

Excited State Transitions in Double Beta Decay: A brief Review

Fifteenth International Symposium on Capture
Gamma-Ray Spectroscopy and Related Topics
(CGS15)

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Björn Lehnert

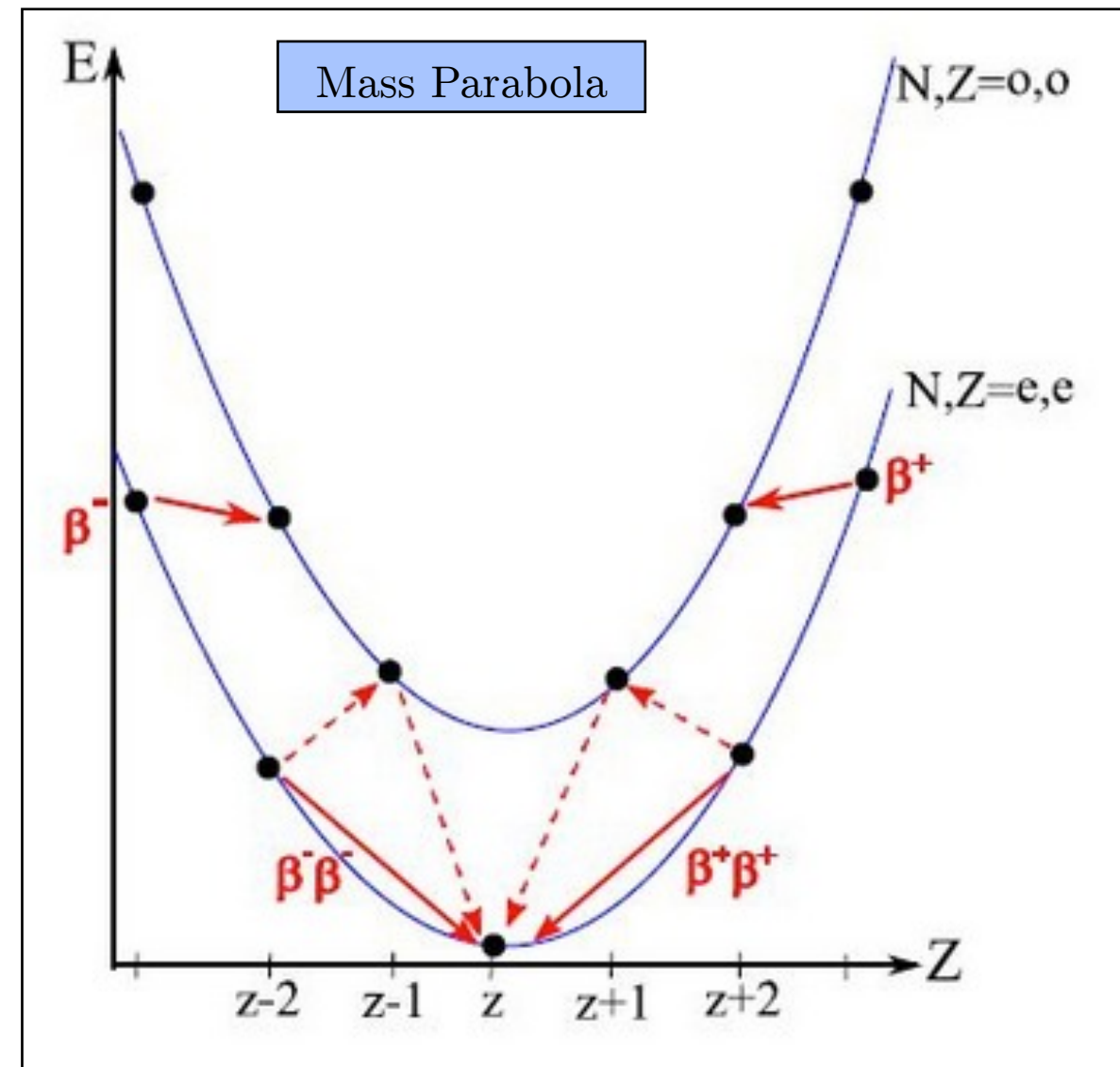
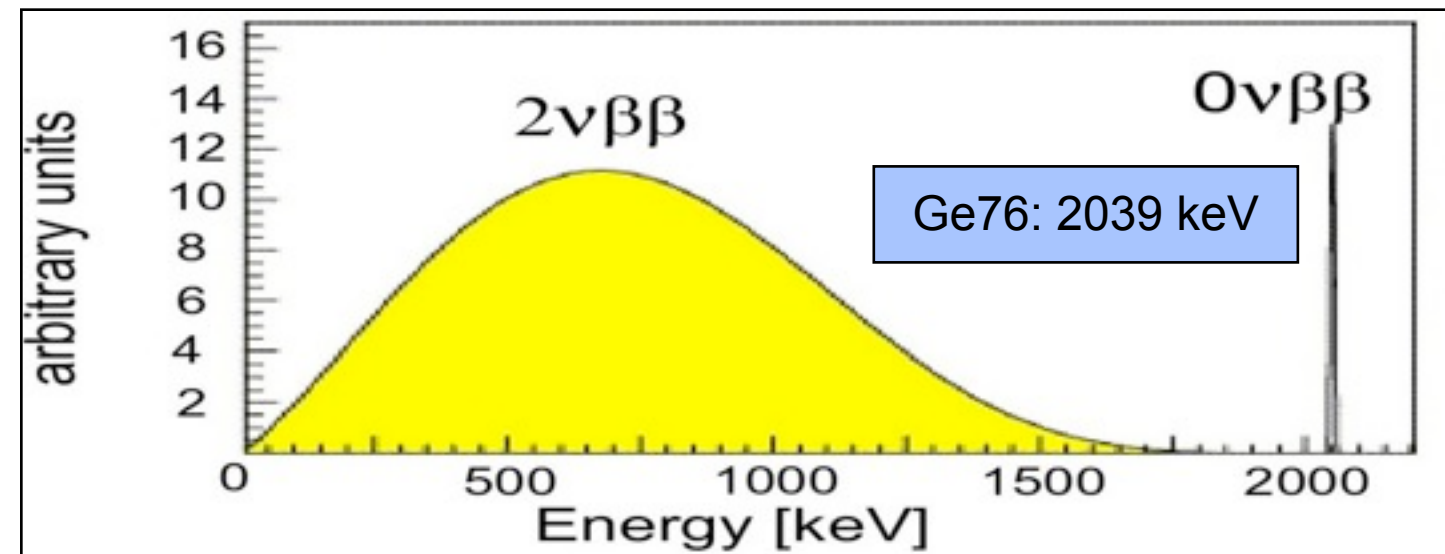
Institut für Kern- und Teilchenphysik



