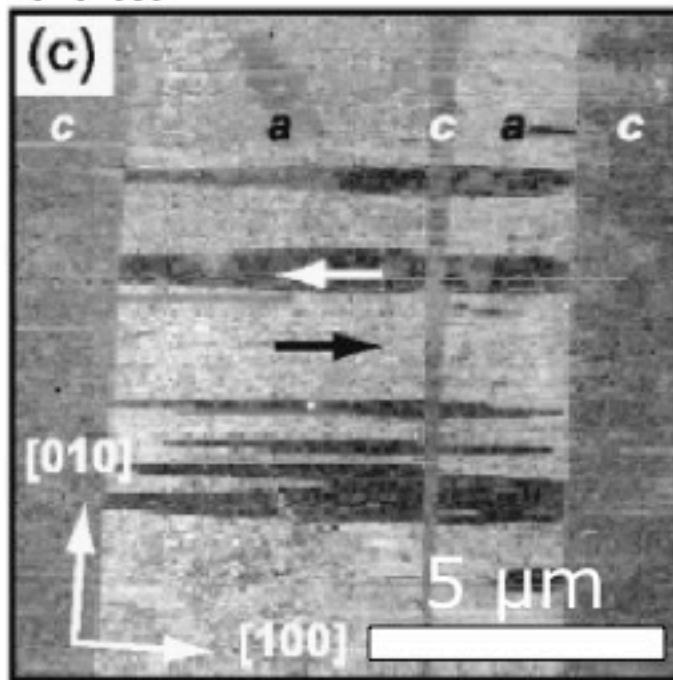


# #3: BaTiO<sub>3</sub>

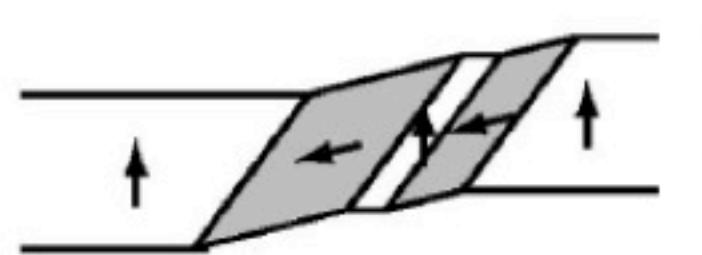
*Confocal pol. optics*



*PFM*

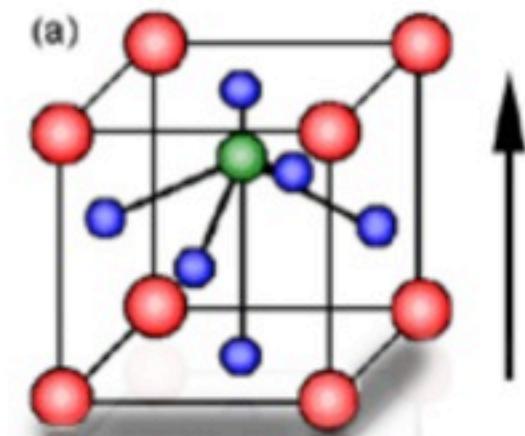
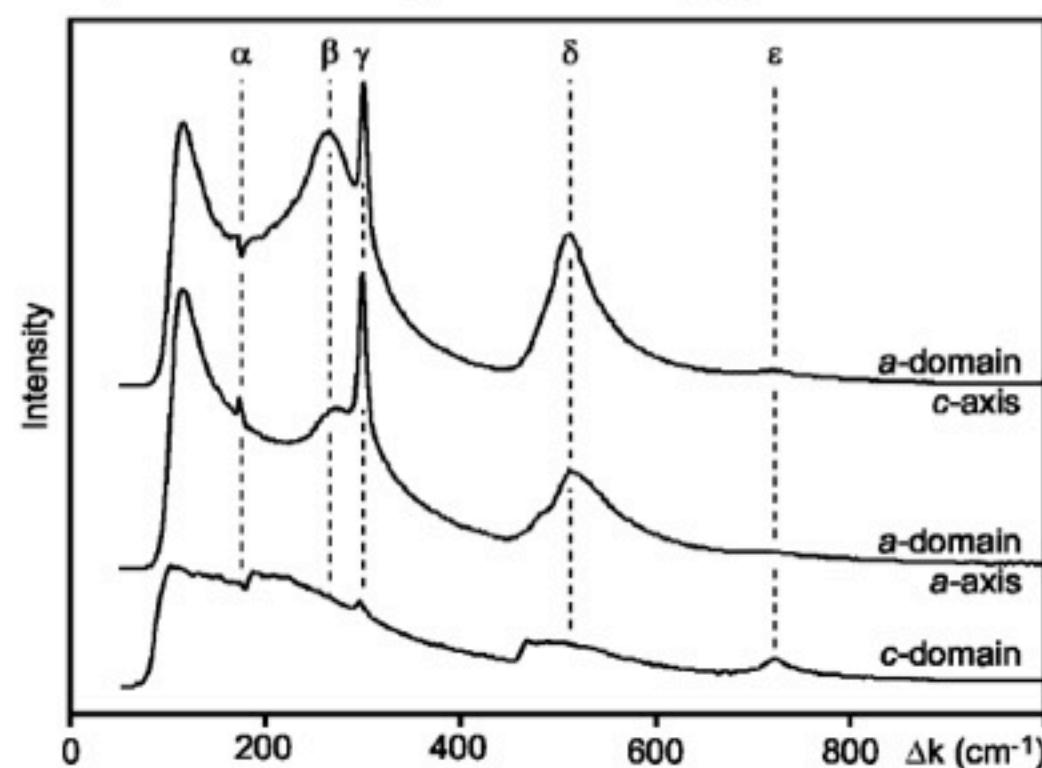


*Region B*

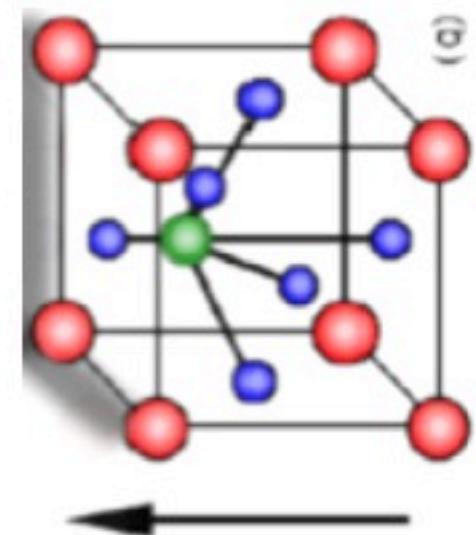


- atomically flat surface
- anisotropic
- domains  $\overset{\wedge}{\mathcal{E}}_s$

