

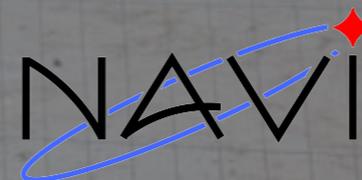
# Microscopic calculations for structure and reactions of light nuclei

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Workshop on  
Nuclear Astrophysics at the Dresden Felsenkeller  
June 26-28, 2017

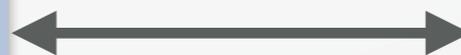
HZDR Rossendorf, Dresden, Germany



# Our Aim:

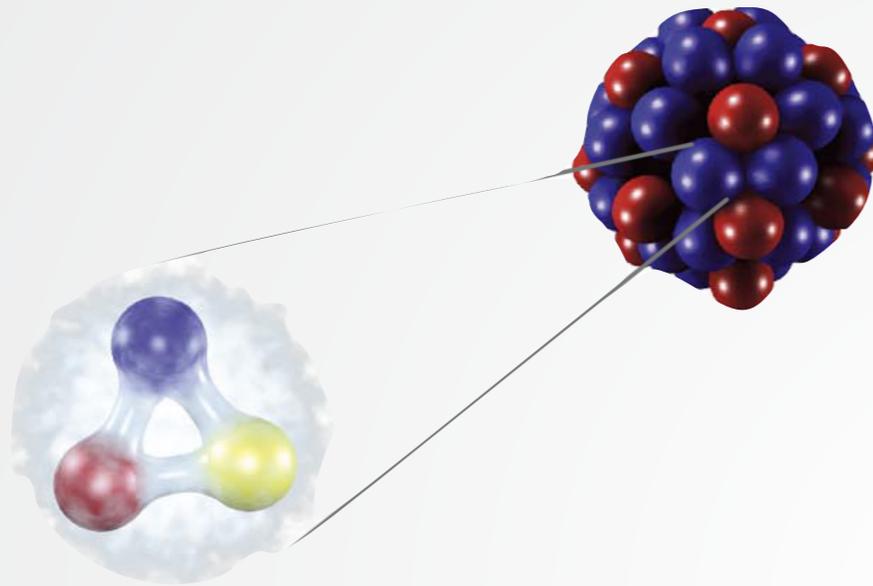
Solve the  
nuclear many-body problem for  
bound-states, resonances and scattering states  
with realistic NN interactions

Many-Body Method

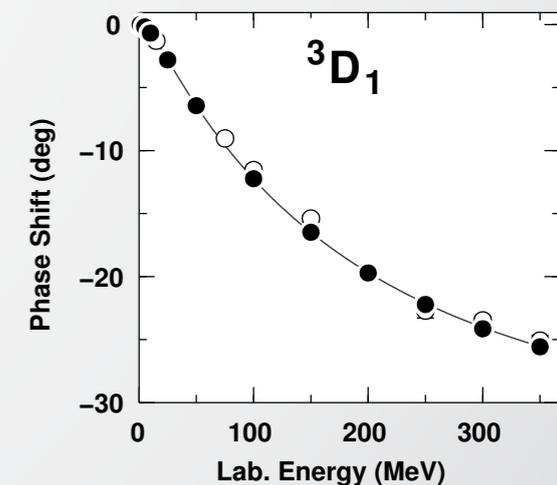
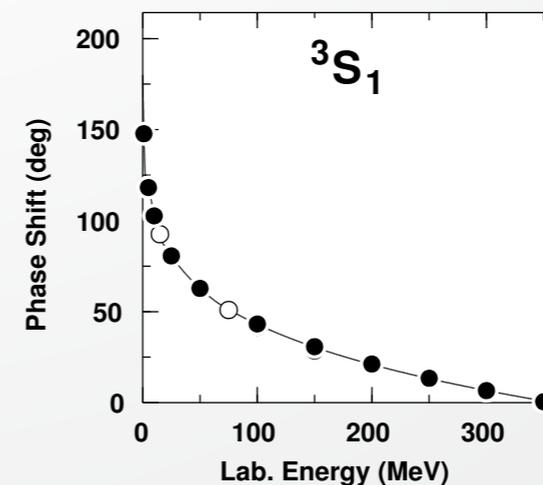
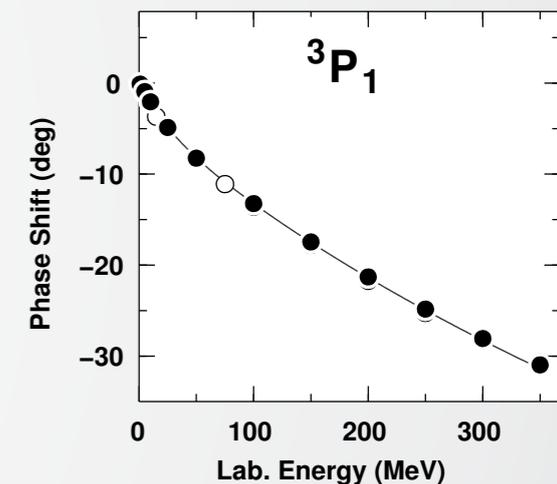
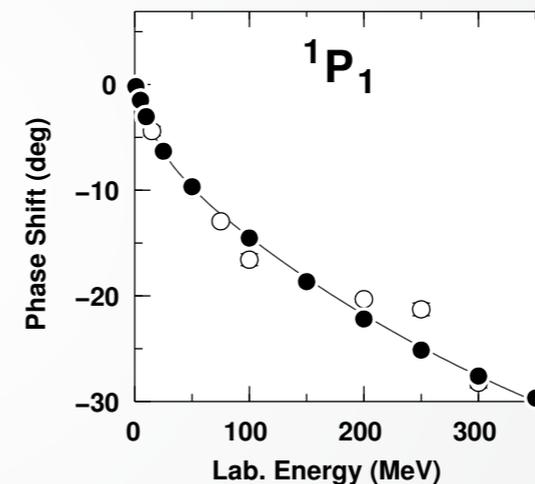
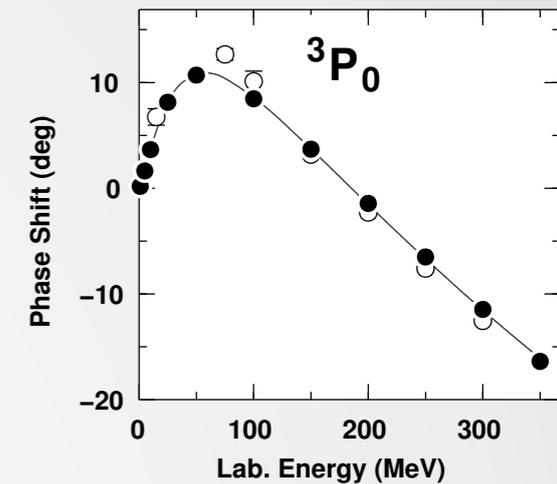
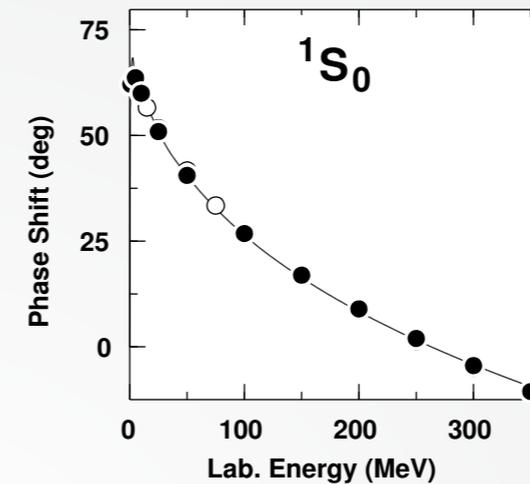


Effective Interaction

# Nucleon-Nucleon Interaction

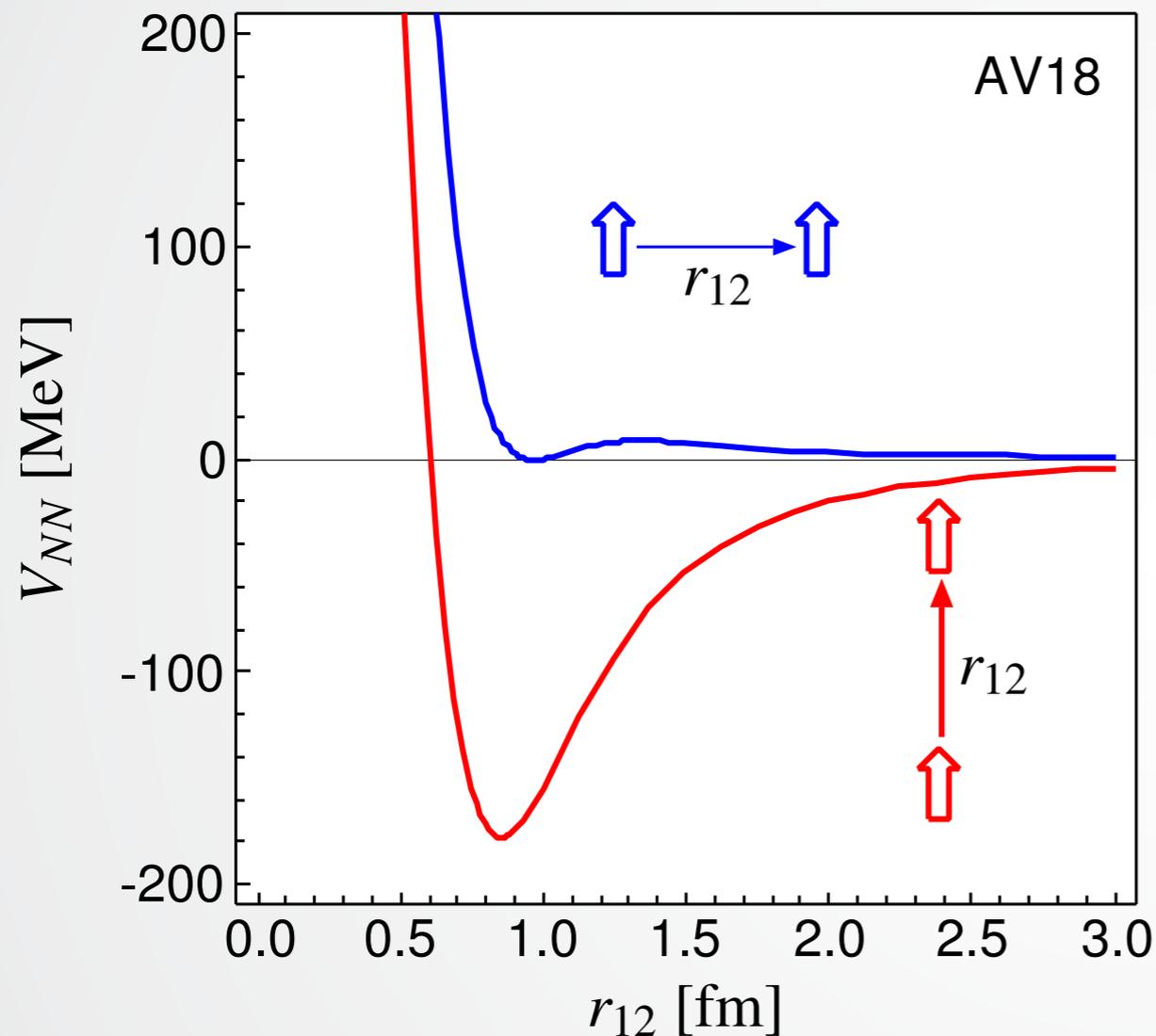


- Nucleons are not point-like, complicated quark and gluon sub-structure
- Nucleon-nucleon (NN) interaction: residual interaction
- Calculation within QCD not possible yet  
→ construct **realistic NN potentials** ...
- describe two-nucleon properties (scattering, deuteron) with high accuracy
- high-momentum and off-shell behavior not constrained by scattering data



# Nucleon-Nucleon Interaction

$S=1, T=0$



- **repulsive core**: nucleons can not get closer than  $\approx 0.5$  fm  $\rightarrow$  **central correlations**
- strong dependence on the orientation of the spins due to the **tensor force** (mainly from  $\pi$ -exchange)  $\rightarrow$  **tensor correlations**
- the nuclear force will induce strong short-range correlations in the nuclear wave function

$$\hat{S}_{12} = 3(\boldsymbol{\sigma}_1 \cdot \hat{\mathbf{r}}_{12})(\boldsymbol{\sigma}_2 \cdot \hat{\mathbf{r}}_{12}) - (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2)$$