Double Beta Decay

$$2\nu\beta\beta : (Z, A) \rightarrow (Z + 2, A) + 2e^- + 2\bar{\nu}_e$$

$$0\nu\beta\beta : (Z, A) \rightarrow (Z + 2, A) + 2e^-$$



Effective neutrino mass:

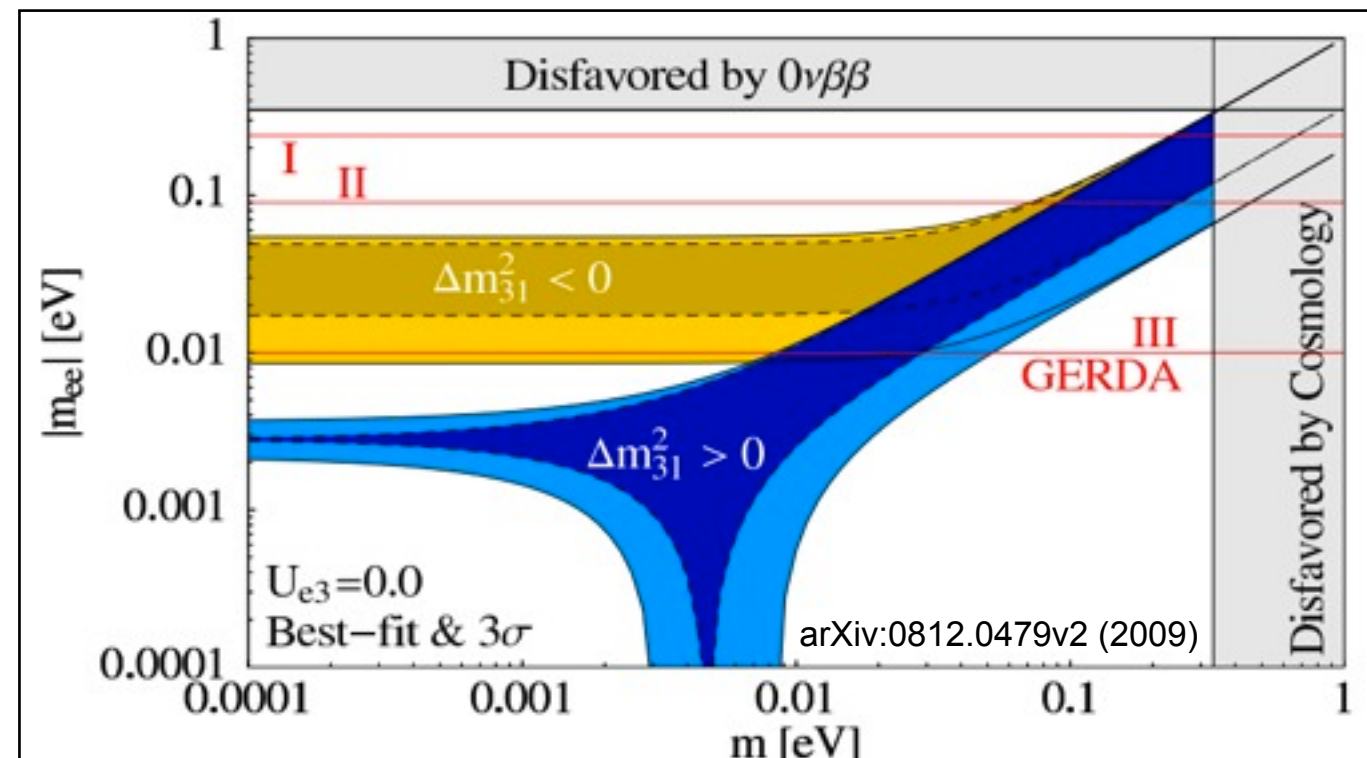
(only for dominant light Majorana neutrino exchange)

$$\left(T_{1/2}^{0\nu}\right)^{-1} = G^{0\nu} \cdot |\mathcal{M}^{0\nu}|^2 \cdot |m_{ee}|^2$$