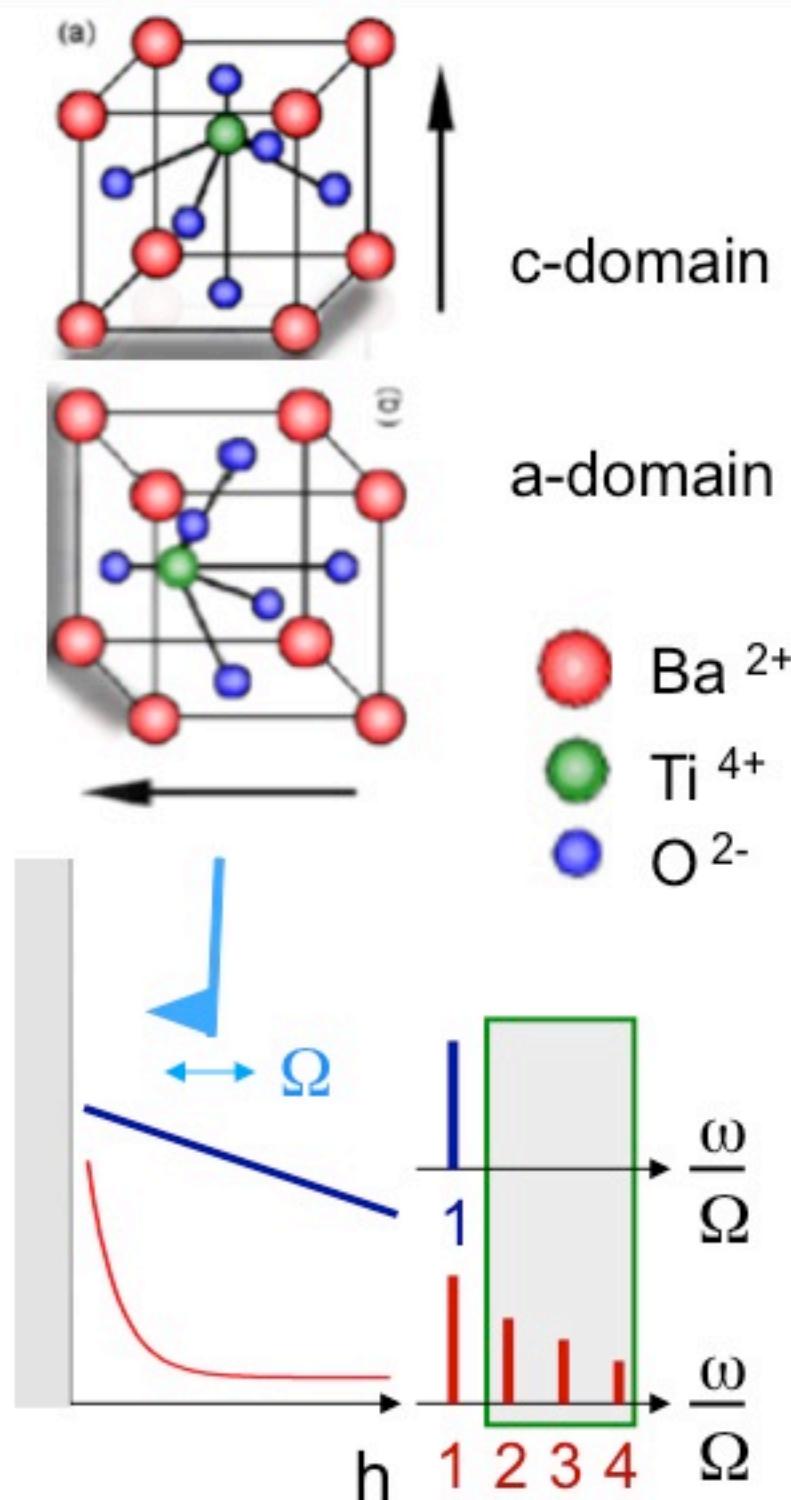
*$\mu$ -Raman spectroscopy*



c-domain



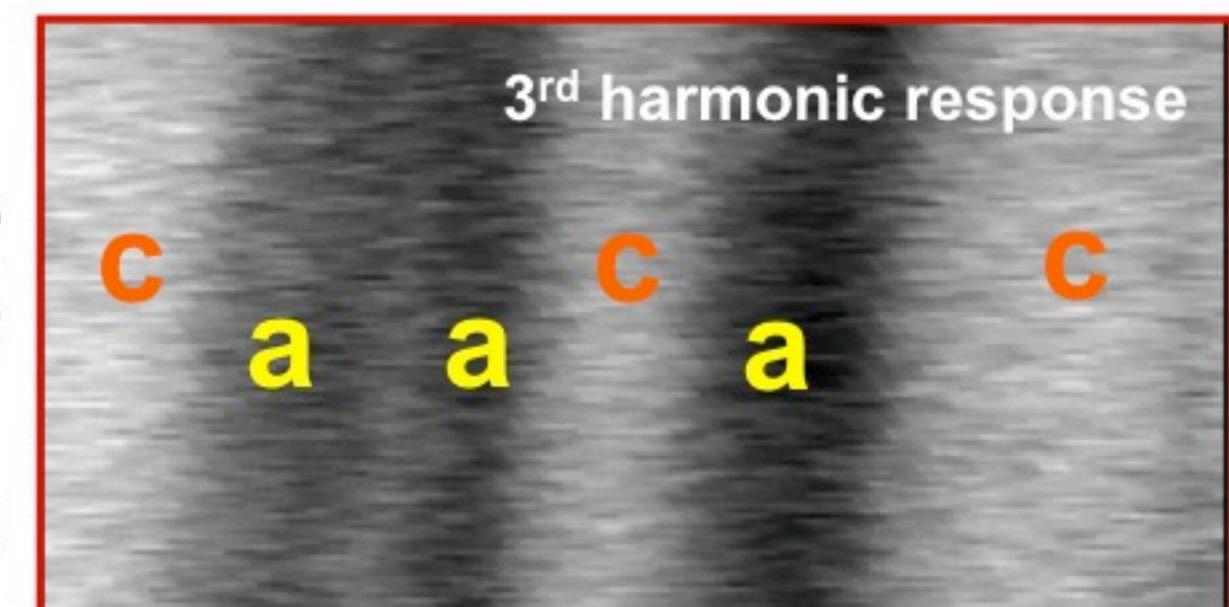
a-domain



$\text{BaTiO}_3$ :  

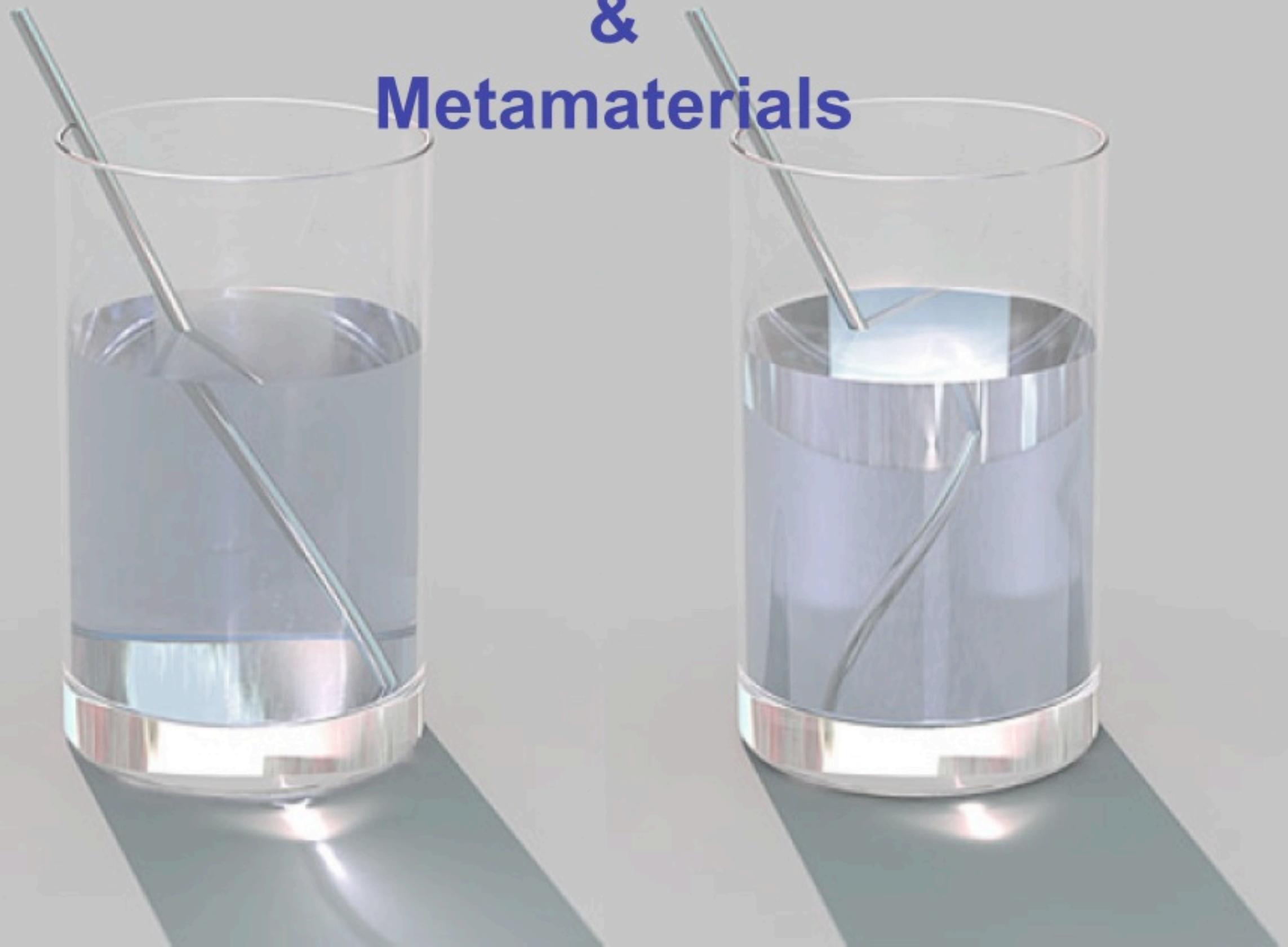
- atomically flat surface
- anisotropic
- domains