# Unitary Correlation Operator Method

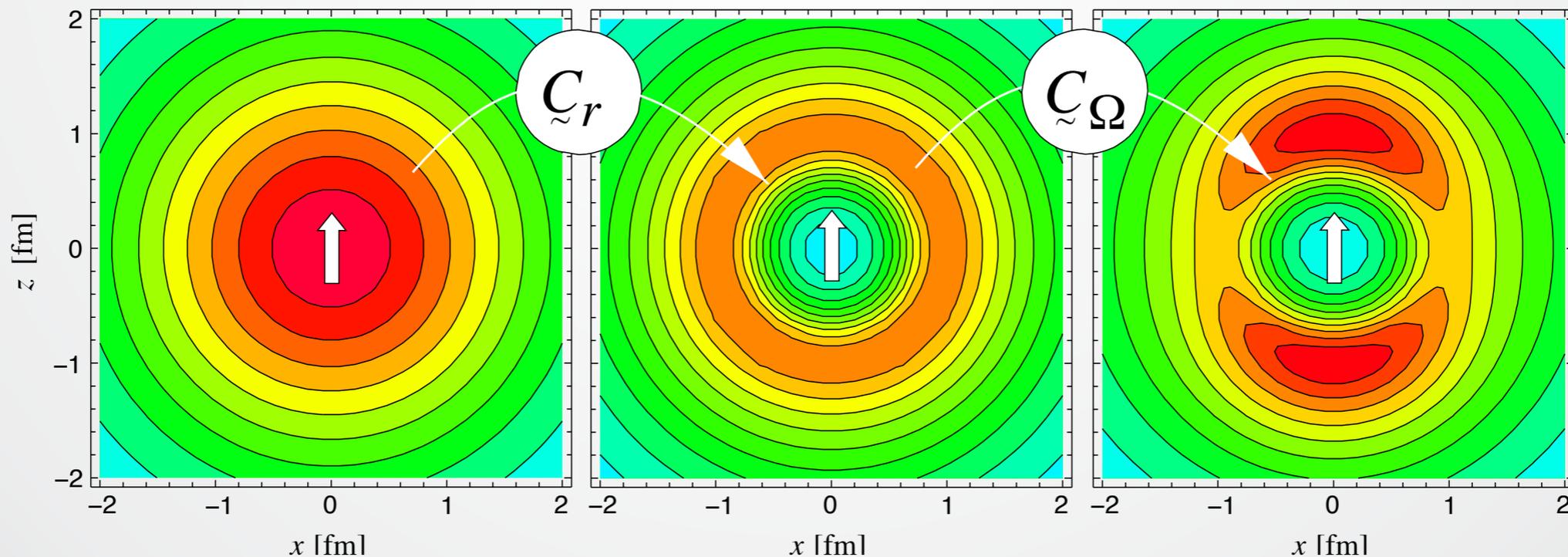
Correlation Operator

$$\hat{C} = \hat{C}_\Omega \hat{C}_r$$

Correlated Hamiltonian

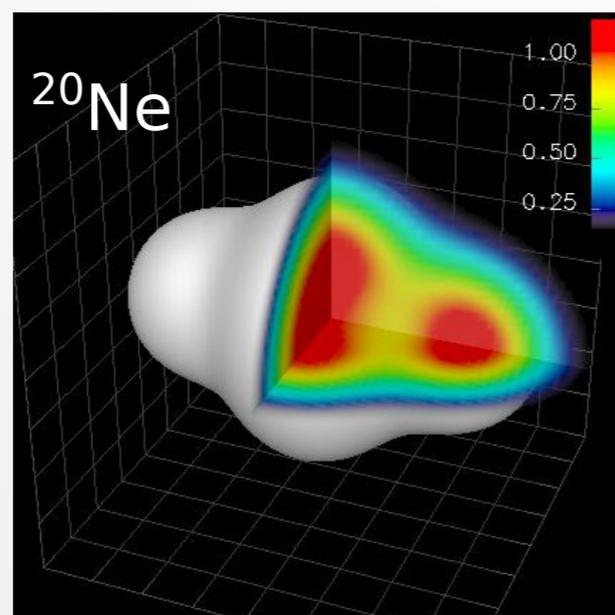
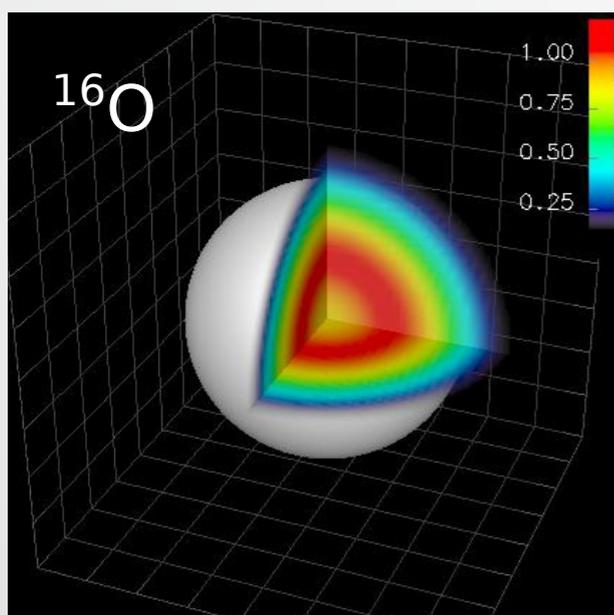
$$\hat{C}^\dagger (\hat{T} + \hat{V}) \hat{C} = \hat{T} + \hat{V}_{\text{UCOM}} + \dots$$

**Central correlator** shifts nucleons apart,  
**Tensor correlator** aligns nucleons with spin



# Fermionic Molecular Dynamics

Many-body Method using Gaussian wave-packet basis



# Fermionic Molecular Dynamics

## Fermionic

Intrinsic many-body states

$$|Q\rangle = \hat{A}\{|q_1\rangle \otimes \cdots \otimes |q_A\rangle\}$$

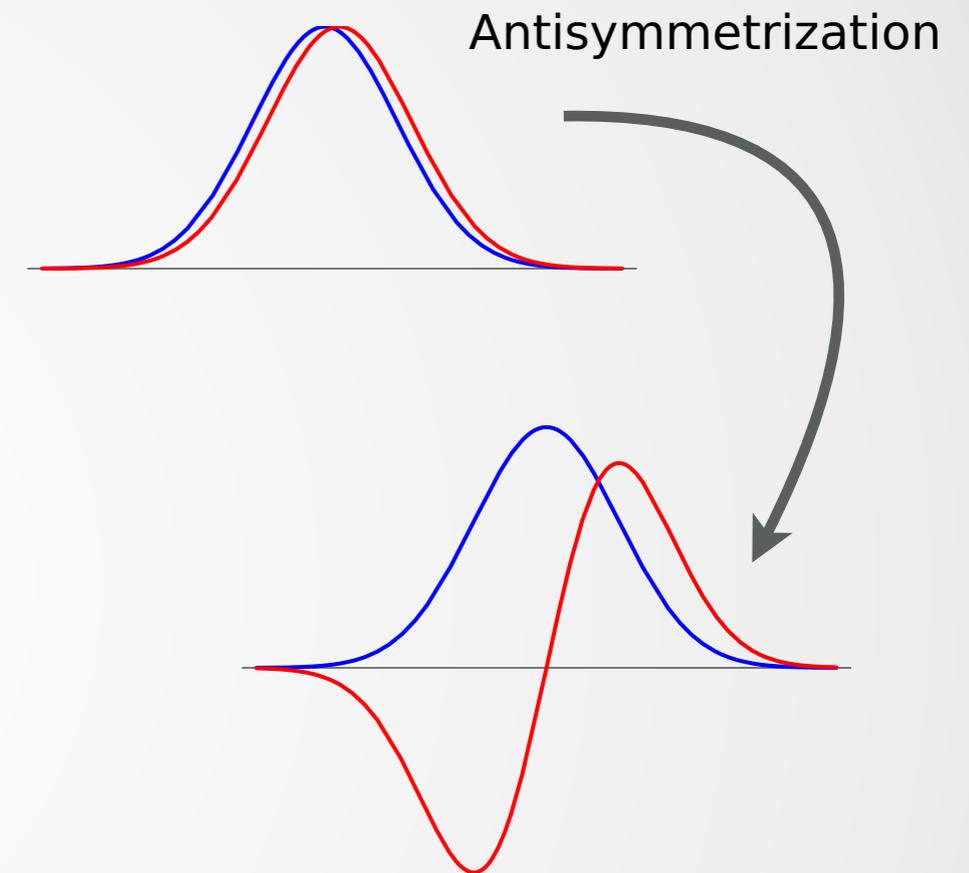
are antisymmetrized A-body states

## Molecular

Single-particle states

$$\langle \mathbf{x} | q \rangle = \sum_i c_i \exp\left\{-\frac{(\mathbf{x} - \mathbf{b}_i)^2}{2a_i}\right\} \otimes |x_i^\uparrow, x_i^\downarrow\rangle \otimes |\xi\rangle$$

- Gaussian wave-packets in phase-space (complex parameter  $\mathbf{b}_i$  encodes mean position and mean momentum), spin is free, isospin is fixed
- width  $a_i$  is an independent variational parameter for each wave packet
- use one or two wave packets for each single particle state

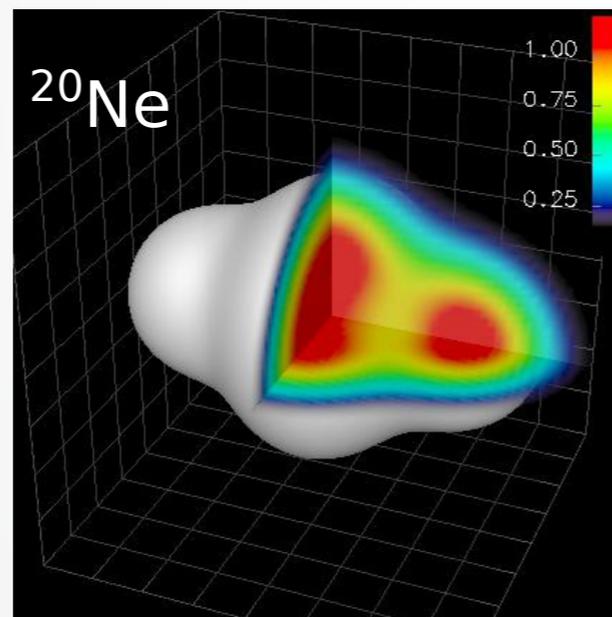
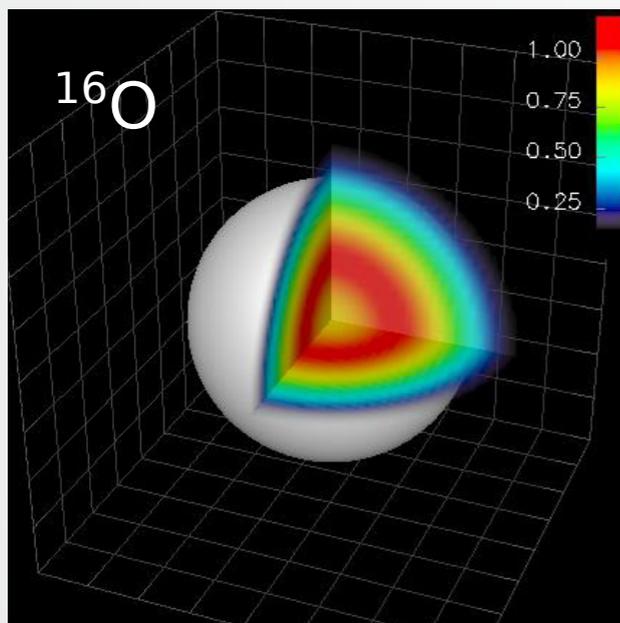


FMD basis contains  
**harmonic oscillator shell model**  
and **Brink-type cluster**  
configurations as limiting cases

# Projection after Variation

## Variation and Projection

- minimize the energy of the intrinsic state
- intrinsic state may break symmetries of Hamiltonian
- restore inversion, translational and rotational symmetry by **projection on parity, angular (and linear) momentum**



## Generator coordinates

- use generator coordinates (radii, quadrupole or octupole deformation, strength of spin-orbit force) to create additional basis states

Variation

$$\min_{\{q_\nu\}} \frac{\langle Q | \hat{H} - \hat{T}_{\text{cm}} | Q \rangle}{\langle Q | Q \rangle}$$

Projection

$$\hat{P}^\pi = \frac{1}{2} (1 + \pi \hat{\Pi})$$

$$\hat{P}^J_{MK} = \frac{2J+1}{8\pi^2} \int d^3\Omega D^J_{MK}^*(\Omega) \hat{R}(\Omega)$$

$$\hat{P}^{\mathbf{P}} = \frac{1}{(2\pi)^3} \int d^3X \exp\{-i(\hat{\mathbf{P}} - \mathbf{P}) \cdot \mathbf{X}\}$$

# Variation after Projection

## Variation after Projection

- Correlation energies can be quite large for well deformed and/or clustered states
- For light nuclei it is possible to perform real variation after projection
- Can be combined with generator coordinate method

## Multiconfiguration Mixing

- Set of  $N$  intrinsic states optimized for different spins and parities and for different values of generator coordinates are used as basis states
- Diagonalize in set of projected basis states

Variation

$$\min_{\{q_\nu\}} \frac{\langle Q | \hat{H} - \hat{T}_{\text{cm}} | Q \rangle}{\langle Q | Q \rangle}$$

Variation after Projection

$$\min_{\{q_\nu, c^{\alpha_K}\}} \frac{\sum_{KK'} c^{\alpha_K} \langle Q | (\hat{H} - \hat{T}_{\text{cm}}) \hat{P}^{\pi} \hat{P}^J_{KK'} | Q \rangle c^{\alpha_{K'}}}{\sum_{KK'} c^{\alpha_K} \langle Q | \hat{P}^{\pi} \hat{P}^J_{KK'} | Q \rangle c^{\alpha_{K'}}$$

(Intrinsic) Basis States

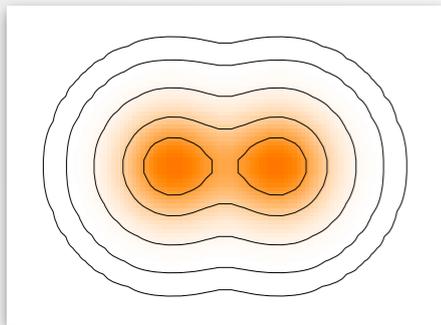
$$\{ |Q^{(a)}\rangle, a = 1, \dots, N \}$$

Generalized Eigenvalue Problem

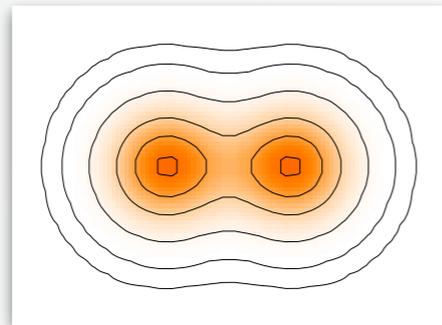
$$\sum_{K'b} \underbrace{\langle Q^{(a)} | \hat{H} \hat{P}^{\pi} \hat{P}^J_{KK'} \hat{P}^{\mathbf{P}=0} | Q^{(b)} \rangle}_{\text{Hamiltonian kernel}} c^{\alpha_{K'b}} = E^{J^{\pi}\alpha} \sum_{K'b} \underbrace{\langle Q^{(a)} | \hat{P}^{\pi} \hat{P}^J_{KK'} \hat{P}^{\mathbf{P}=0} | Q^{(b)} \rangle}_{\text{norm kernel}} c^{\alpha_{K'b}}$$