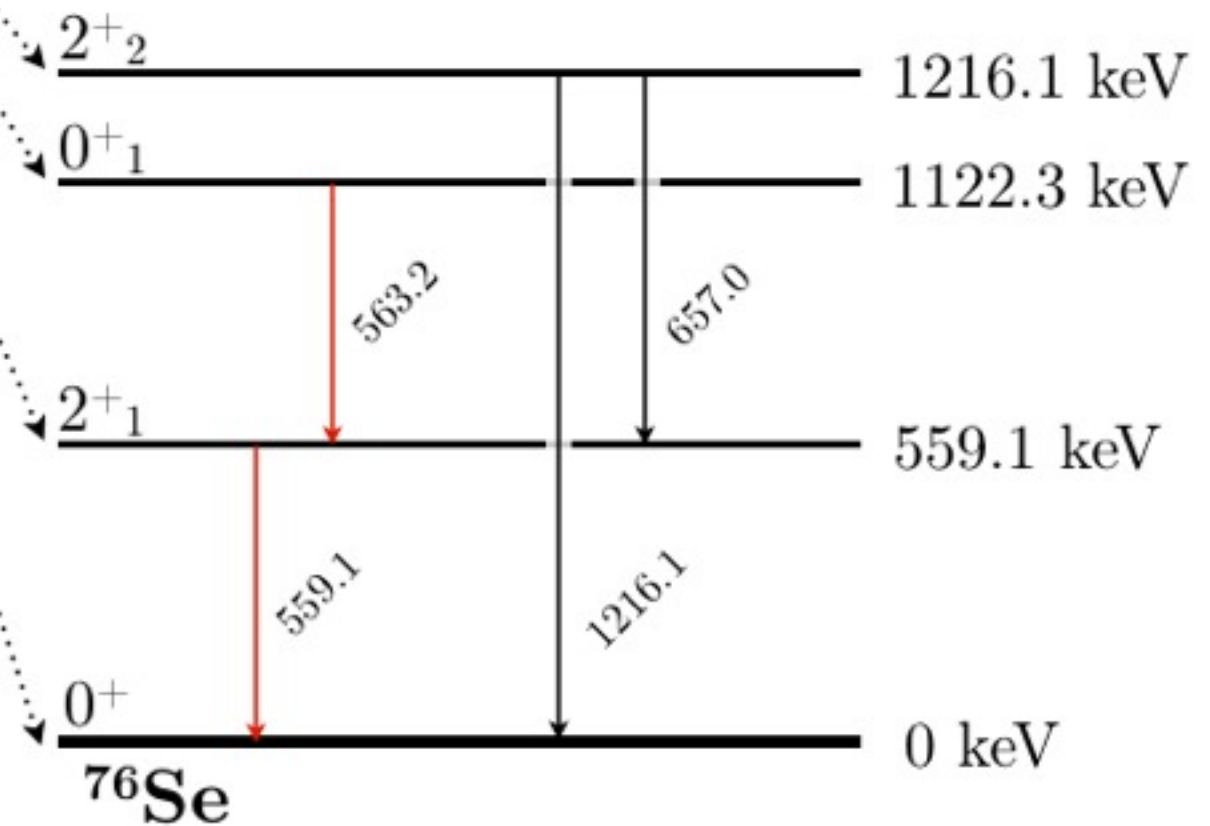
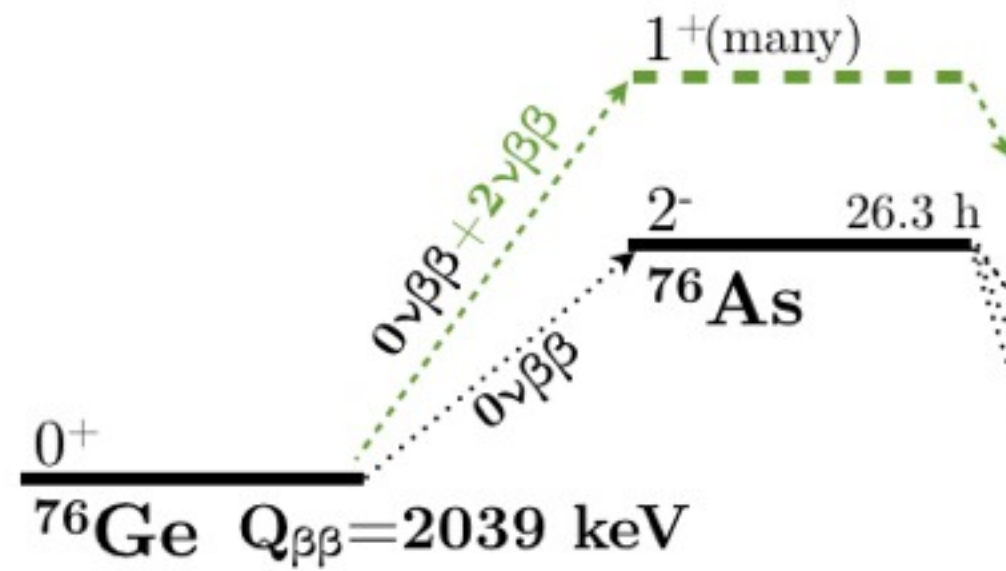
$G^{0\nu}$: phase space factor