 $\hat{\epsilon}_s$



optical resolution:  $\lambda/500$

# #4: Perovskite Superlensing & Metamaterials



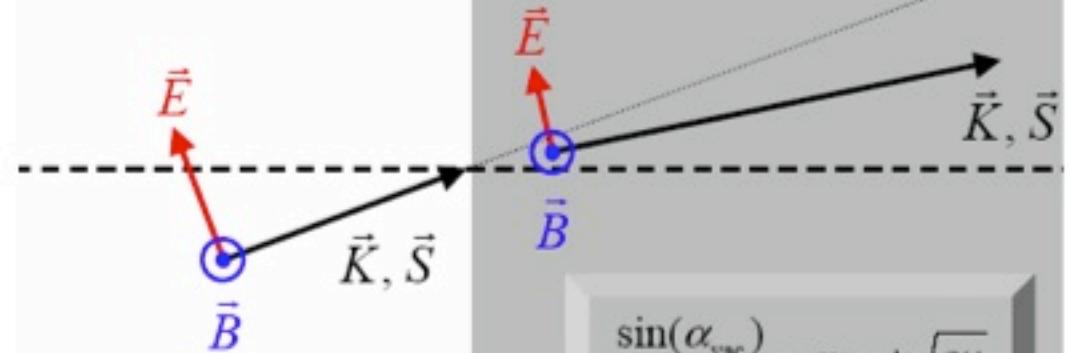
# Optical refraction

**RHM:** right handed material  
positive refractive materials

**LHM:**  
**left handed material**  
**negative refractive material**

$$\varepsilon = \mu = n = 1$$

$$\varepsilon > 1, \mu = 1$$



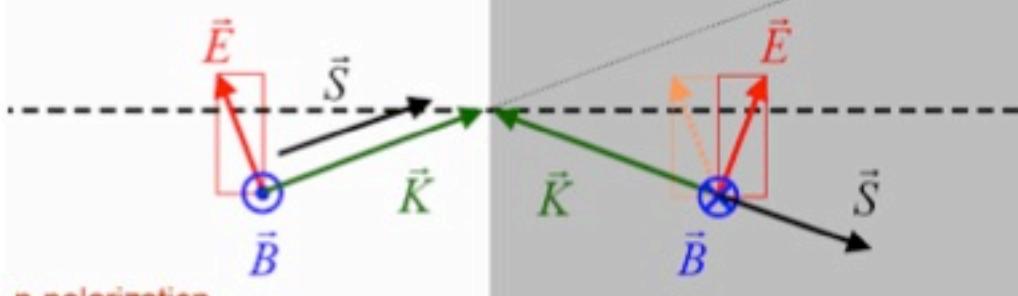
$$\frac{\sin(\alpha_{\text{vac}})}{\sin(\alpha_{\text{med}})} = n = +\sqrt{\varepsilon\mu}$$

$$\varepsilon = \mu = n = 1$$

$$\varepsilon = \mu = -1 \Rightarrow Z = \sqrt{\frac{\mu_0 \mu}{\varepsilon_0 \varepsilon}} = Z_0$$

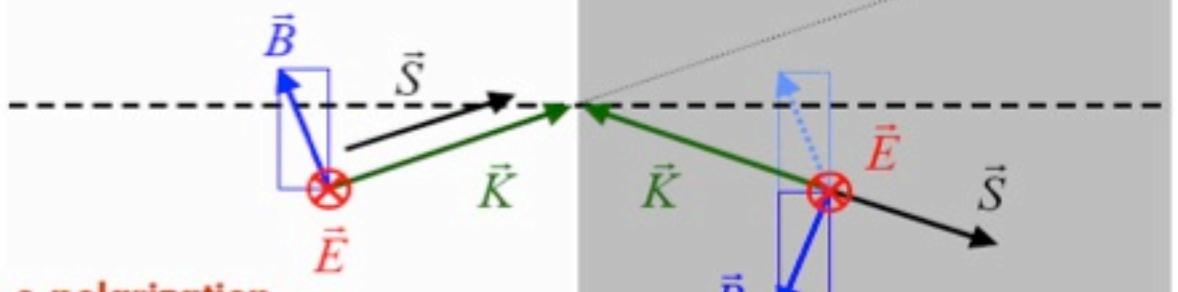
$$\varepsilon = \mu = n = 1$$

$$\varepsilon = \mu = -1 \Rightarrow Z = \sqrt{\frac{\mu_0 \mu}{\varepsilon_0 \varepsilon}} = Z_0$$