# $^8\text{Be}$ : PAV/VAP/Multiconfiguration Mixing

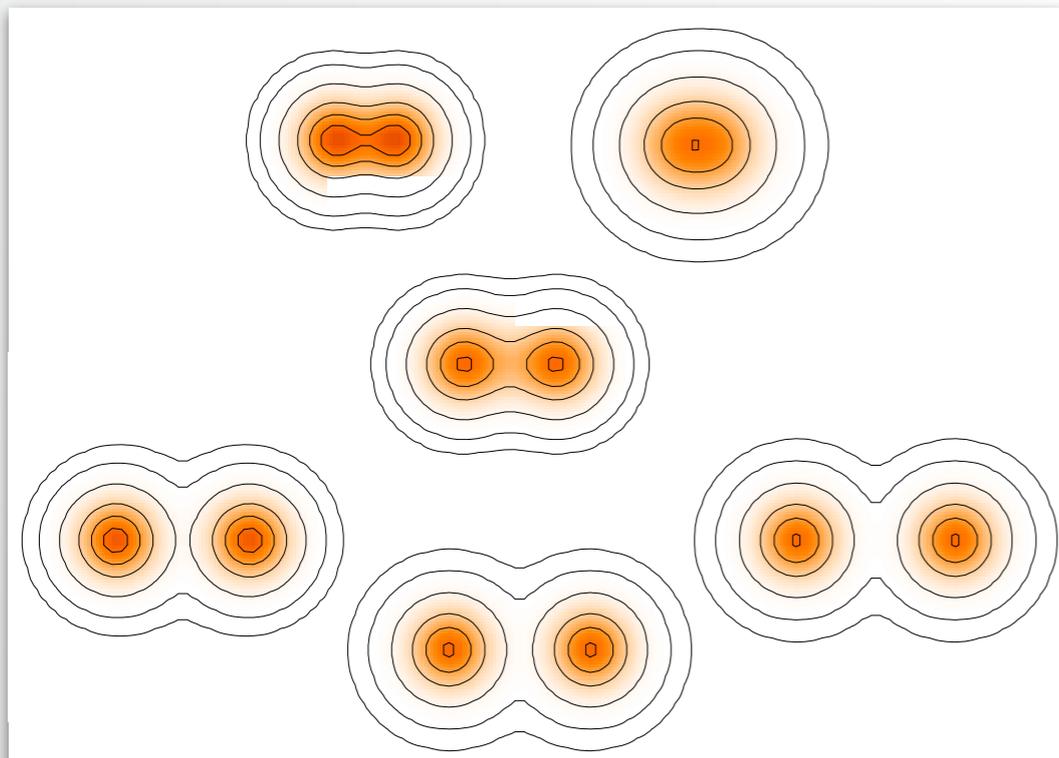
V/PAV



VAP

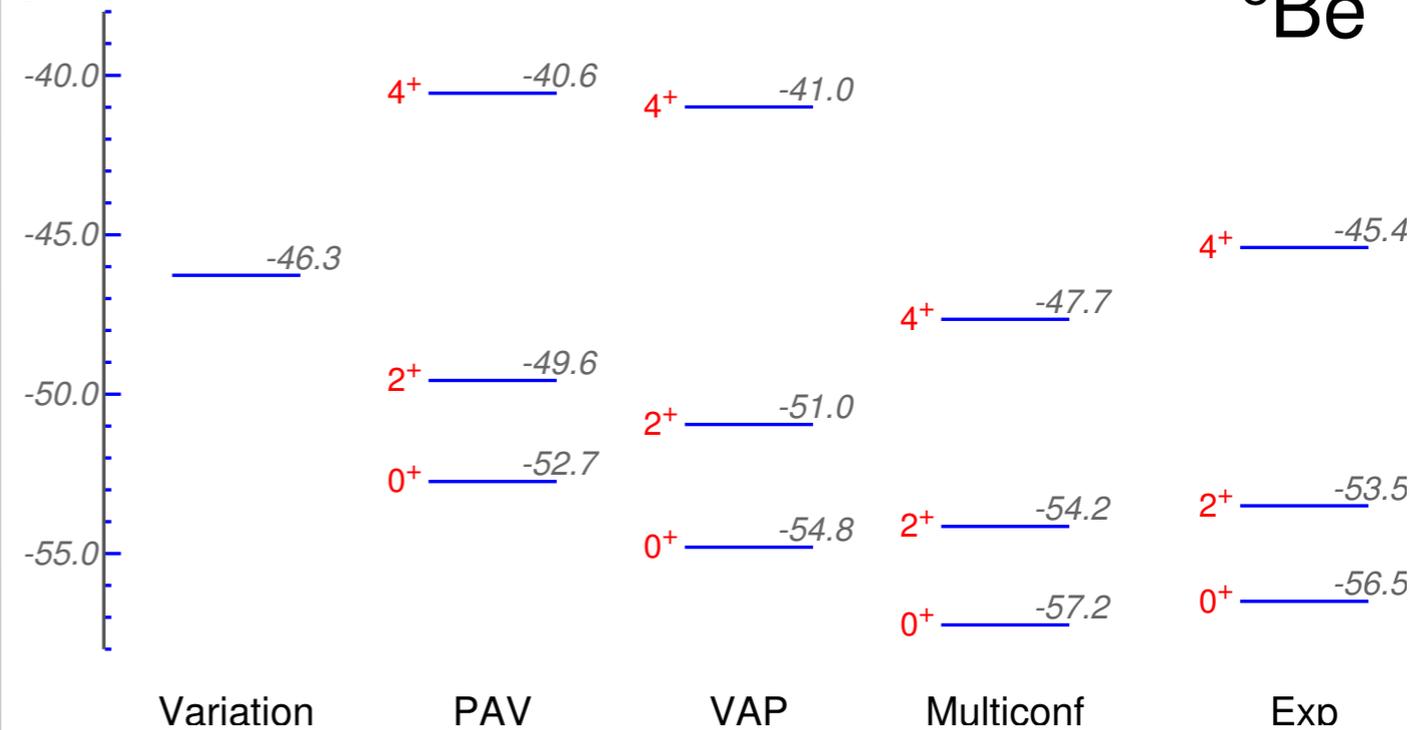


Multiconfiguration Mixing



	$E_b$ [MeV]	$r_{ch}$ [fm]	$B(E2)$ [ $e^2 \text{fm}^4$ ]
PAV	52.7	2.39	9.3
VAP	54.8	2.49	15.4
Multiconfig	57.2	2.74	30.4
Exp.	56.5		

[MeV]



# ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ and ${}^3\text{H}(\alpha, \gamma){}^7\text{Li}$ Radiative Capture

PRL **106**, 042502 (2011)

PHYSICAL REVIEW LETTERS

week ending  
28 JANUARY 2011

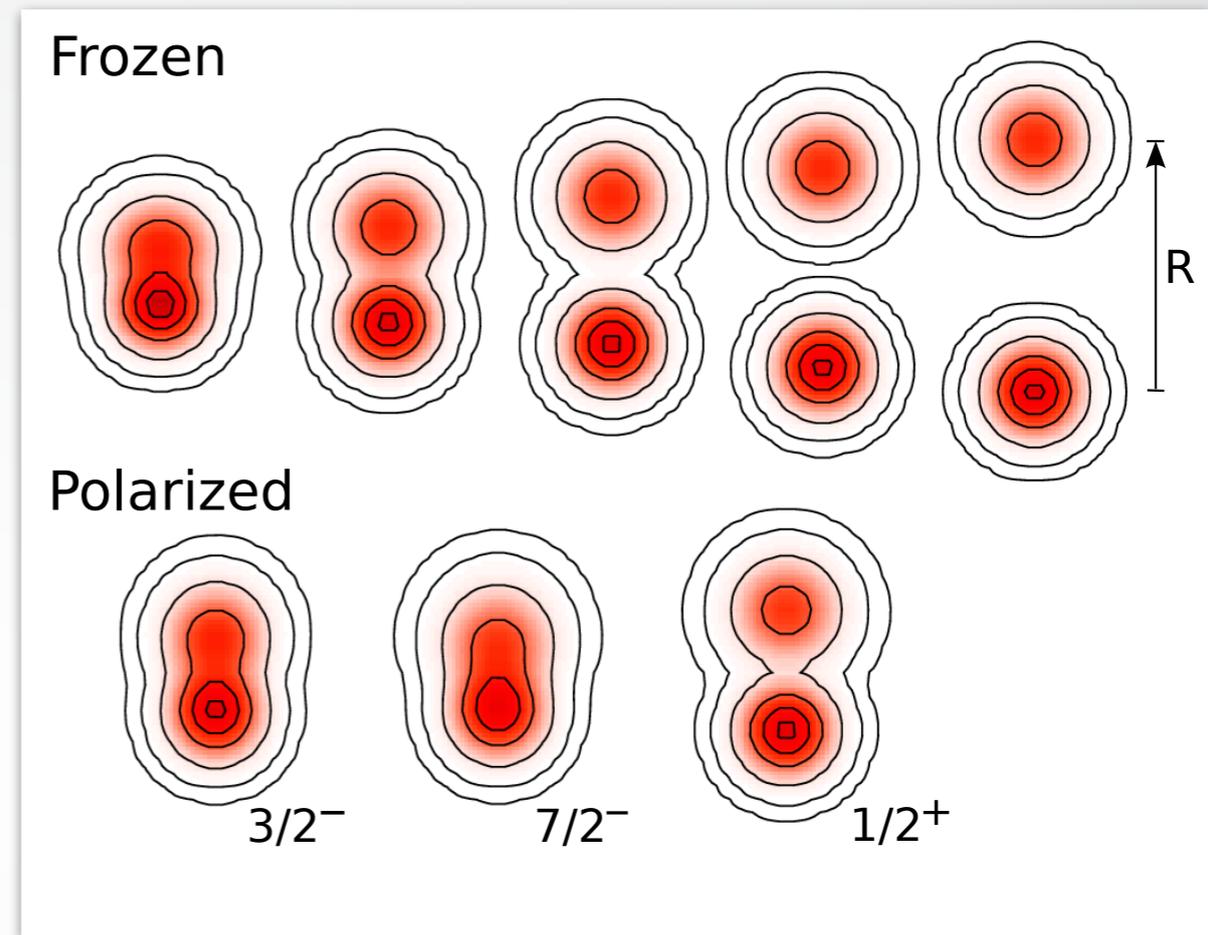
## Microscopic Calculation of the ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ and ${}^3\text{H}(\alpha, \gamma){}^7\text{Li}$ Capture Cross Sections Using Realistic Interactions

Thomas Neff\*

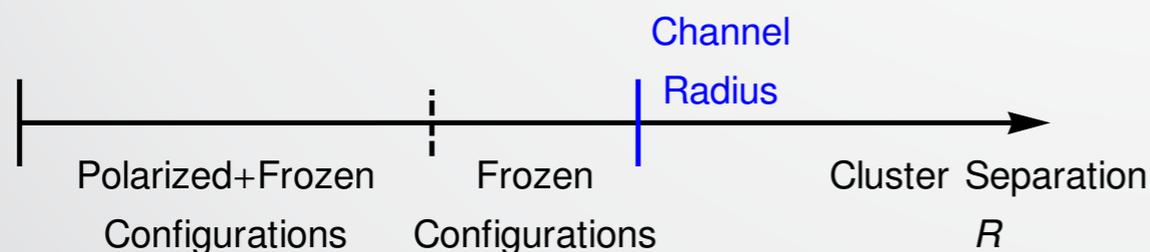
*GSI Helmholtzzentrum für Schwerionenforschung GmbH, Planckstraße 1, 64291 Darmstadt, Germany*  
(Received 12 November 2010; published 25 January 2011)

# FMD Basis States

- FMD wave functions use **Gaussian wave packets** as single-particle basis states
- Many-body basis states are Slater determinants projected on parity, angular momentum and total linear momentum
- FMD basis contains both harmonic oscillator and Brink-type cluster wave functions as special cases
- a realistic low-momentum interaction is obtained from the Argonne  $v_{18}$  interaction by the Unitary Correlation Operator Method in two-body approximation



- **Polarized** configurations are obtained by **variation after projection** for all spins and parities
- **Frozen** configurations are generated from  $^4\text{He}$  and  $^3\text{He}$  ground states
- at the channel radius many-body wave functions are matched to Whittaker and Coulomb solutions for point-like clusters with the  **$R$ -matrix** method

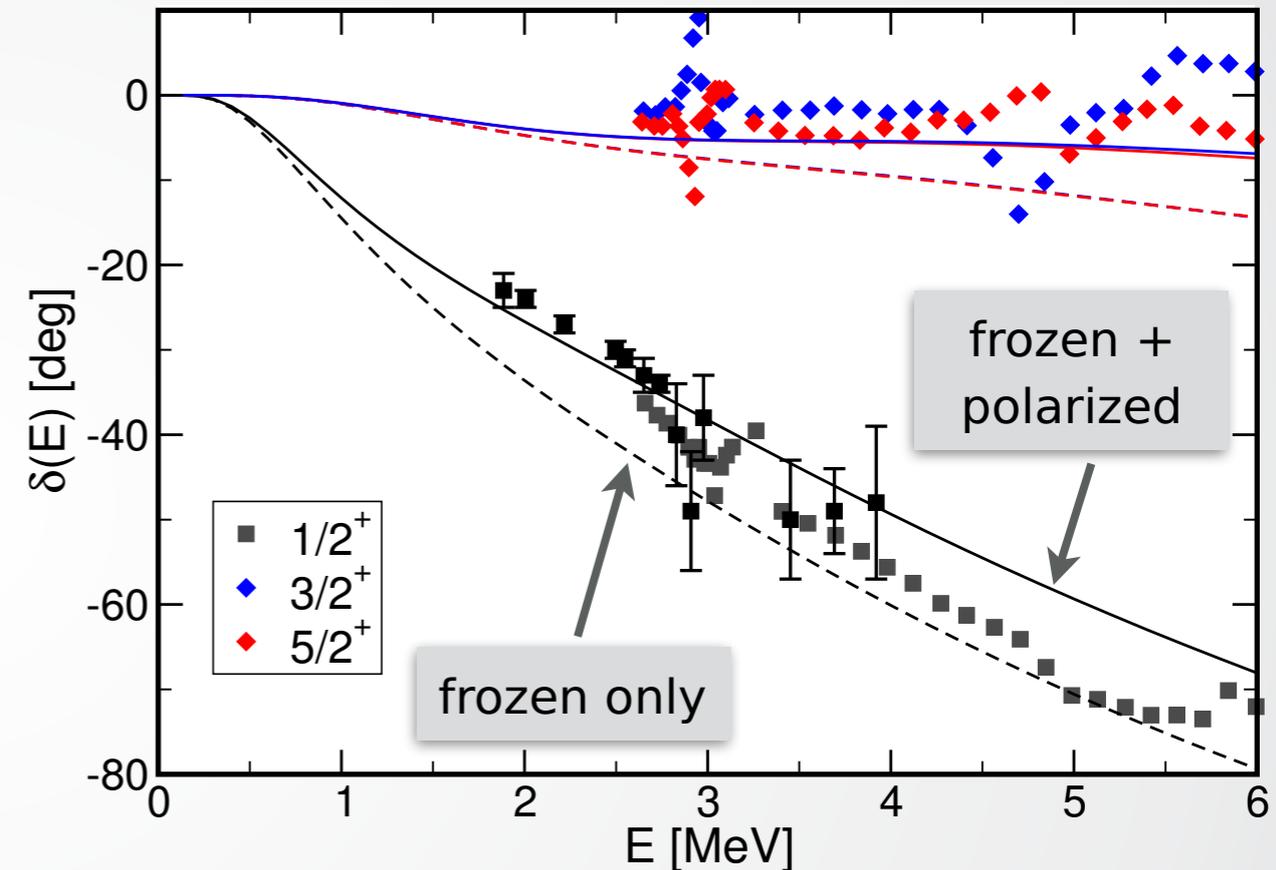


# Bound and Scattering States

## Bound States

		FMD	Experiment
${}^7\text{Be}$	$E_{3/2^-}$ [MeV]	-1.49	-1.59
	$E_{1/2^-}$ [MeV]	-1.31	-1.15
	$r_{\text{ch}}$ [fm]	2.67	2.647(17)
	$Q$ [ $e \text{ fm}^2$ ]	-6.83	-
${}^7\text{Li}$	$E_{3/2^-}$ [MeV]	-2.39	-2.467
	$E_{1/2^-}$ [MeV]	-2.17	-1.989
	$r_{\text{ch}}$ [fm]	2.46	2.444(43)
	$Q$ [ $e \text{ fm}^2$ ]	-3.91	-4.00(3)