$\mathcal{M}^{0\nu}$: nuclear matrix element

m_{ee} : effective neutrino mass



DBD into Excited States



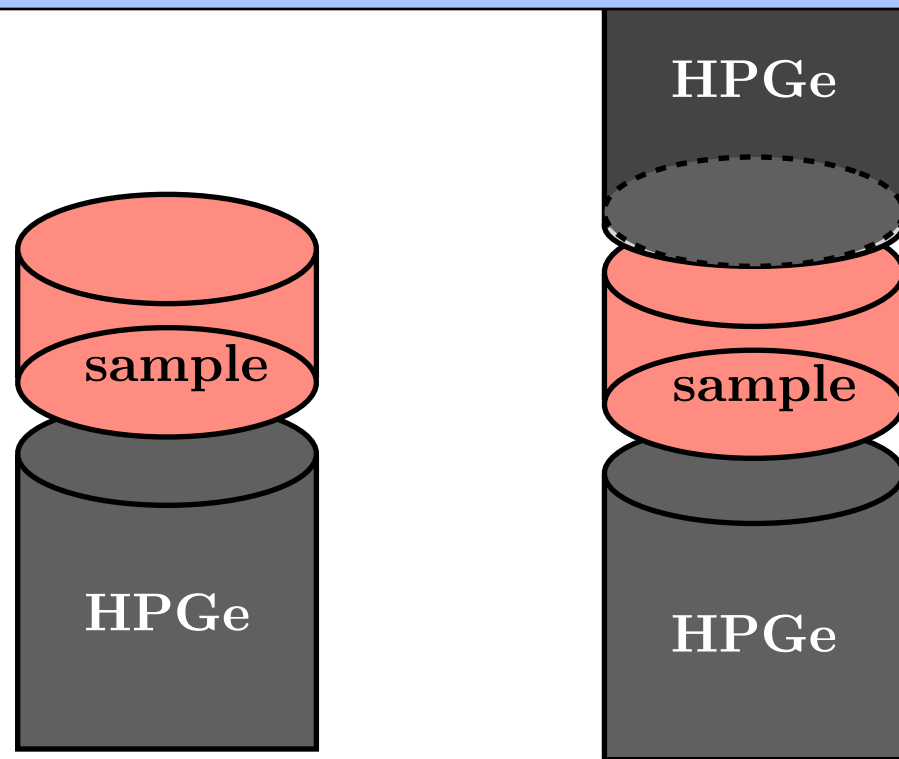
$$2\nu\beta\beta : \left(T_{1/2}^{2\nu}\right)^{-1} = G^{2\nu} \cdot |\mathcal{M}^{2\nu}|^2$$

$$0\nu\beta\beta : \left(T_{1/2}^{0\nu}\right)^{-1} = G^{0\nu} \cdot |\mathcal{M}^{0\nu}|^2 \cdot |m_{ee}|^2$$