p-polarization

$$n = -\sqrt{\varepsilon\mu} = -1$$



s-polarization

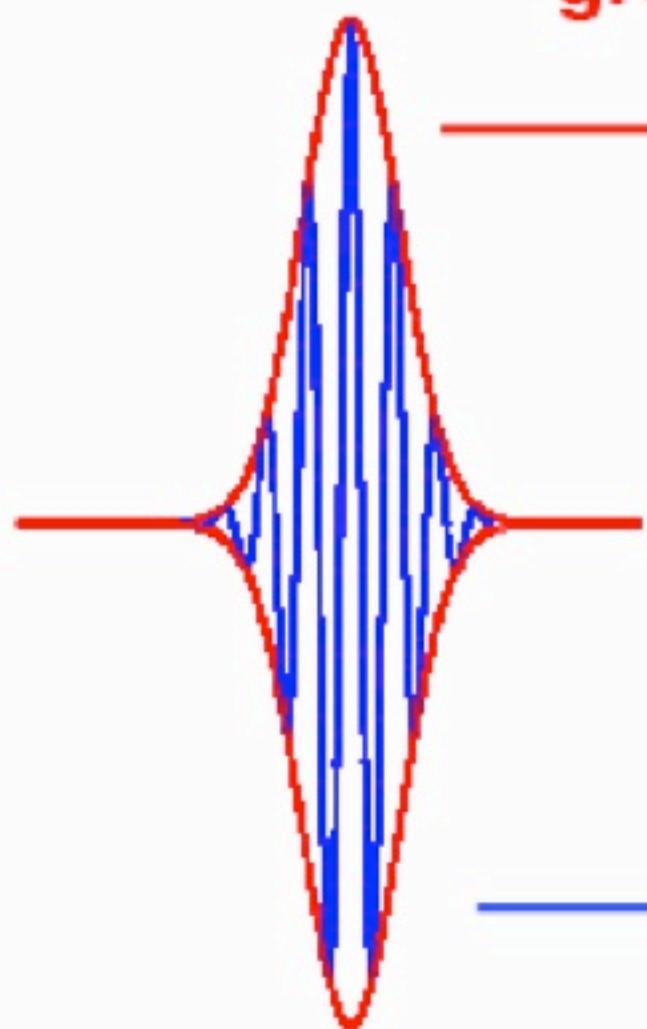
$$n = -\sqrt{\varepsilon\mu} = -1$$

$$\varepsilon = \mu = n = 1$$

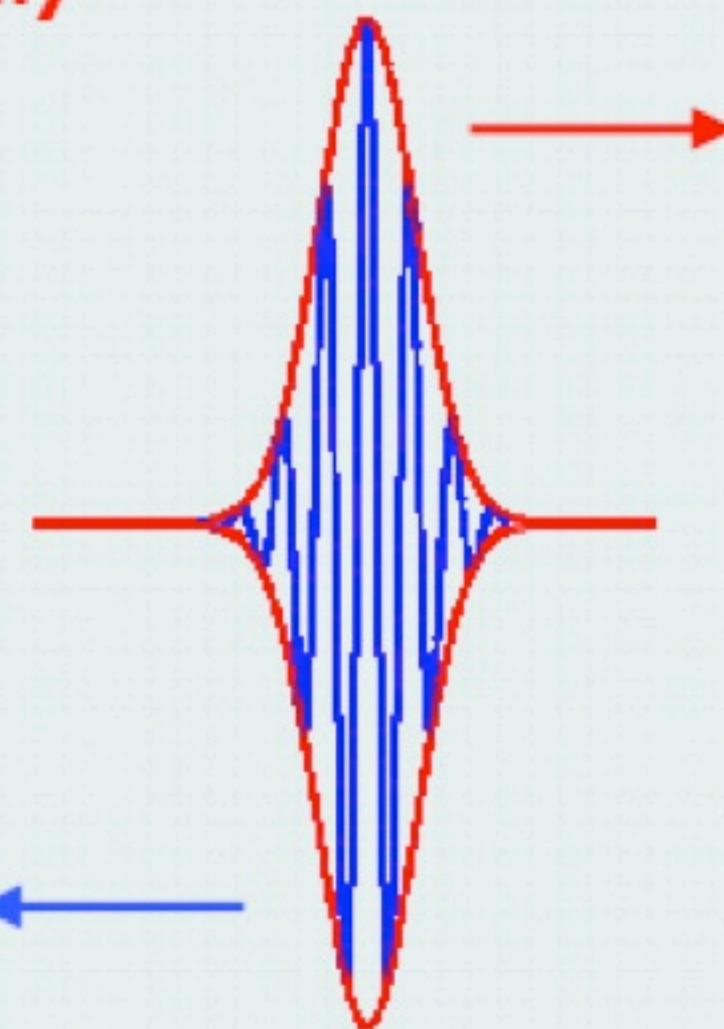
$$\varepsilon = \mu = n = -1$$

RHM

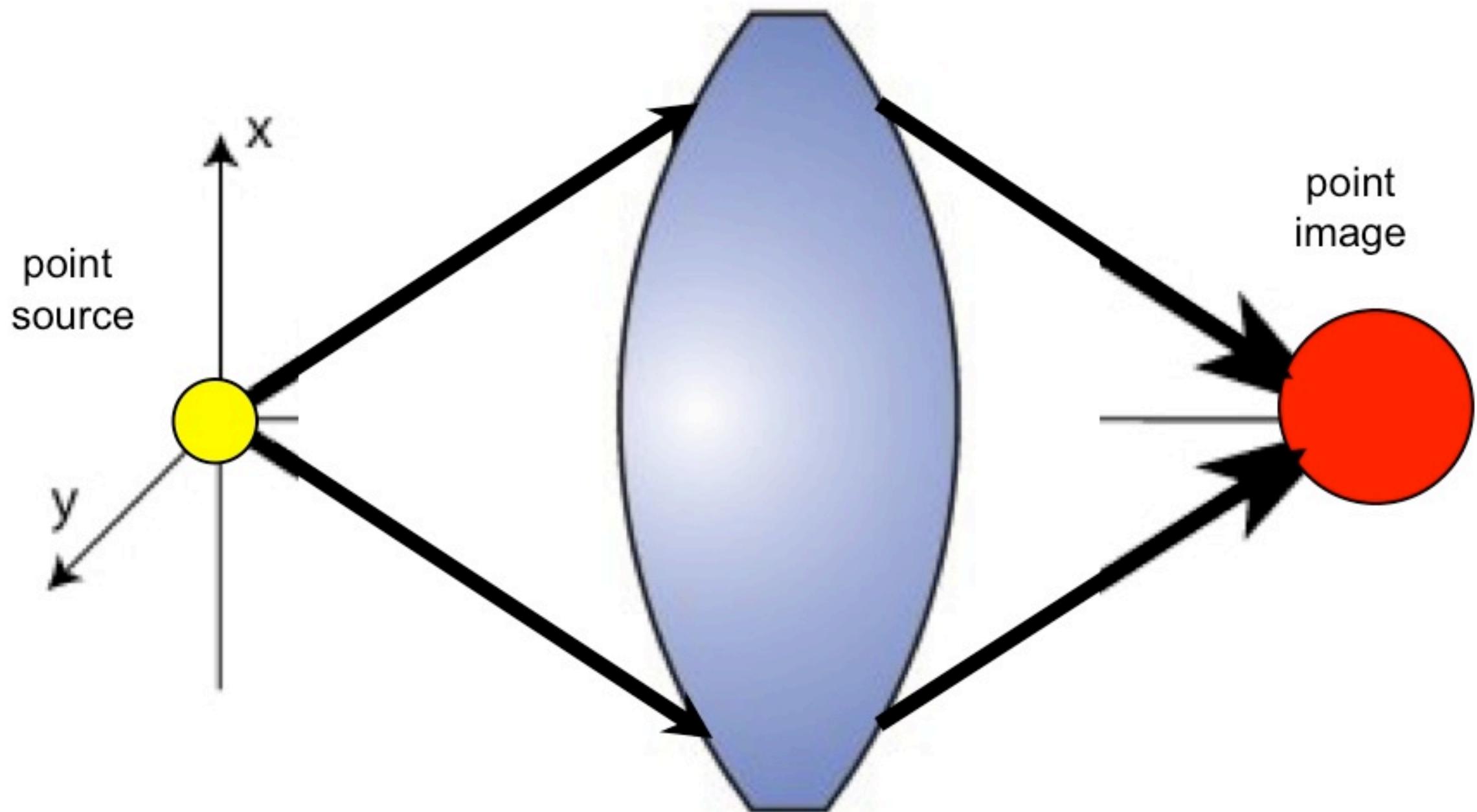