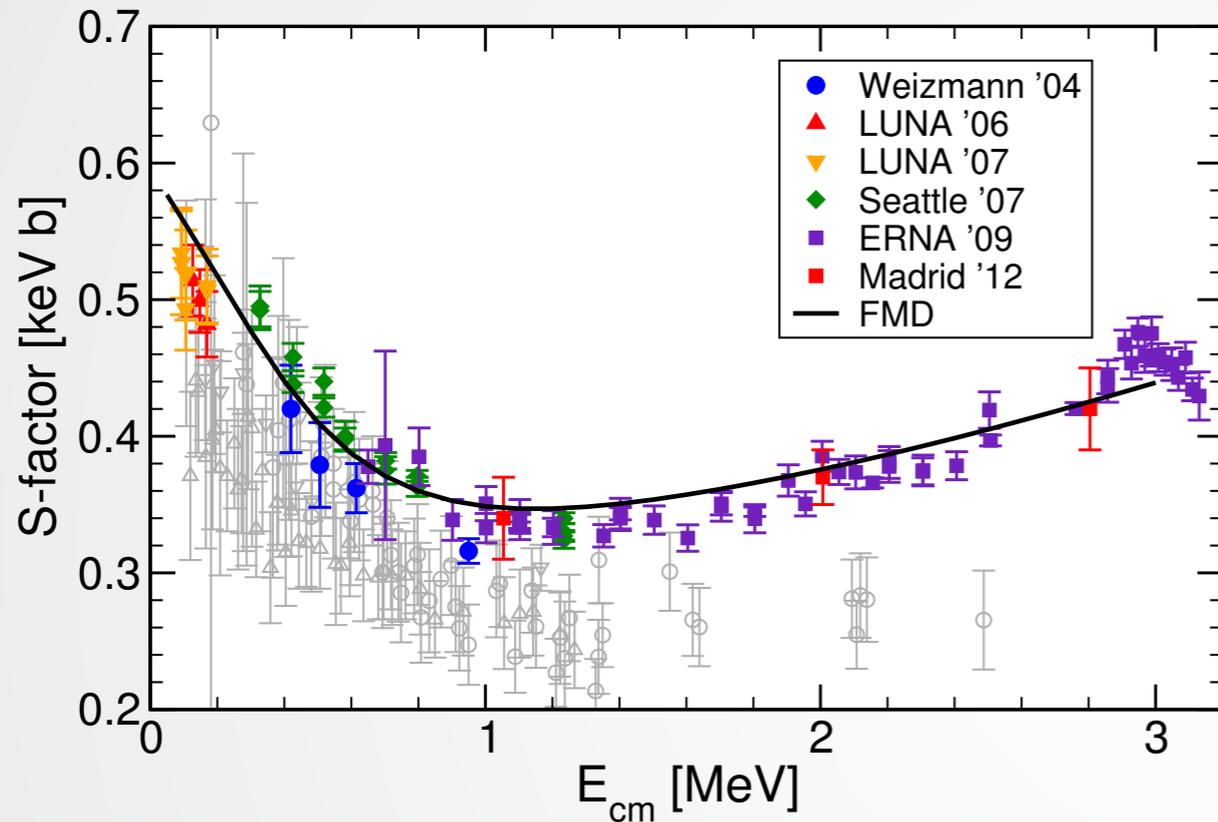
## Scattering States



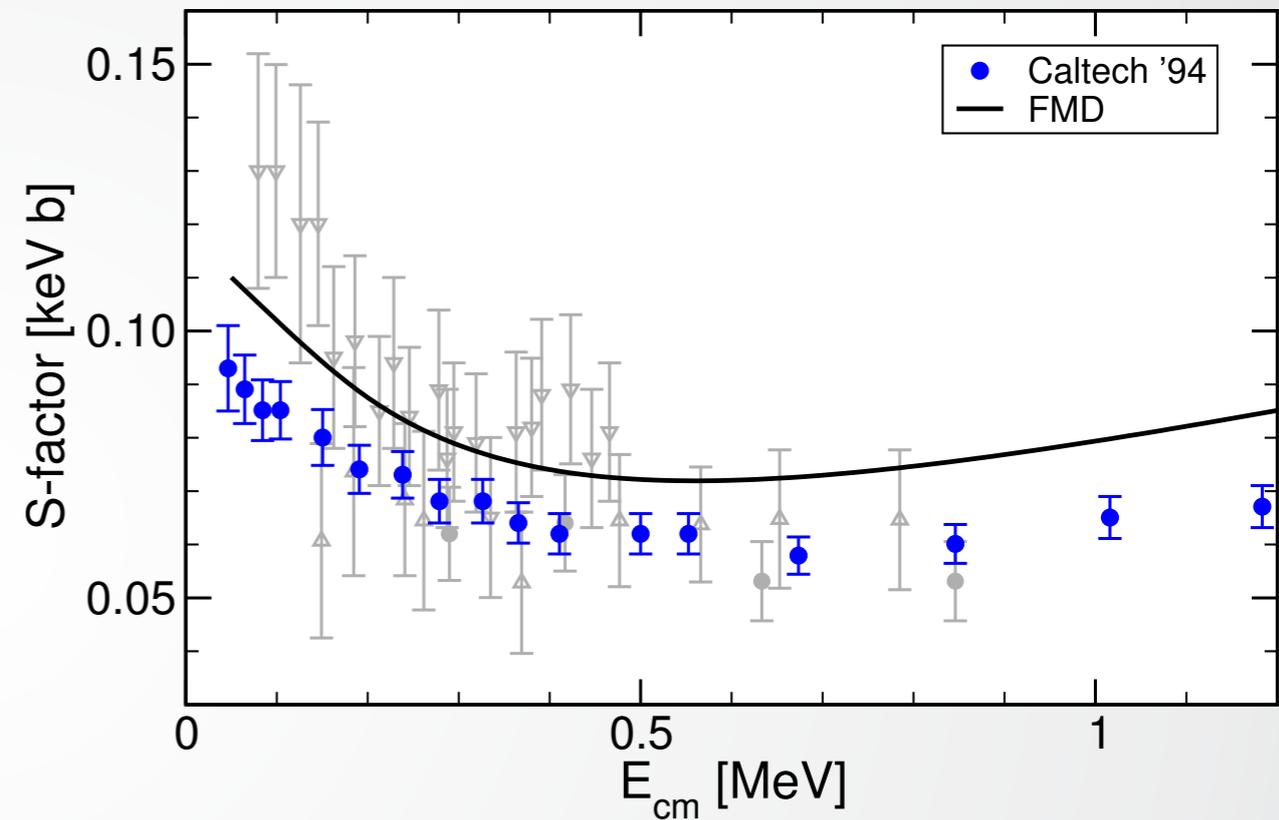
- centroid energy of bound states well reproduced, splitting between  $3/2^-$  and  $1/2^-$  states too small
- charge radii and quadrupole moment test the tails of bound state wave functions

- $s$ - and  $d$ -wave capture dominate at small energies
- polarized configurations are important for describing the phase shifts

# Capture Cross Section

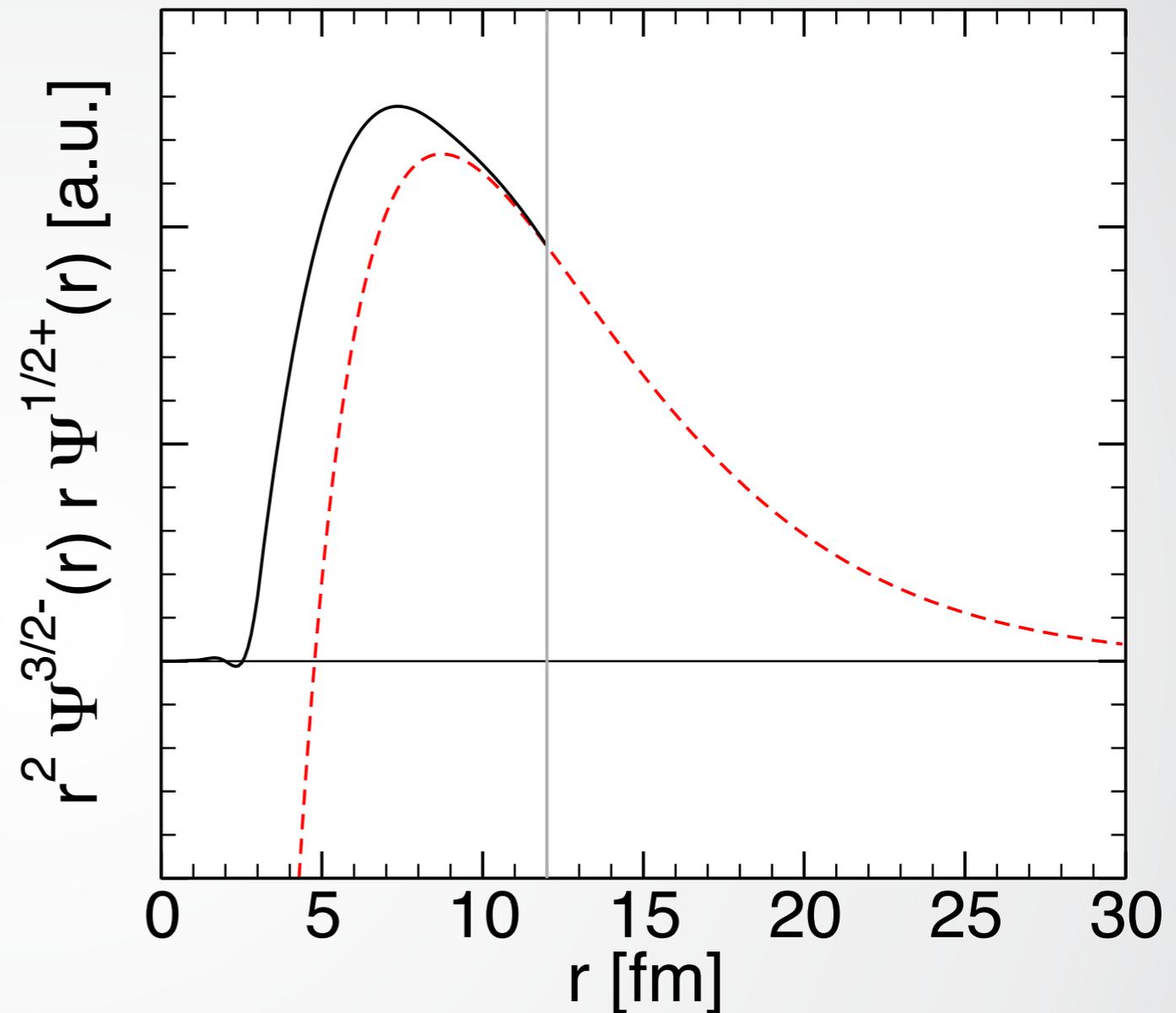
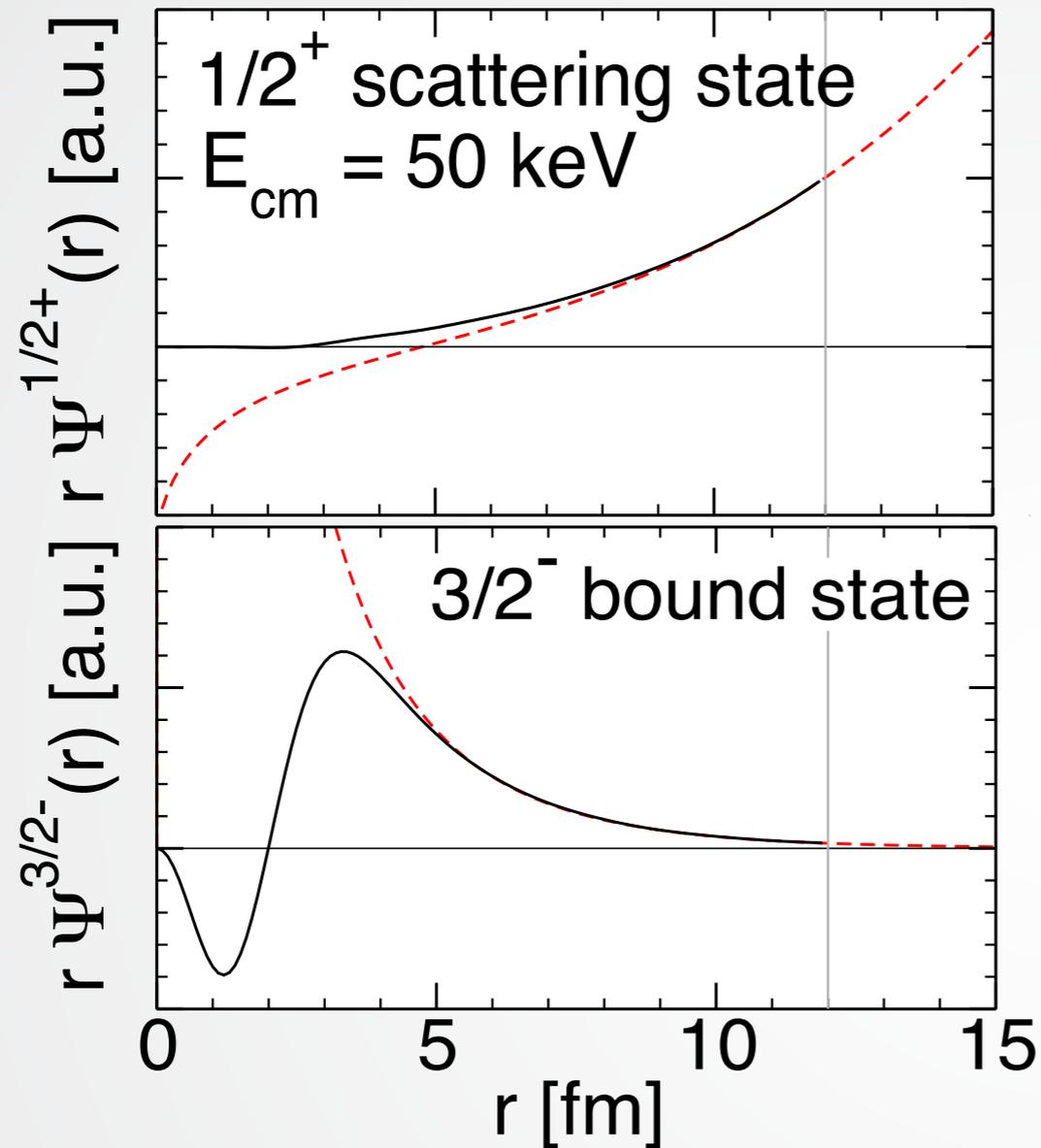


- good agreement with new high quality  ${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$  data regarding both energy dependence and normalization