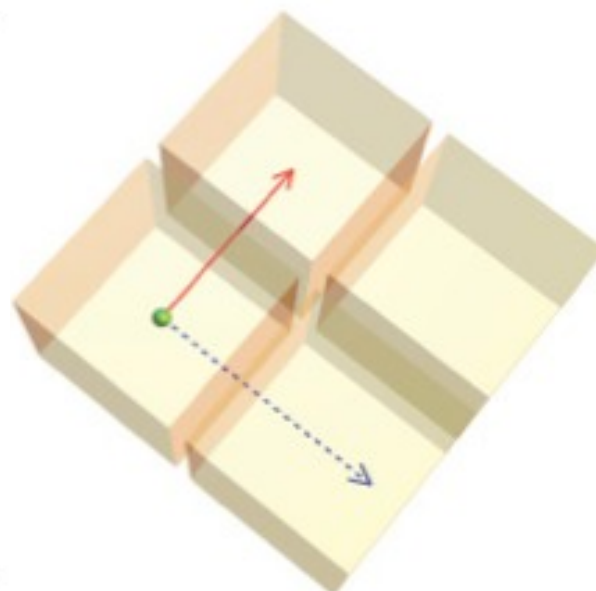
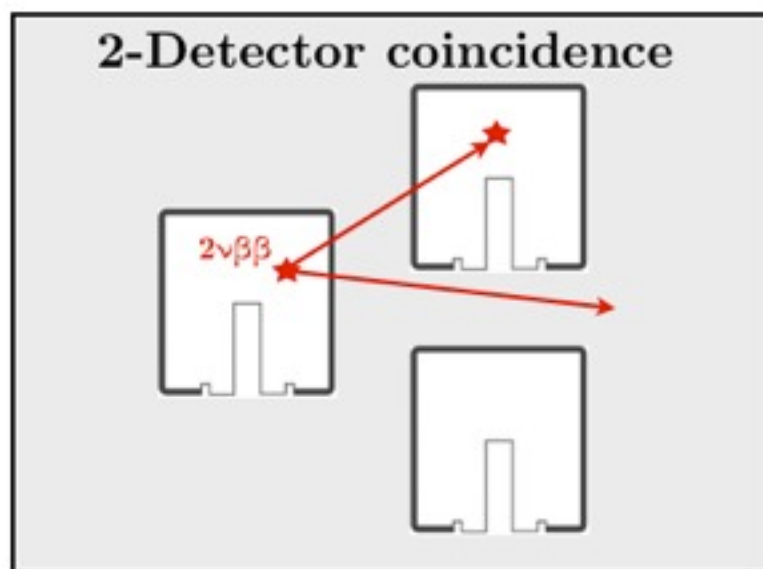
- Experimental information for $2\nu\beta\beta$ helps matrix element calculations for $0\nu\beta\beta$
- $0^+_{1,2}$ expected to have highest excited state rate ($\propto E^{11}$ (for 2ν), $2^+_{1,2}$ spin suppressed)
- Effective parameters can be constrained: (e.g. g_A quenching)

Experimental Approaches

Gamma spectroscopy



$0\nu\beta\beta$ experiments



Theoretical Approaches

Direct calculation

$$\left(T_{1/2}^{2\nu}\right)^{-1} = G^{2\nu} \cdot |M^{2\nu}|^2$$

- Direct calculation with various nuclear models (QRPA, SM, HFB, IBM...)
- Often very inconsistent

Scaling to ground state $T_{1/2}$

$$\mathcal{R} = \frac{T_{1/2}^{0+}}{T_{1/2}^{0+_{g.s.}}} = \frac{G^{0+_{g.s.}} \cdot \left(\mathcal{M}^{0+_{g.s.}}\right)^2}{G^{0+}_1 \cdot \left(\mathcal{M}^{0+}_1\right)^2}$$

- Use ratio of NME and PSF which cancels some uncertainties (e.g. g_A)
- Then scale with measured g.s. $T_{1/2}$

Excited States Experimental Overview

- So far $2\nu\beta\beta$ 0^+_1 transition discovered in ^{100}Mo (1995) and ^{150}Nd (2004)

- 2002 full collection of DBD information:

Tretyak and Zdesenko, Atom. Data Nucl. Data Tabl., vol. 80, pp. 83–116

- 2007 excited states:

Barabash, arXiv:0710.2194v1 [nucl-ex]

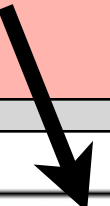
- 2010 combination of DBD results:

Barabash, Phys. Rev. C, vol. 81, p. 035501

Scaling with g.s. $T_{1/2}$ and full set of PSF and NME

Phys. Rev. C85, 034316 (2012)

Phys. Rev. C87, 014315 (2013)