energy flow and  
group velocity

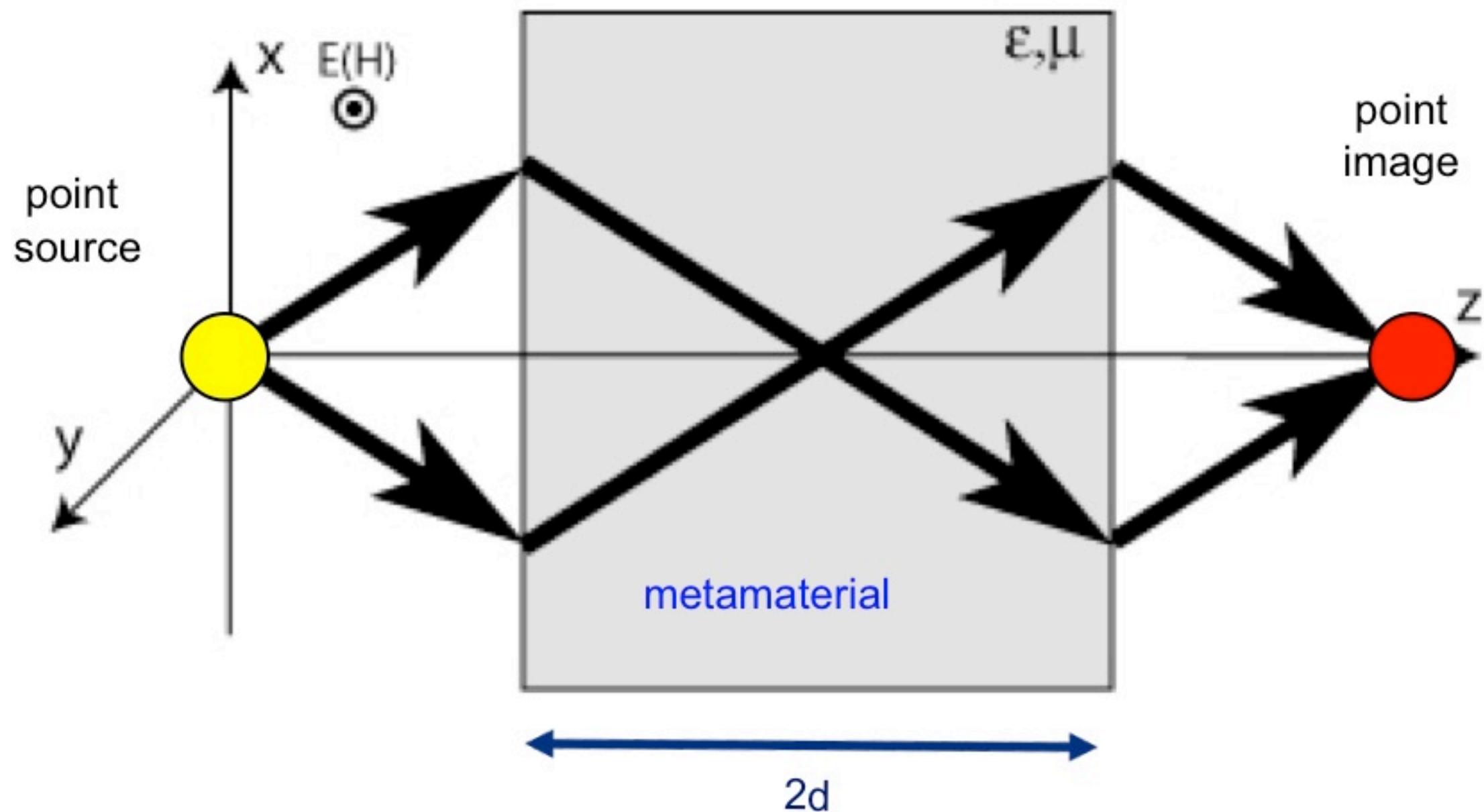


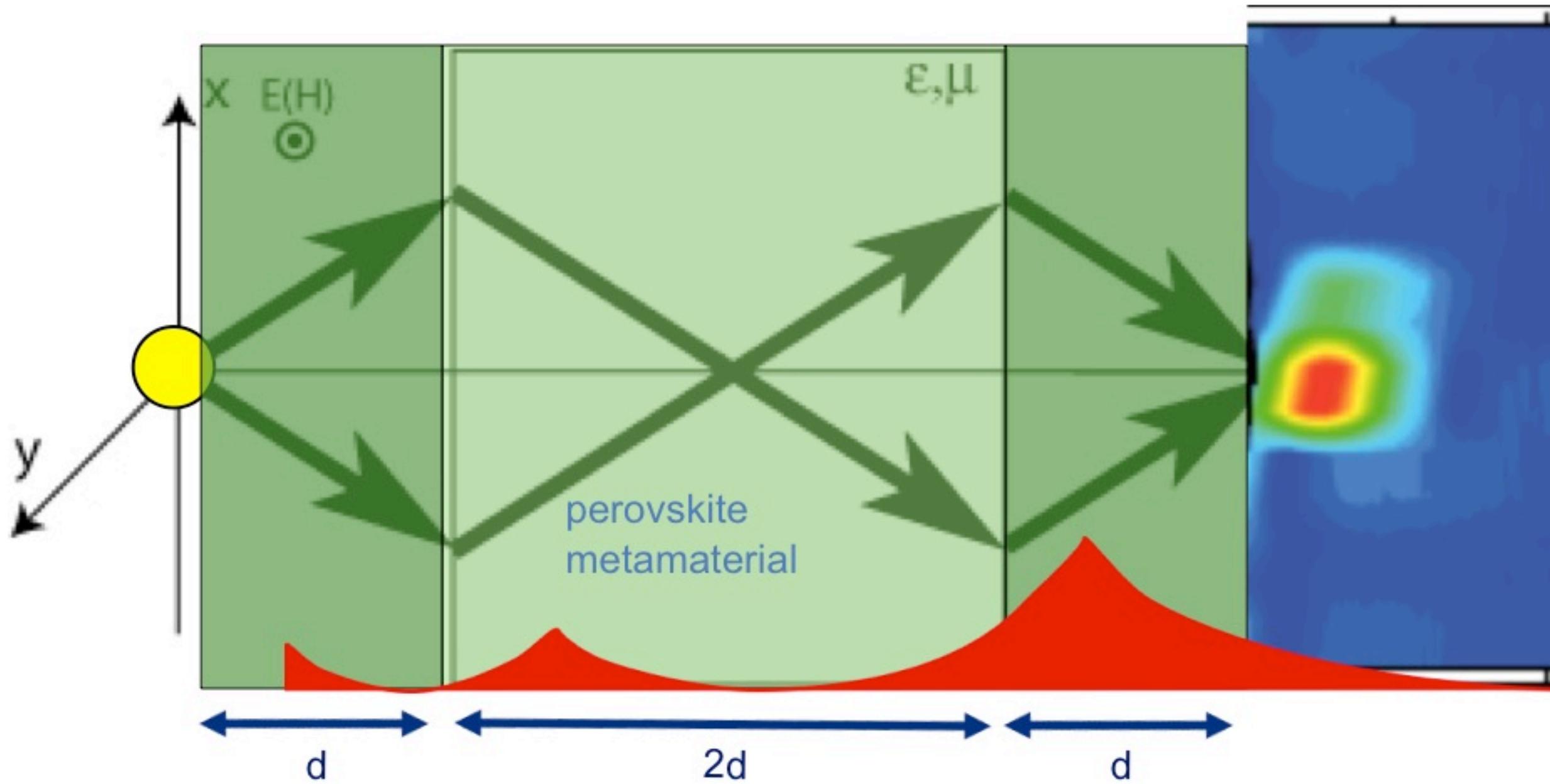
LHM



phase velocity



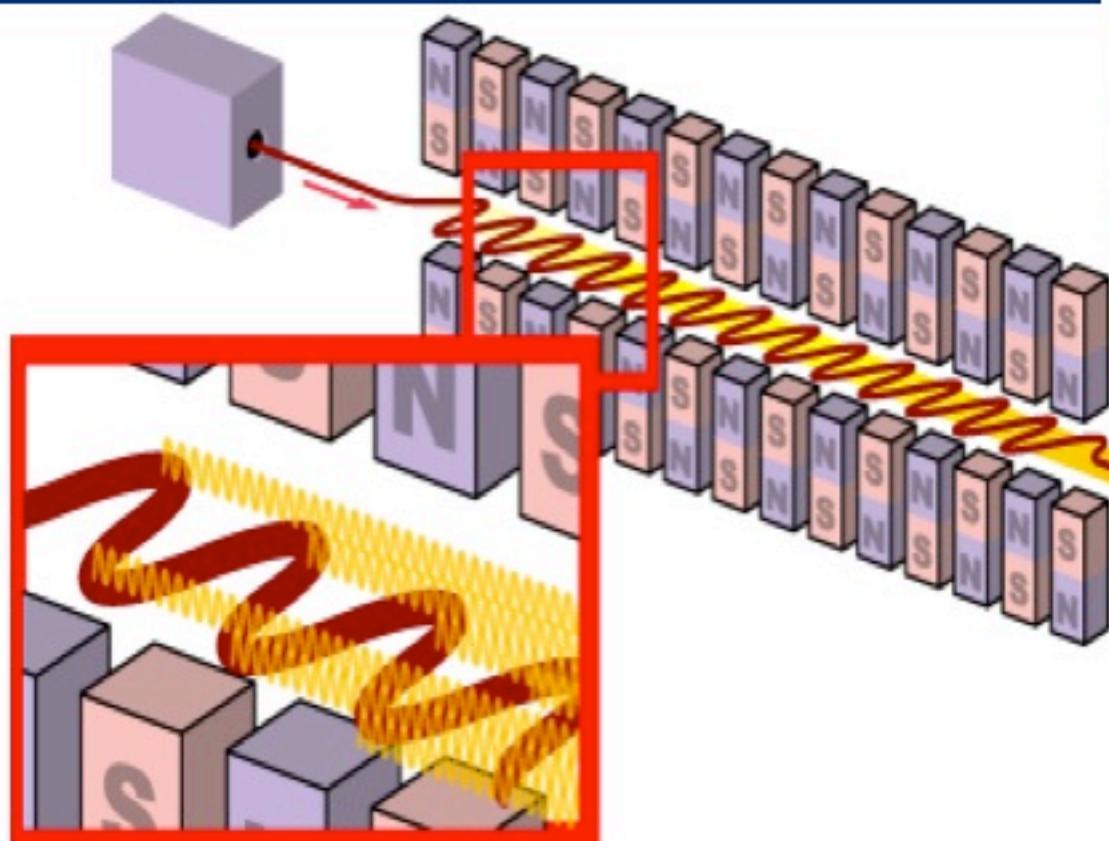




## Free-electron laser

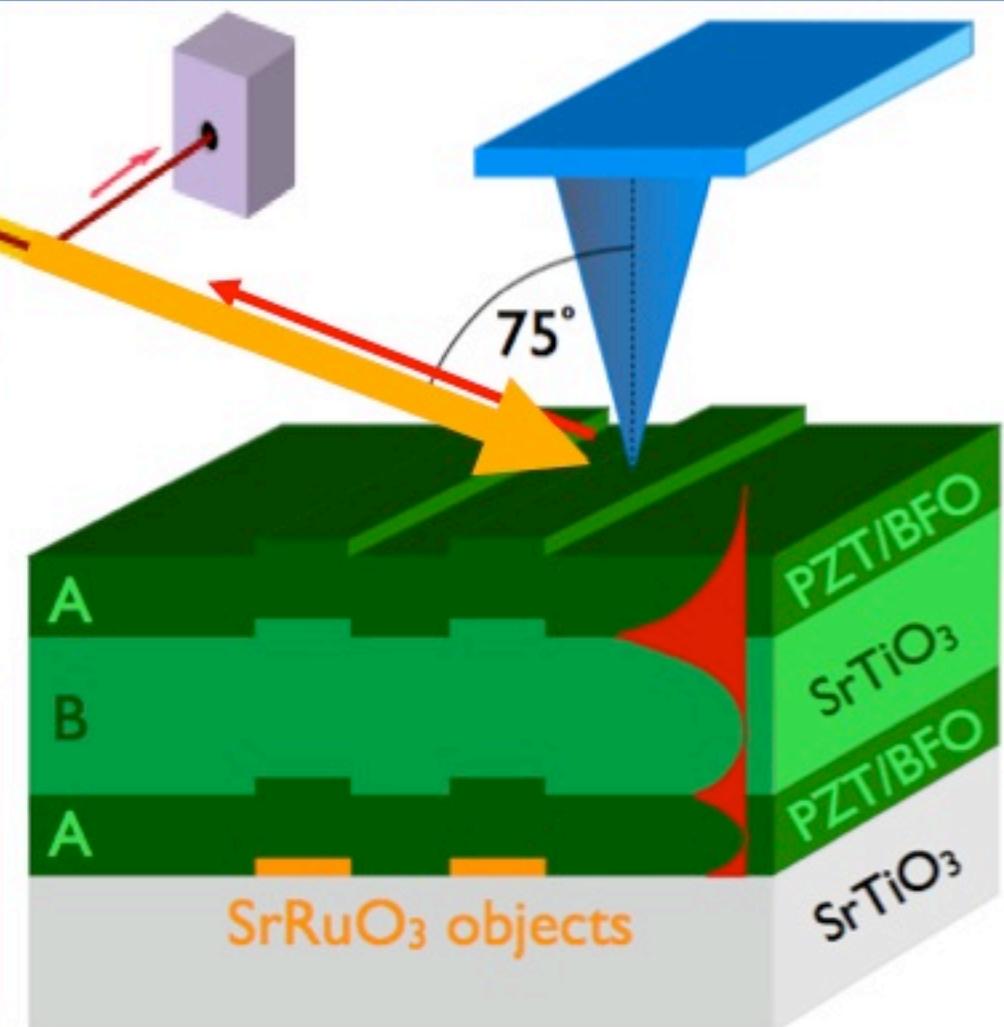
wavelength tunable from 4 to 250  $\mu\text{m}$