- calculations reproduce energy dependence but not normalization of  ${}^3\text{H}(\alpha,\gamma){}^7\text{Li}$  data by Brune *et al.*

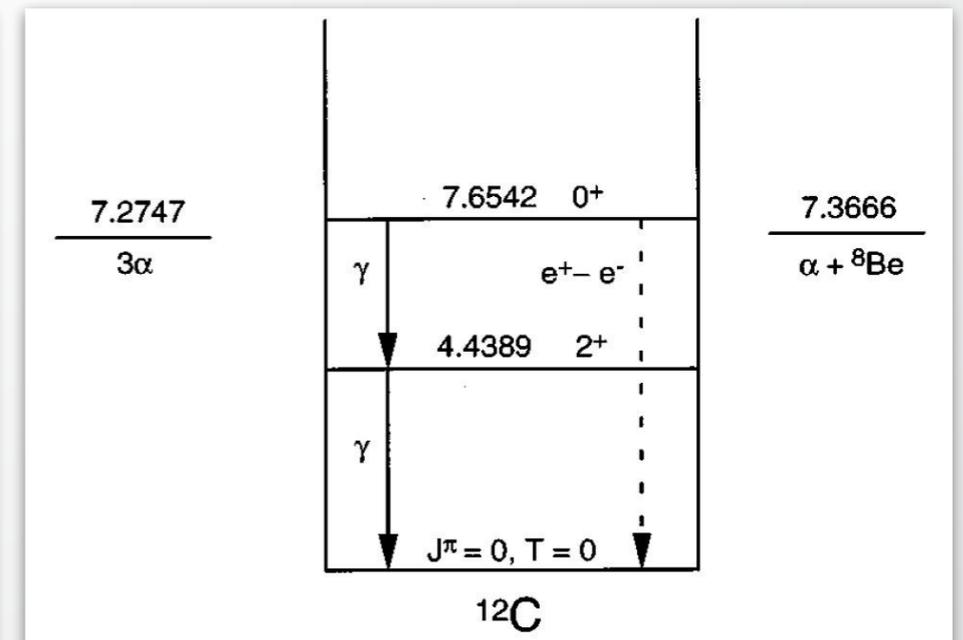
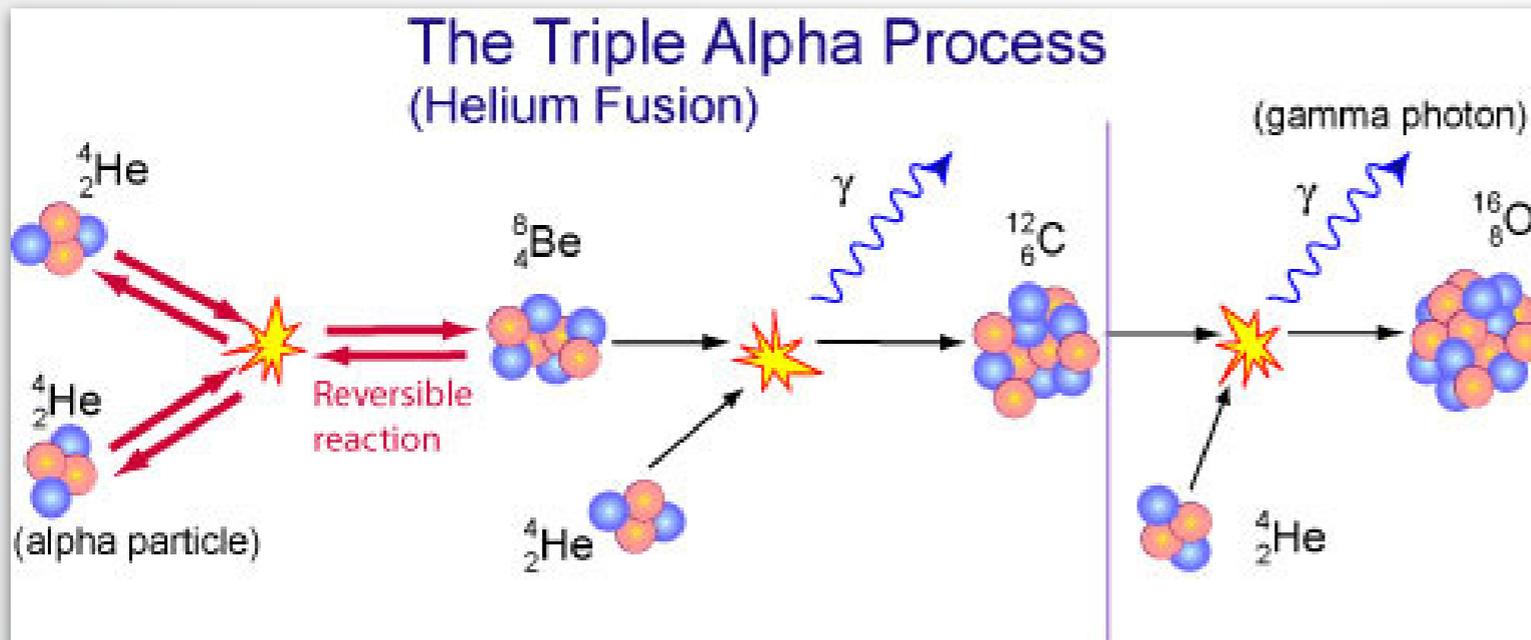
# Overlap functions and Matrixelements



- Overlap functions from projection on RGM-cluster states
- Dipole matrix elements calculated from overlap functions reproduce full calculation within 2%
- cross section depends significantly on internal part of wave function, description as an “external” capture is too simplified

# Cluster States in $^{12}\text{C}$

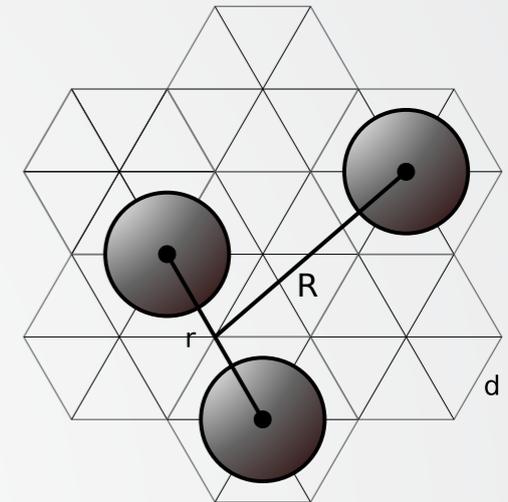
FMD and Cluster Model Calculations



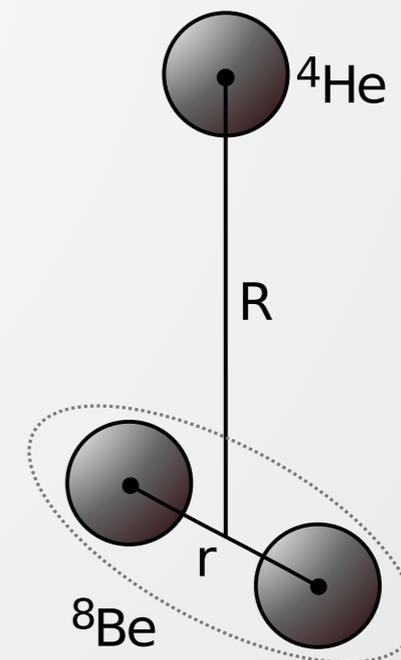
# $^{12}\text{C}$ : Microscopic $\alpha$ -Cluster Model

- $^{12}\text{C}$  is described as a system of three  $\alpha$ -particles
- $\alpha$ -particles are given by HO  $(0s)^4$  wave functions
- wave function is fully antisymmetrized
- effective Volkov nucleon-nucleon interaction adjusted to reproduce  $\alpha$ - $\alpha$  and  $^{12}\text{C}$  ground state properties
- Internal region:  **$\alpha$ 's on triangular grid**
- External region:  **$^8\text{Be}(0^+, 2^+, 4^+)$ - $\alpha$  configurations**

## Internal Region



## External Region



$$|\Psi_{JMK\pi}^{3\alpha}(\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3)\rangle = \hat{P}^\pi \hat{P}_{MK}^J \hat{A} \{ |\Psi_\alpha(\mathbf{R}_1)\rangle \otimes |\Psi_\alpha(\mathbf{R}_2)\rangle \otimes |\Psi_\alpha(\mathbf{R}_3)\rangle \}$$

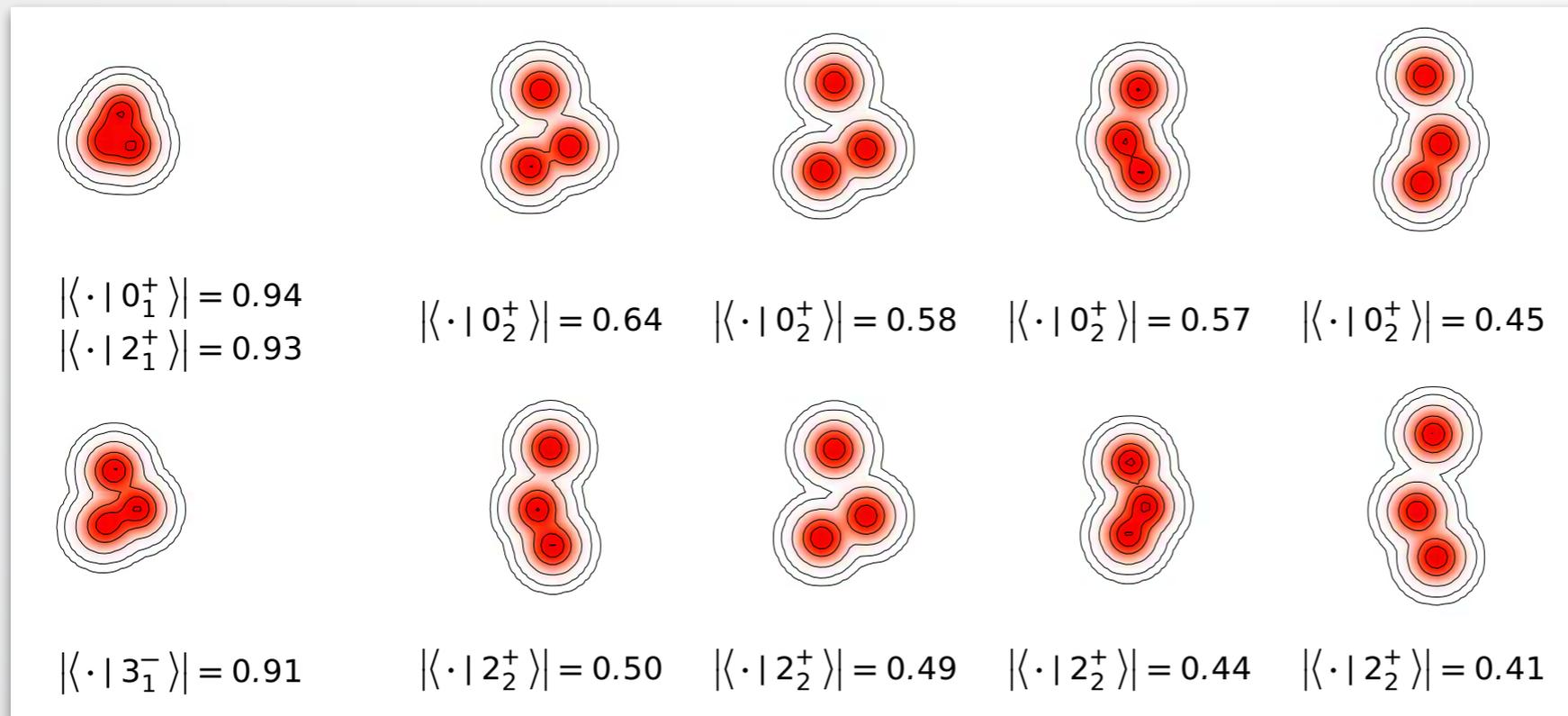
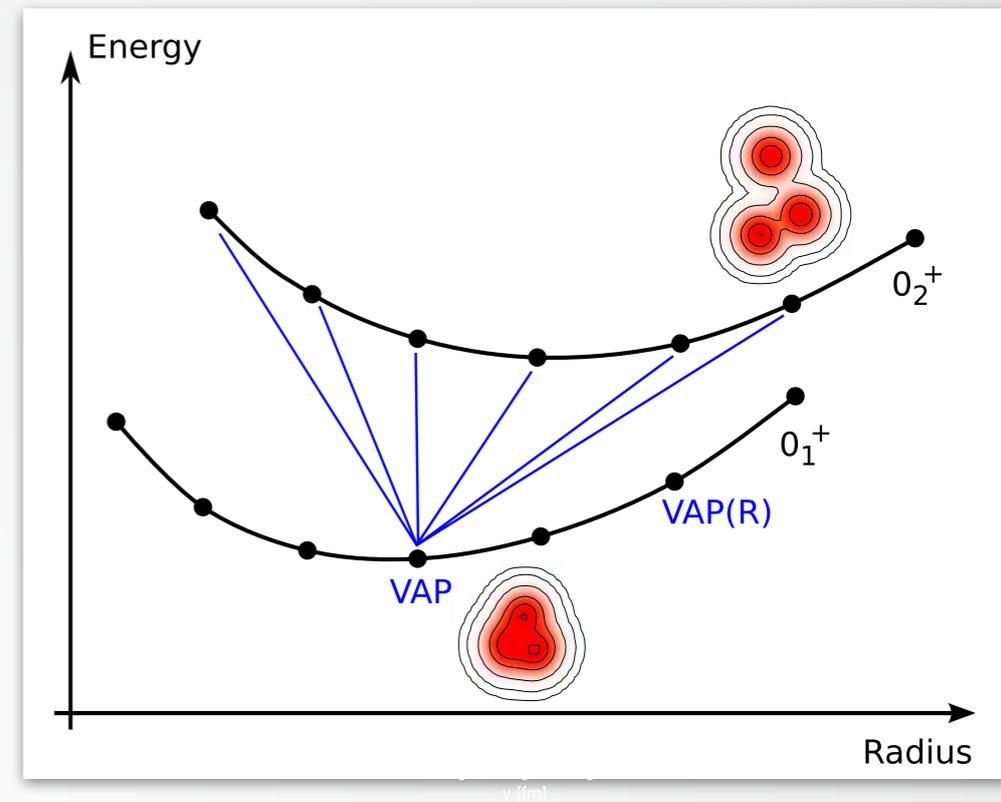
## Double Projection

$$|\Psi_{IK}^{8\text{Be}}\rangle = \sum_i \hat{P}_{K0}^I \hat{A} \{ |\Psi_\alpha(-\frac{r_i}{2}\mathbf{e}_z)\rangle \otimes |\Psi_\alpha(+\frac{r_i}{2}\mathbf{e}_z)\rangle \} c_i^I$$

$$|\Psi_{IK;JM\pi}^{8\text{Be},\alpha}(R_j)\rangle = \hat{P}^\pi \hat{P}_{MK}^J \hat{A} \{ |\Psi_{IK}^{8\text{Be}}(-\frac{R_j}{3}\mathbf{e}_z)\rangle \otimes |\Psi_\alpha(+\frac{2R_j}{3}\mathbf{e}_z)\rangle \}$$

# $^{12}\text{C}$ : FMD

- **AV18 UCOM(SRG)** ( $\alpha=0.20 \text{ fm}^4$ ) interaction — Increase strength of spin-orbit force by a factor of two to partially account for omitted three-body forces
- Internal region: FMD basis states obtained by **VAP** with radius as generator coordinate for **first**  $0^+$ ,  $1^+$ ,  $2^+$ , ..., perform VAP for **second**  $0^+$ ,  $1^+$ ,  $2^+$ , ... with radius as generator coordinate
- External region:  $^8\text{Be}(0^+, 2^+, 4^+)$ - $\alpha$  configurations, polarization effects in  $^8\text{Be}$  are important



Basis states are not orthogonal !