- ⇒ phonon excitation
- ⇒ spectral information



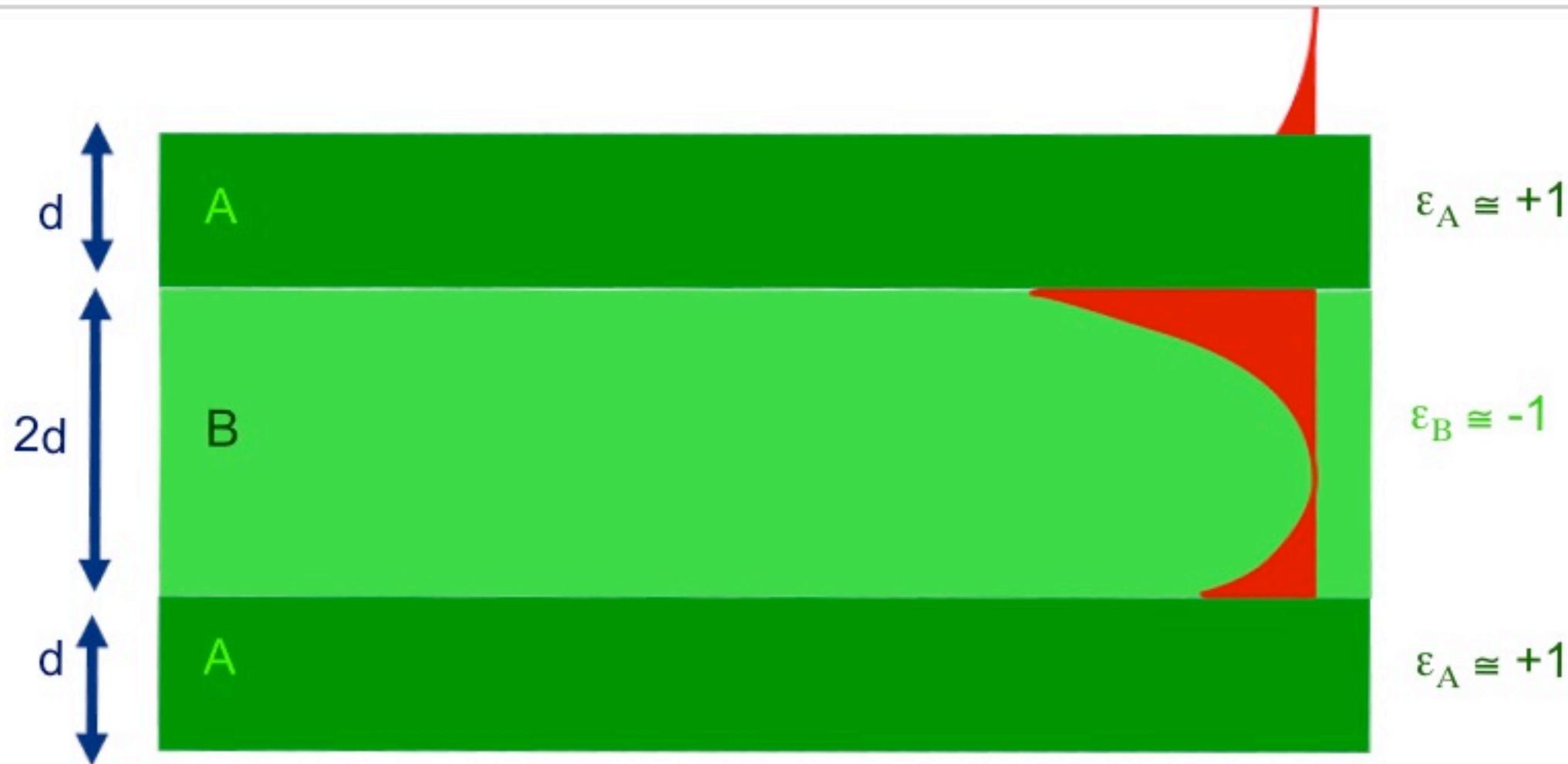
## Scattering-type NSOM

- high resolution below diffraction limit
- imaging and spectroscopy
- study evanescent fields only
- lateral and vertical field distribution