$0_2^+$  and  $2_2^+$  states have no rigid intrinsic structure

# $^{12}\text{C}$ : Matching to Coulomb Asymptotics

- asymptotically only Coulomb interaction between  $^8\text{Be}$  and  $\alpha$
- calculate spectroscopic amplitudes with RGM wavefunction
- use microscopic **R-matrix** method to match logarithmic derivative of spectroscopic amplitudes to Coulomb solutions

## Bound states (Whittaker)

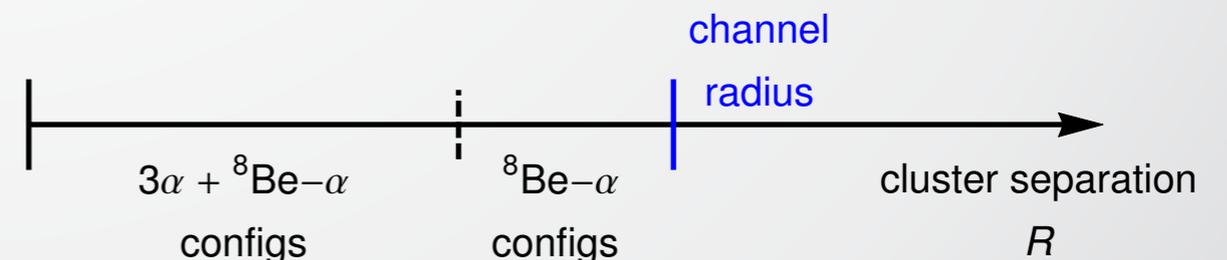
$$\psi_c(r) = A_c \frac{1}{r} W_{-\eta_c, L_c + 1/2}(2K_c r), \quad K_c = \sqrt{-2\mu(E - E_c)}$$

## Resonances (purely outgoing Coulomb - complex energy)

$$\psi_c(r) = A_c \frac{1}{r} O_{L_c}(\eta_c, k_c r), \quad k_c = \sqrt{2\mu(E - E_c)}$$

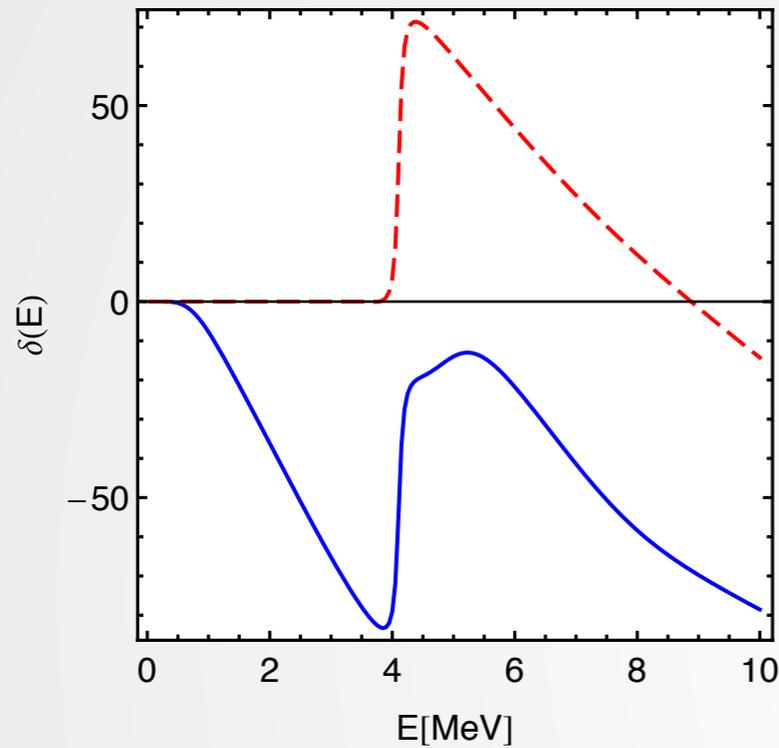
## Scattering States (incoming + outgoing Coulomb)

$$\psi_c(r) = \frac{1}{r} \{ \delta_{L_c, L_0} I_{L_c}(\eta_c, k_c r) - S_{c, c_0} O_{L_c}(\eta_c, k_c r) \}, \quad k_c = \sqrt{2\mu(E - E_c)}$$

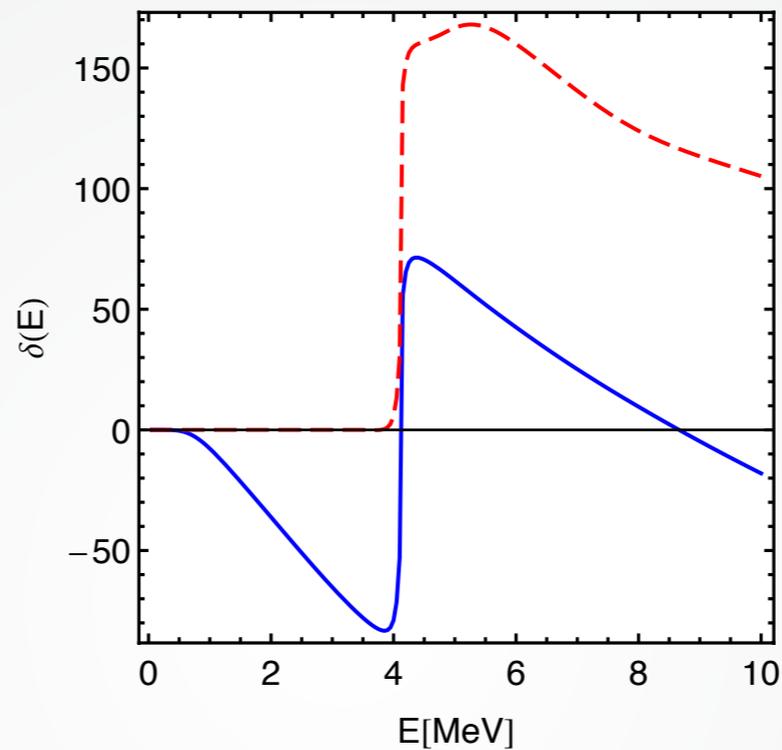


# ${}^8\text{Be}(0_1^+, 0_2^+) + \alpha$ Continuum: $0^+$ Phaseshifts

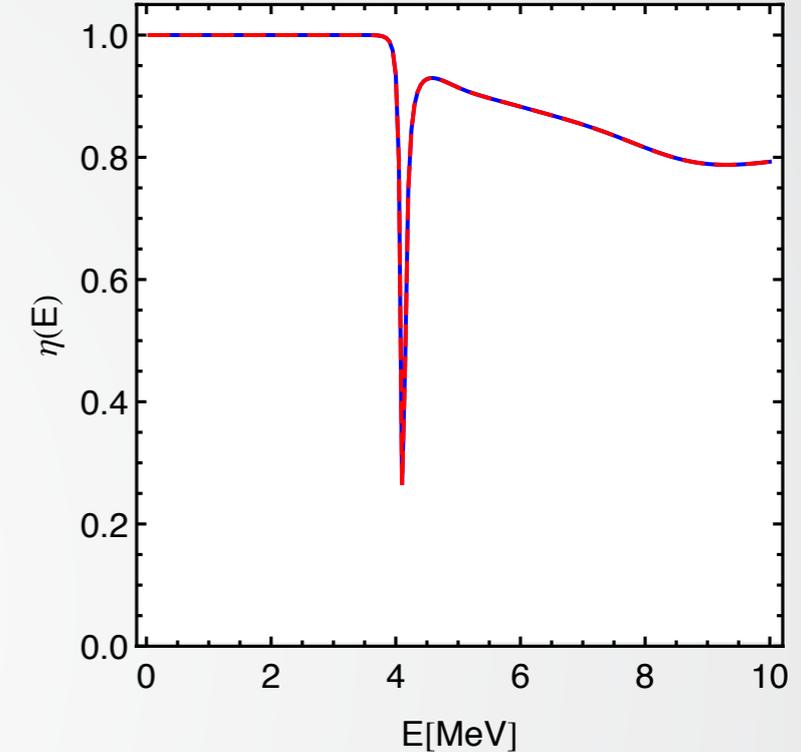
## Eigenphaseshifts



## Phaseshifts



## Inelasticities



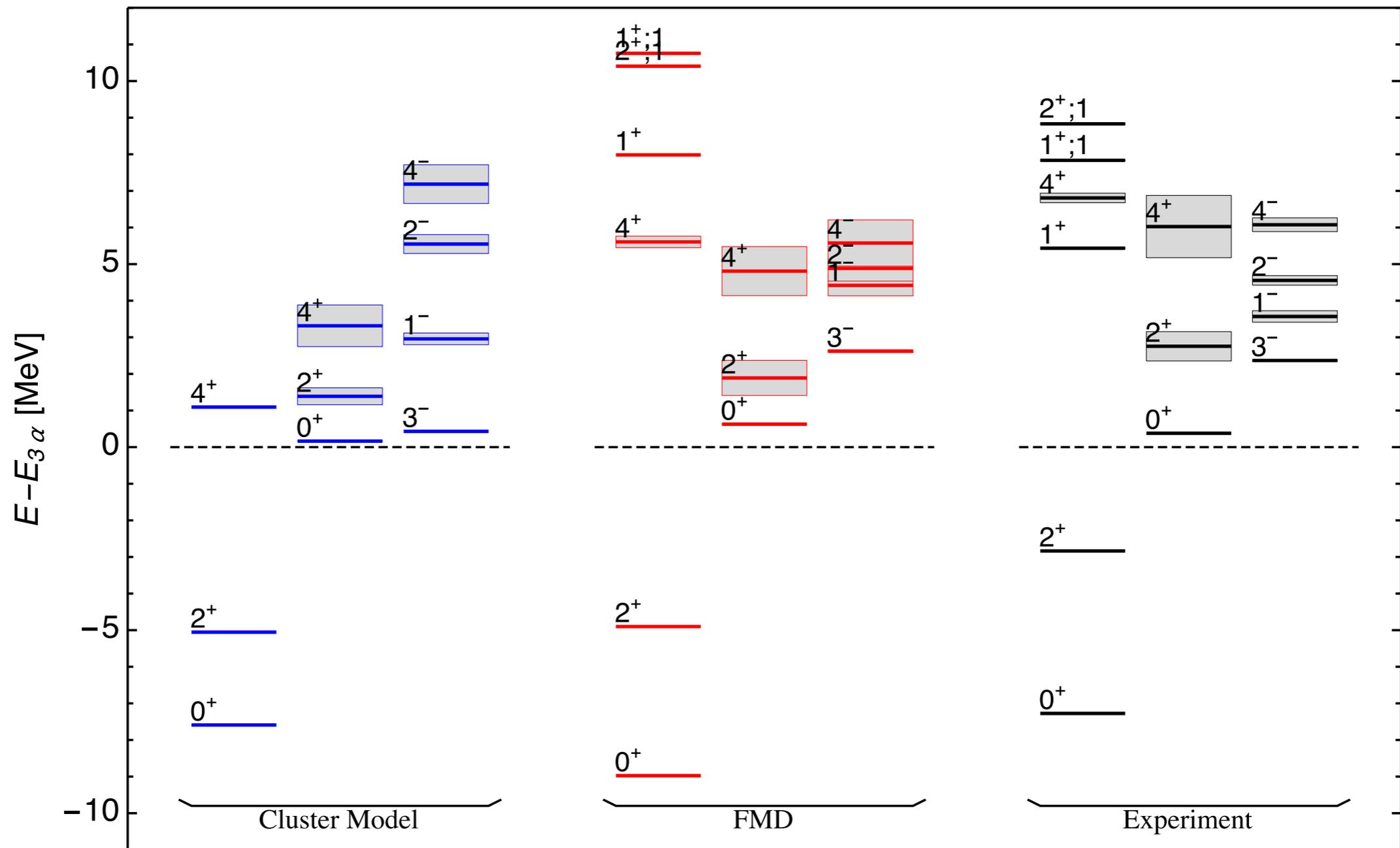
## Gamow States

	E [MeV]	$\Gamma_\alpha$ [MeV]
$0_2^+$	0.29	$1.78 \cdot 10^{-5}$
$0_3^+$	4.11	0.12
$0_4^+$	4.76	1.51

- Hoyle state missed when scanning the phase shifts
- non-resonant background
- strong coupling between  ${}^8\text{Be}(0^+)$  and  ${}^8\text{Be}(2^+)$  channel at 4.1 MeV