## Perovskite oxide-based superlens

- low absorption
- epitaxial growth: reduced scattering
- ⇒ low loss

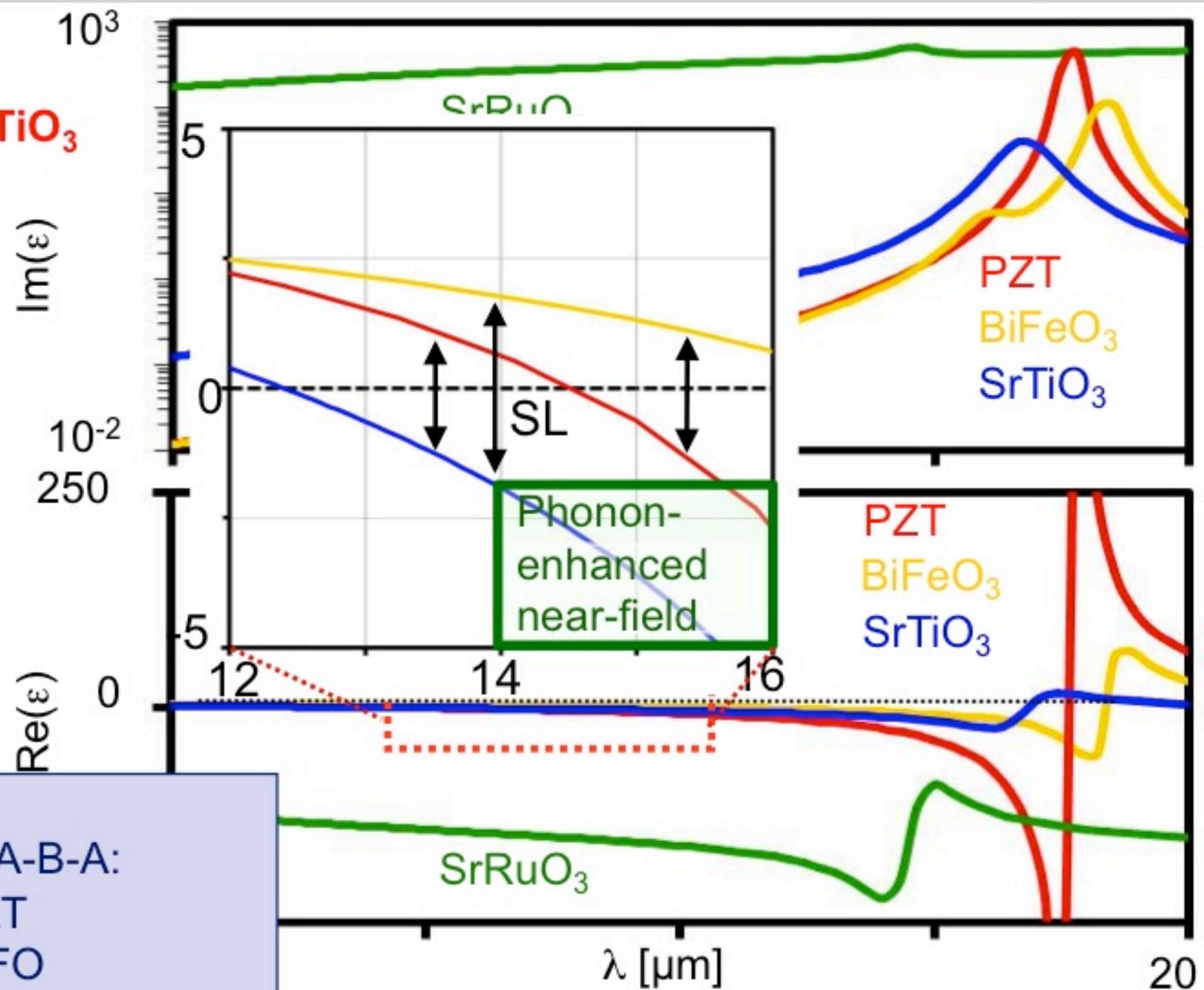


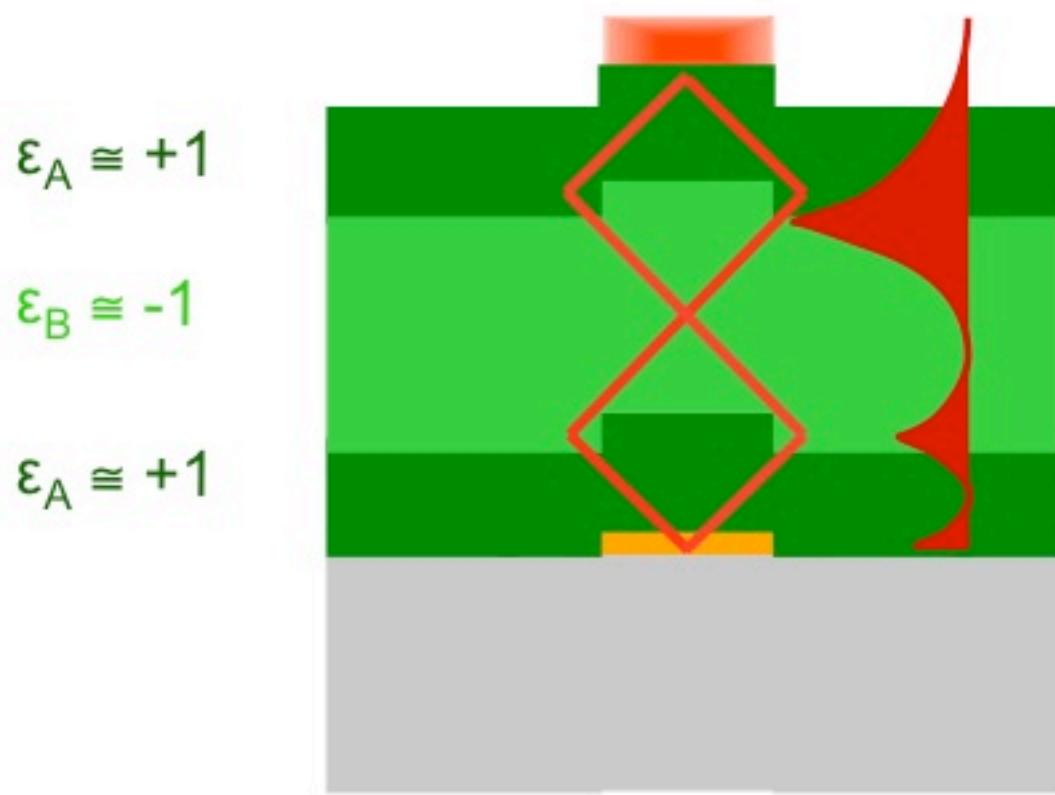
Layered perovskite thin film oxides: ( $d = 200$  nm)

- Epitaxial growth
- Pairs with  $\text{Re}(\epsilon_A) = -\text{Re}(\epsilon_B)$
- $\text{Im}(\epsilon)$  small

# Superlens Sample Design

PZT:  $\text{PbZrO}_3/\text{PbTiO}_3$   
BFO:  $\text{BiFeO}_3$   
STO:  $\text{SrTiO}_3$

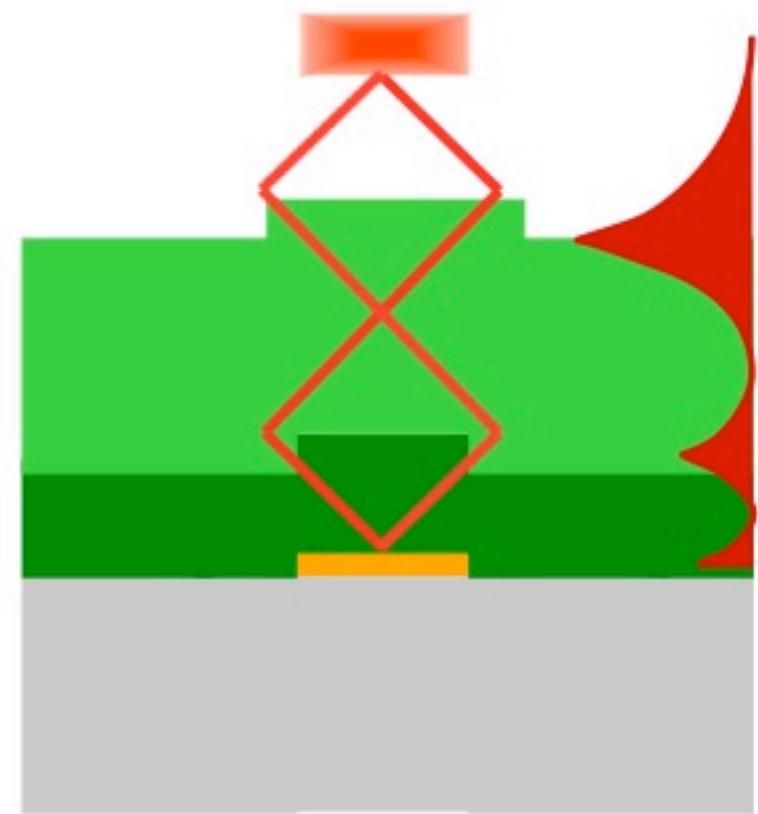




### 3-layer superlens

- Image plane **at** sample surface

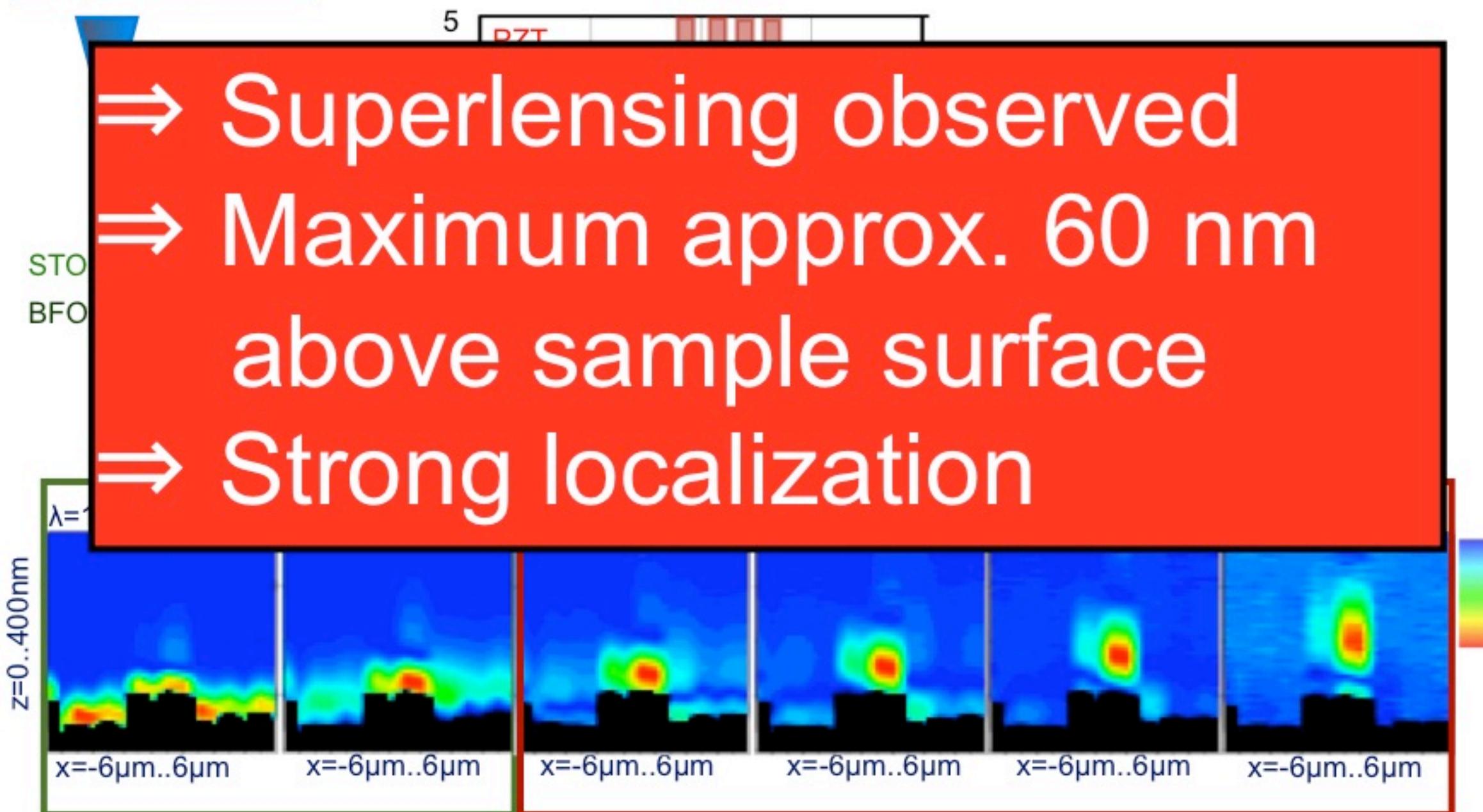
BFO  
STO  
BFO



### 2-layer superlens

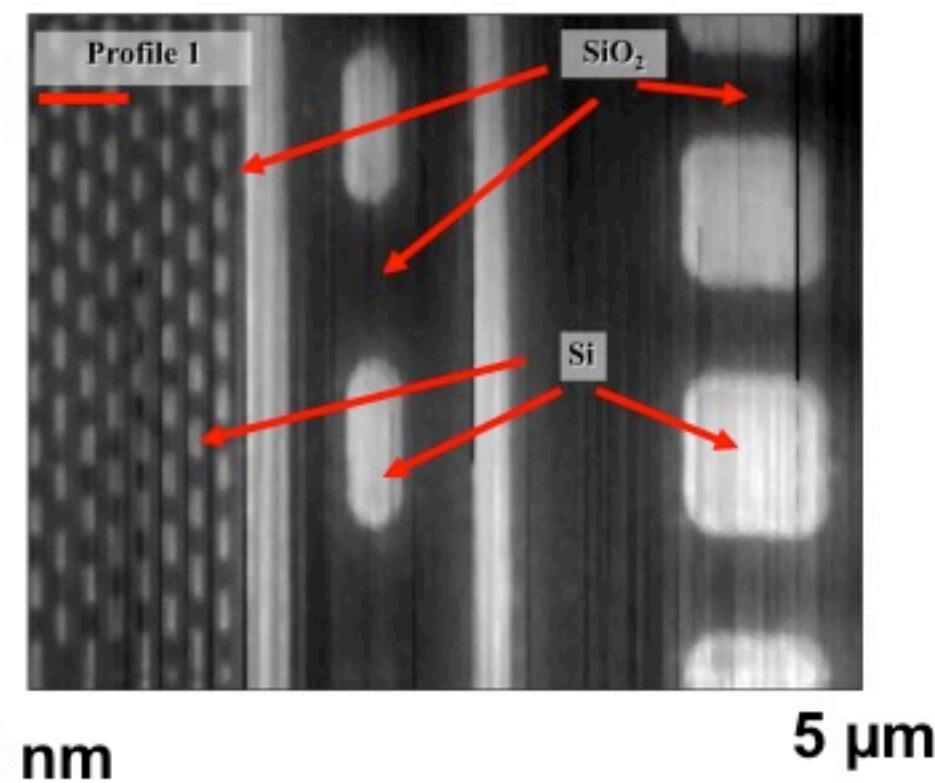
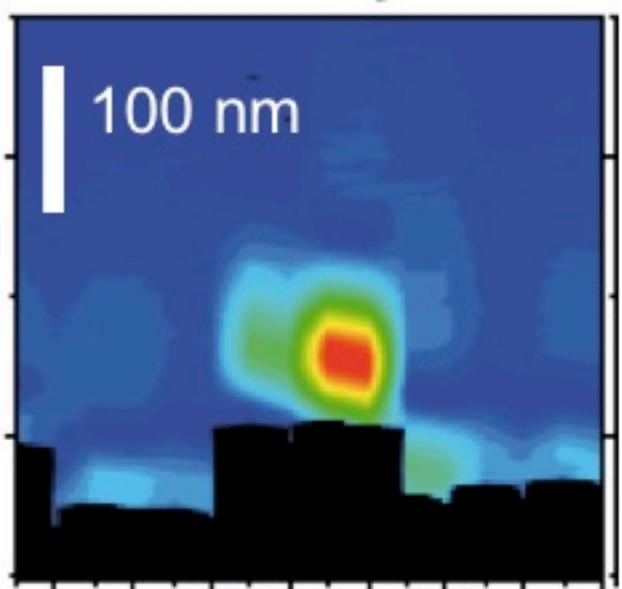
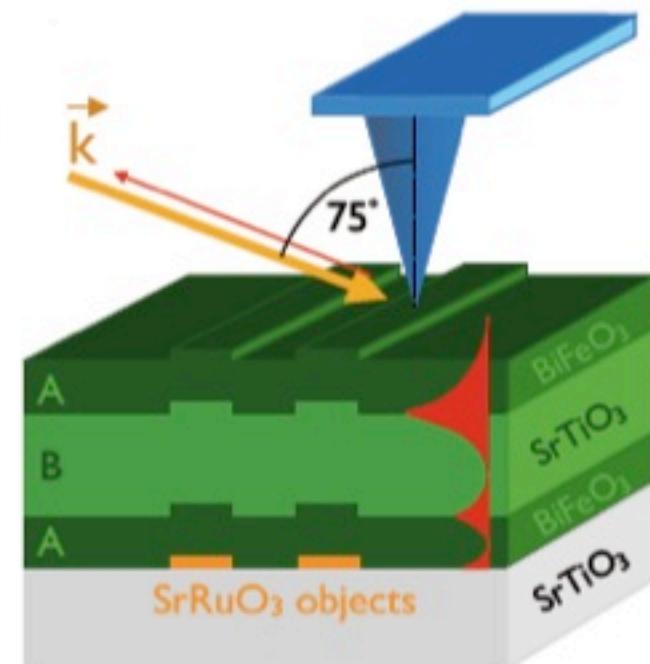
- Focus at certain distance **above** sample
- Polariton mode study

2-layer superlens:



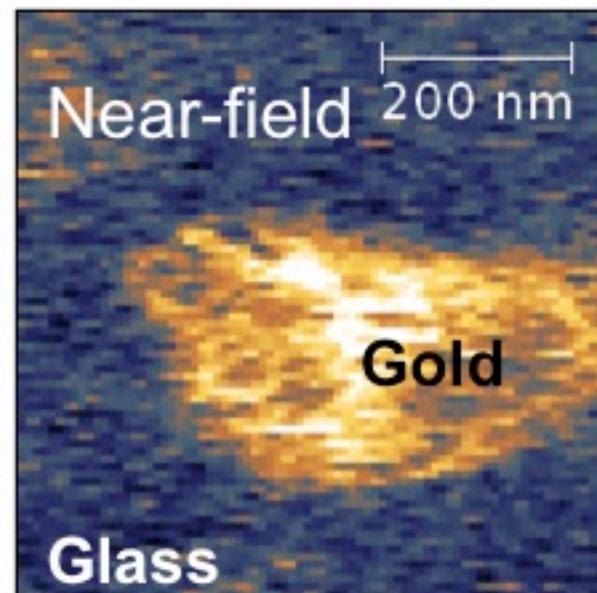
# Conclusions

- scattering SNOM bases on nc-AFM
- optical resolution  $< \lambda/1000$
- IR, visible, UV, ...
- technological applications & fundamental science



# Outlook

- THz-IR-s-SNOM ➤ Resolution:  $\lambda/5000$   
@ 1,3 THz
- use time structure of FEL pulses
- push optical resolution to 1 nm
- application to molecules and organic structures



# Acknowledgment



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