Cluster Model

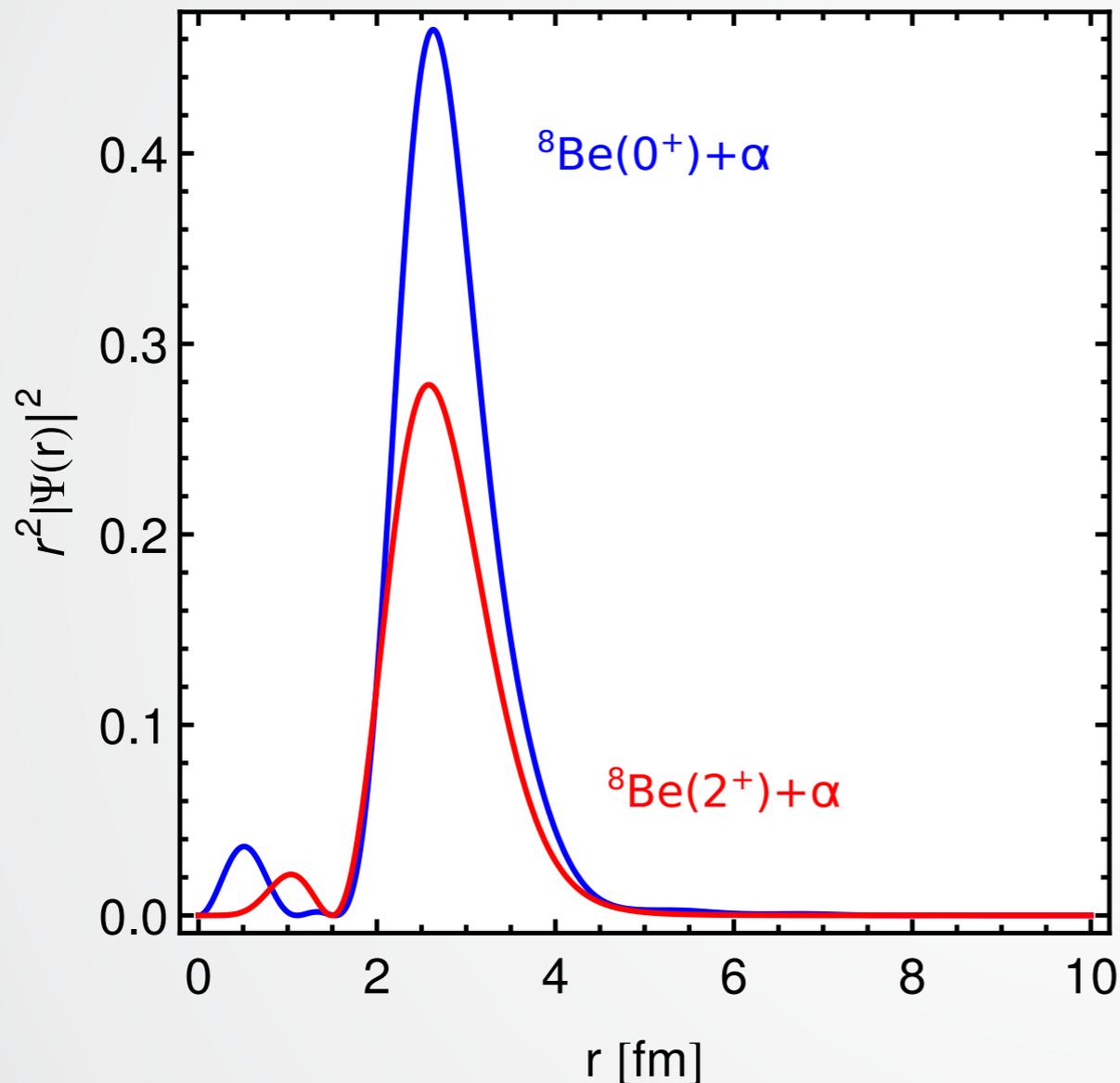
# $^{12}\text{C}$ : Spectrum



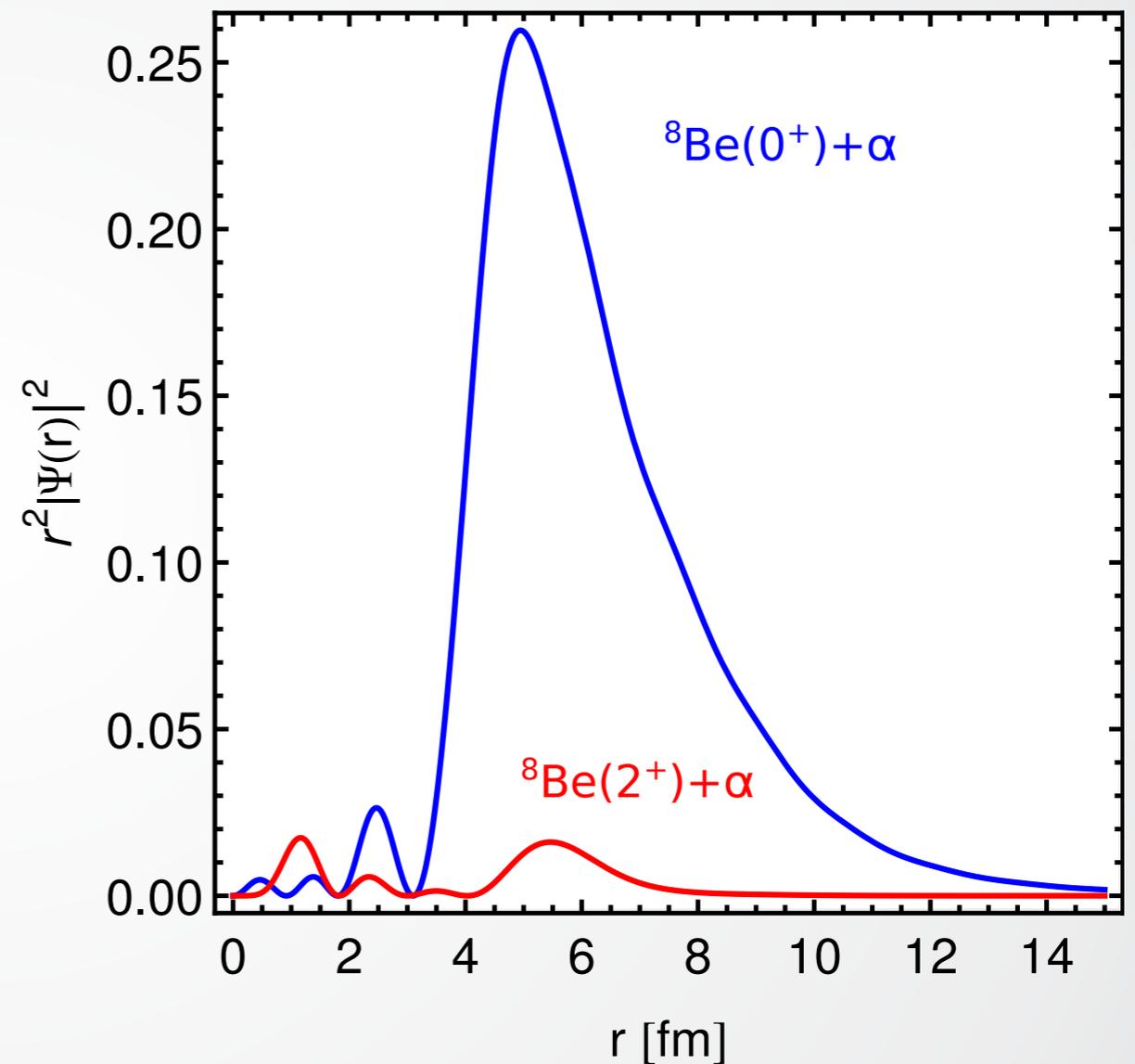
- FMD provides a consistent description of  $p$ -shell states, negative parity states and cluster states

# $^{12}\text{C}$ : $^8\text{Be}$ - $\alpha$ Spectroscopic Amplitudes

Ground State

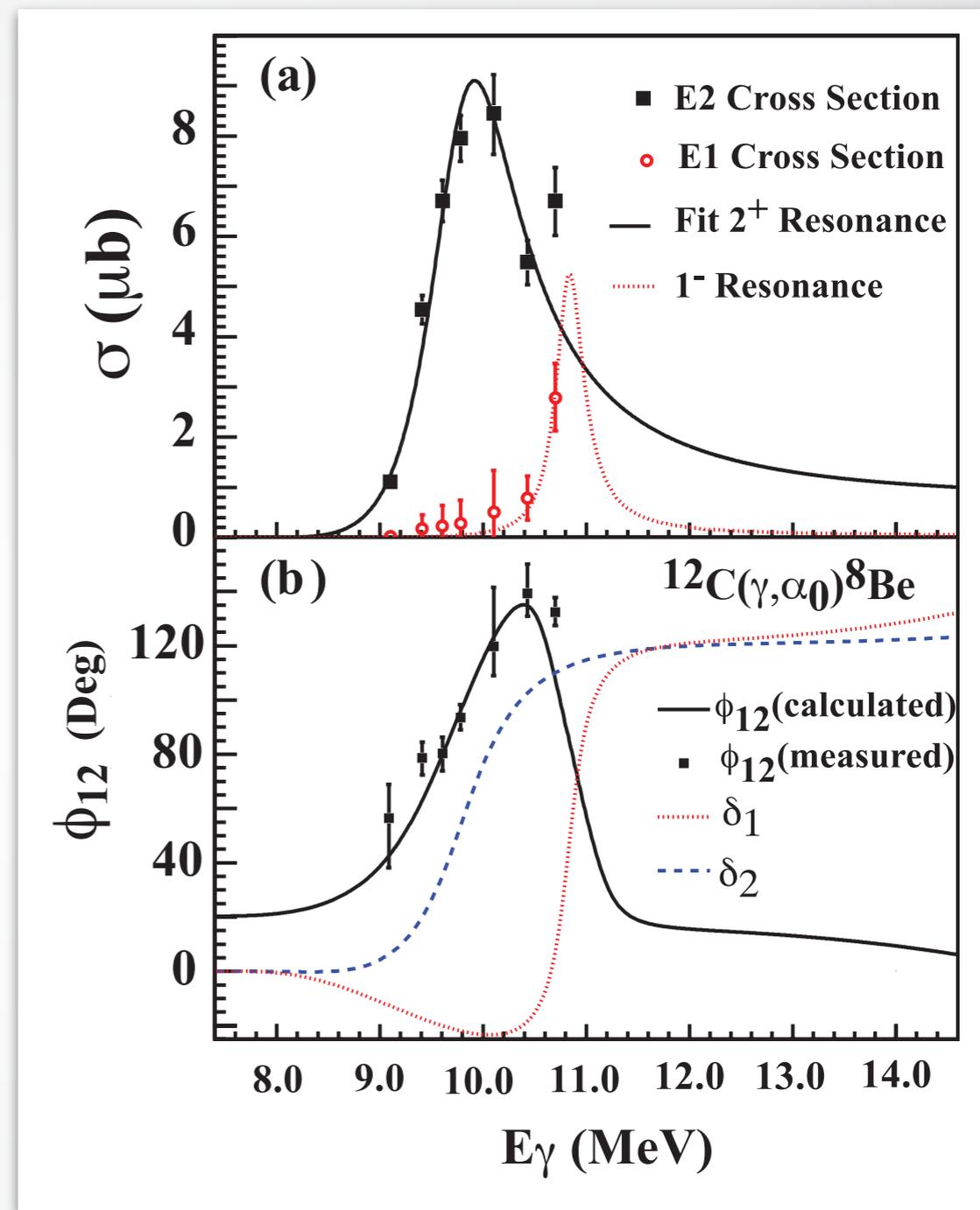
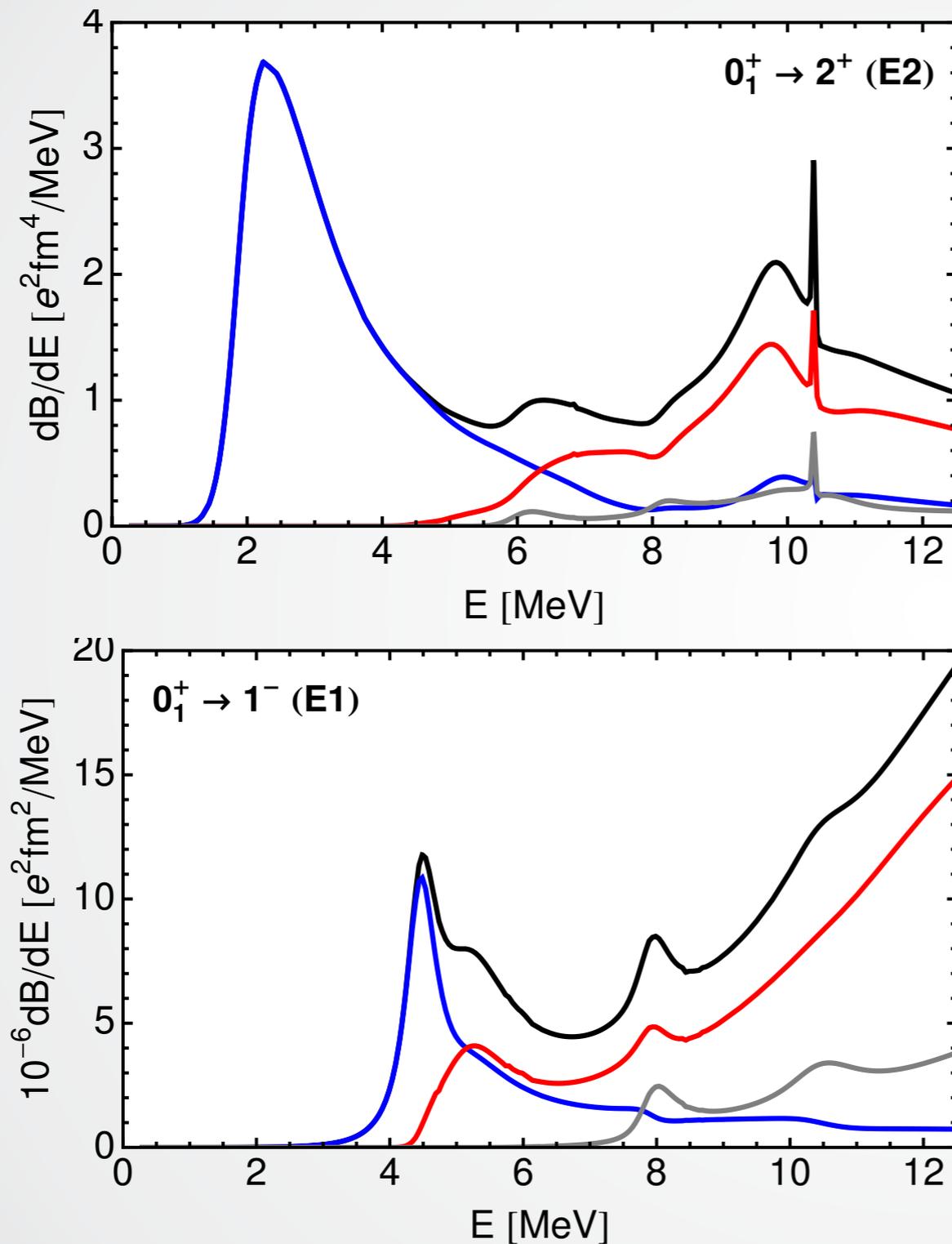


Hoyle State



- Ground state overlap with  $^8\text{Be}(0^+)+\alpha$  and  $^8\text{Be}(2^+)+\alpha$  configurations of similar magnitude
- Hoyle state overlap dominated by  $^8\text{Be}(0^+)+\alpha$  configurations, large spatial extension

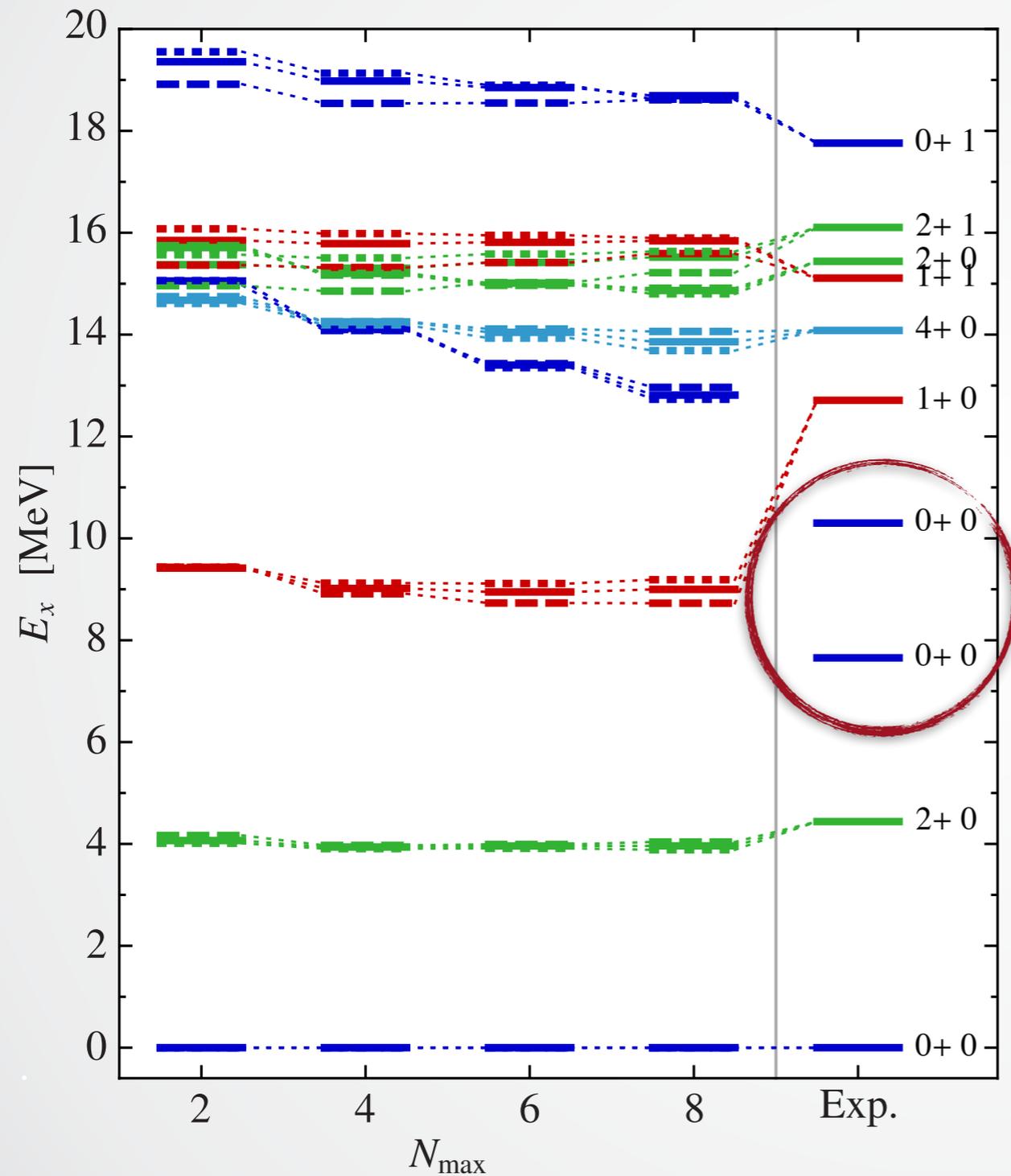
# $^{12}\text{C}$ : Transitions into the Continuum



Zimmermann et al., Phys. Rev. Lett. **110**, 152502 (2013)

- E1 transition isospin-forbidden in cluster model

# $^{12}\text{C}$ : Cluster States in the Oscillator Basis ?

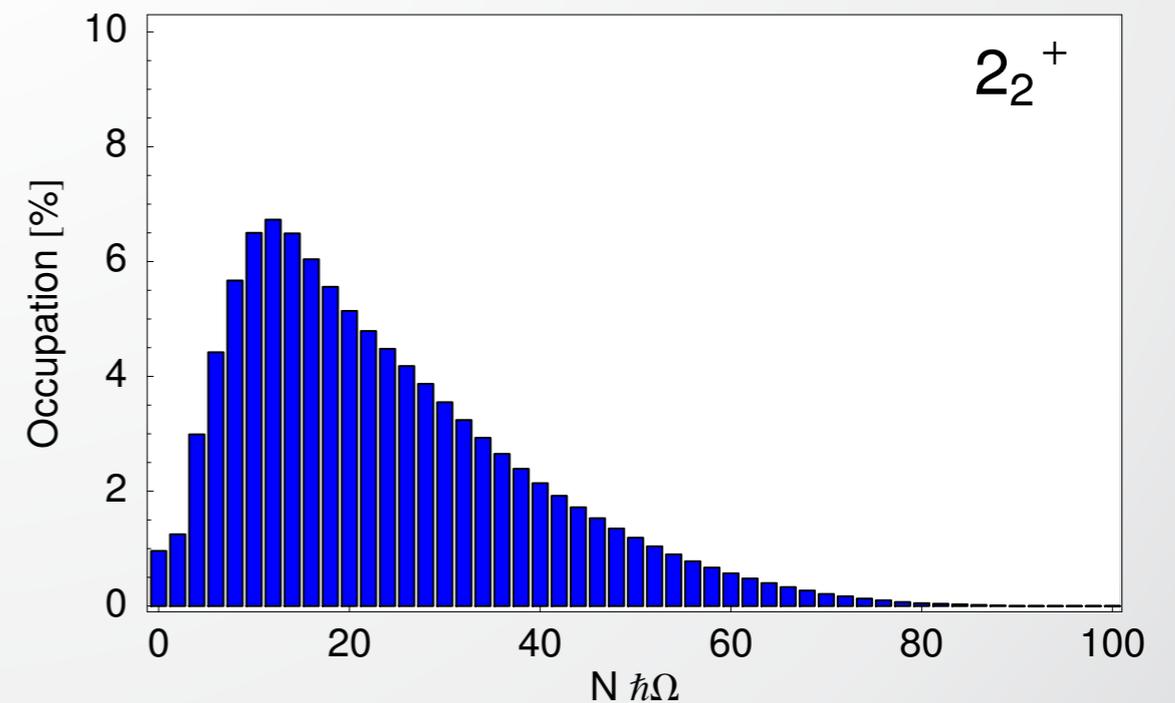
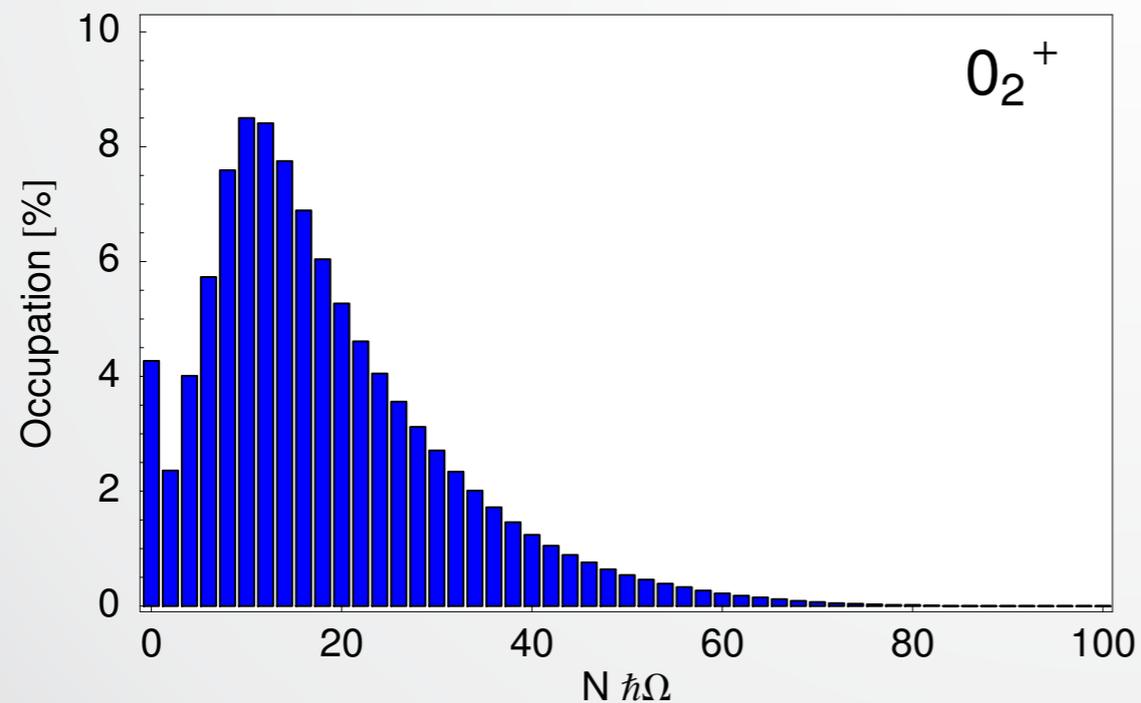
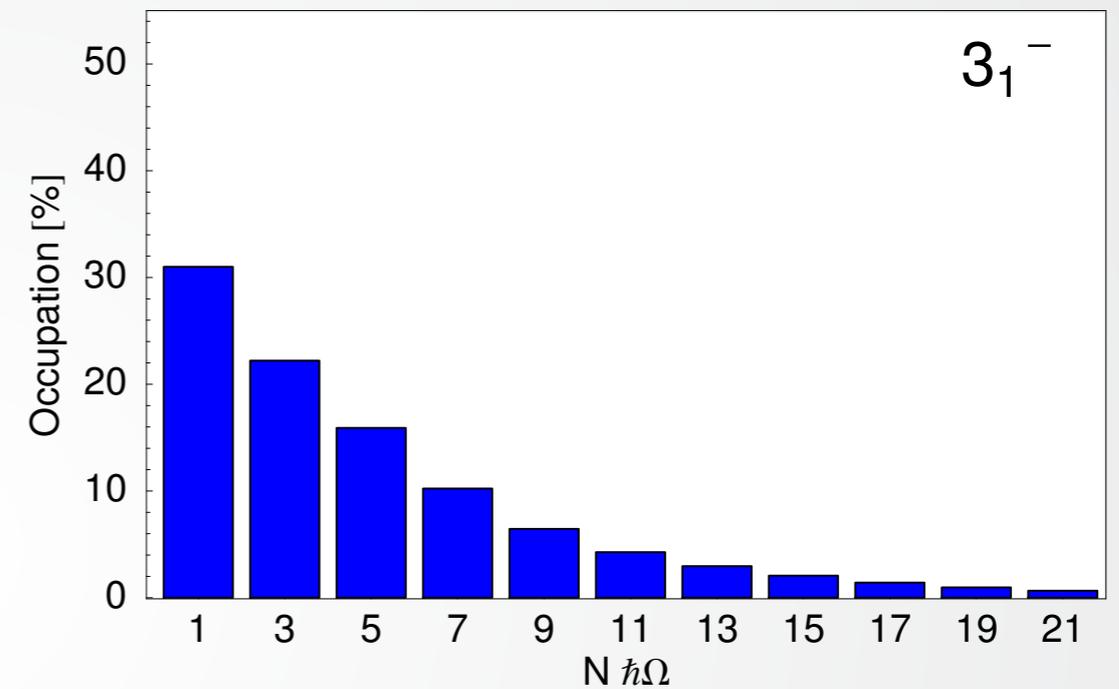
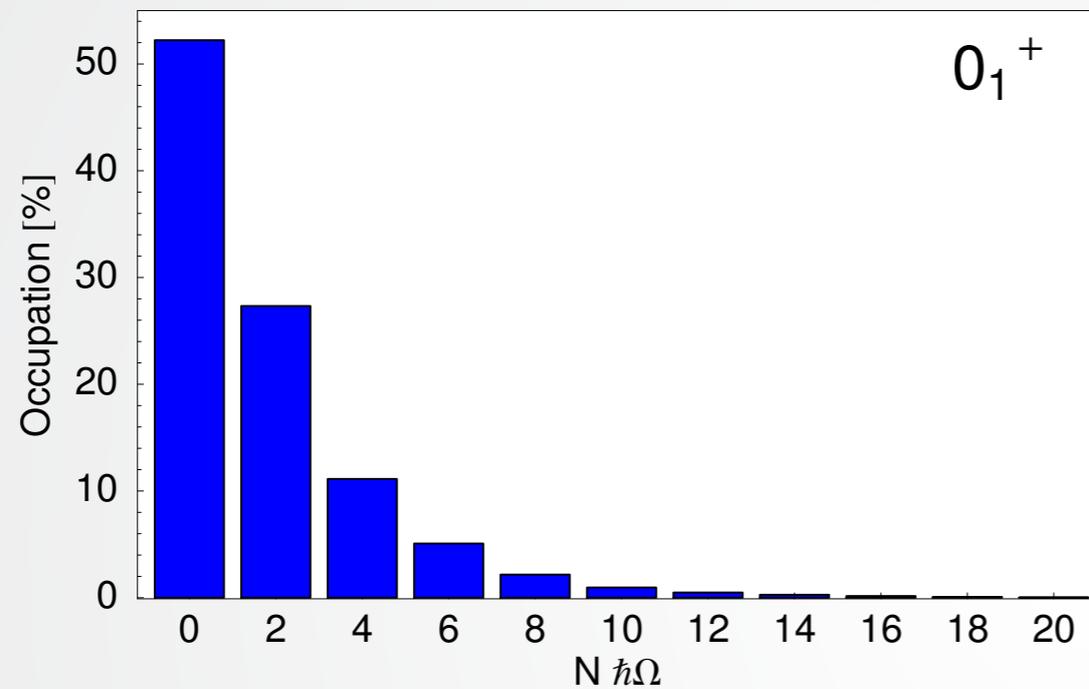


State of the art NCSM calculation with chiral NN+NNN forces

Hoyle state and other cluster states missing !

# $^{12}\text{C}$ : $N\hbar\Omega$ Decomposition

$$\text{Occ}(N) = \langle \Psi | \delta \left( \sum_i (\hat{H}_i^{\text{HO}} / \hbar\Omega - 3/2) - N \right) | \Psi \rangle$$



# Summary and Conclusions

## Unitary Correlation Operator Method

- Explicit description of short-range central and tensor correlations

## Fermionic Molecular Dynamics

- Gaussian wave-packet basis contains HO shell model and Brink-type cluster states
- R-matrix method for description of continuum states

## ${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$ Capture Reaction

- Consistent description of bound-state properties, phase shifts and capture cross section
- Good agreement with  ${}^3\text{He}(\alpha,\gamma){}^7\text{Be}$  data, but normalization off for  ${}^3\text{H}(\alpha,\gamma){}^7\text{Li}$

## Continuum states in ${}^{12}\text{C}$

- Compare  $\alpha$ -cluster model and FMD
- Model space with  ${}^8\text{Be}(0^+,2^+,\dots)+\alpha$  configurations
- Consistent picture for ground state band, negative parity states and cluster states in the continuum
- Hoyle state band built on  ${}^8\text{Be}(\text{gs})+\alpha$