Transition $2\nu\beta\beta$	$0_{g.s.}^+$ exp $T_{1/2}$ [yr]	0_1^+ exp $T_{1/2}$ [yr]	0_1^+ QRPA [61] [62] $T_{1/2}$ [yr]	0_1^+ QRPA [63] $T_{1/2}$ [yr]	0_1^+ IBM-2 $T_{1/2}$ [yr]
$^{48}_{20}\text{Ca} \rightarrow ^{48}_{20}\text{Ti}$	$4.4^{+0.6}_{-0.5} \cdot 10^{19}$ [57] ^a	$> 1.5 \cdot 10^{20}$ [64]	—	—	$1.5 \cdot 10^{23}$
$^{76}_{32}\text{Ge} \rightarrow ^{76}_{34}\text{Se}$	$1.84^{+0.14}_{-0.10} \cdot 10^{21}$ [58]	$> 6.2 \cdot 10^{21}$ [65]	$(7.5 - 310) \cdot 10^{21}$	$4.5 \cdot 10^{21}$	$5.7 \cdot 10^{24}$
$^{82}_{34}\text{Se} \rightarrow ^{82}_{36}\text{Kr}$	$9.2 \pm 0.7 \cdot 10^{19}$ [57] ^a	$> 3.0 \cdot 10^{21}$ [66]	$(1.5 - 3.3) \cdot 10^{21}$	—	—
$^{96}_{40}\text{Zr} \rightarrow ^{96}_{42}\text{Mo}$	$2.3 \pm 0.2 \cdot 10^{19}$ [57] ^a	$> 6.8 \cdot 10^{19}$ [67]	$(2.4 - 2.7) \cdot 10^{21}$	$3.8 \cdot 10^{21}$	$2.7 \cdot 10^{24}$
$^{100}_{42}\text{Mo} \rightarrow ^{100}_{44}\text{Ru}$	$7.1 \pm 0.4 \cdot 10^{18}$ [57] ^a	$= 5.9^{+0.8}_{-0.6} \cdot 10^{20}$ [57] ^a	$1.6 \cdot 10^{21}$ [68]	$2.1 \cdot 10^{21}$	$2.2 \cdot 10^{22}$
$^{110}_{46}\text{Pd} \rightarrow ^{110}_{48}\text{Cd}$	$> 1 \cdot 10^{17}$ [30]	$> 2.0 \cdot 10^{20}$ [40]	$(4.2 - 9.1) \cdot 10^{23}$ [38]	—	$> 1.1 \cdot 10^{22}$
$^{116}_{48}\text{Cd} \rightarrow ^{116}_{50}\text{Sn}$	$2.8 \pm 0.2 \cdot 10^{19}$ [57] ^a	$> 2.0 \cdot 10^{21}$ [69]	$1.1 \cdot 10^{22}$	$1.1 \cdot 10^{21}$	$6.4 \cdot 10^{23}$
$^{124}_{50}\text{Sn} \rightarrow ^{124}_{52}\text{Te}$	—	—	$2.7 \cdot 10^{21}$	—	—
$^{130}_{52}\text{Te} \rightarrow ^{130}_{54}\text{Xe}$	$6.8^{+1.2}_{-1.1} \cdot 10^{20}$ [57] ^a	$> 1.3 \cdot 10^{23}$ [70]	$(5.1 - 14) \cdot 10^{22}$ ^b	—	$2.2 \cdot 10^{25}$
$^{136}_{54}\text{Xe} \rightarrow ^{136}_{56}\text{Ba}$	$2.29 \pm 0.08 \cdot 10^{21}$ ^b	$> 1.2 \cdot 10^{23}$ [71]	$2.5 \cdot 10^{21}$	$6.3 \cdot 10^{21}$	$2.6 \cdot 10^{25}$
$^{150}_{60}\text{Nd} \rightarrow ^{150}_{62}\text{Sm}$	$8.2 \pm 0.9 \cdot 10^{18}$ [57] ^a	$= 1.33^{+0.45}_{-0.26} \cdot 10^{20}$ [57] ^a	—	—	$1.9 \cdot 10^{21}$

^a world average values

^b corrected in [72]

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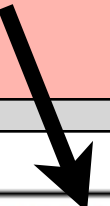
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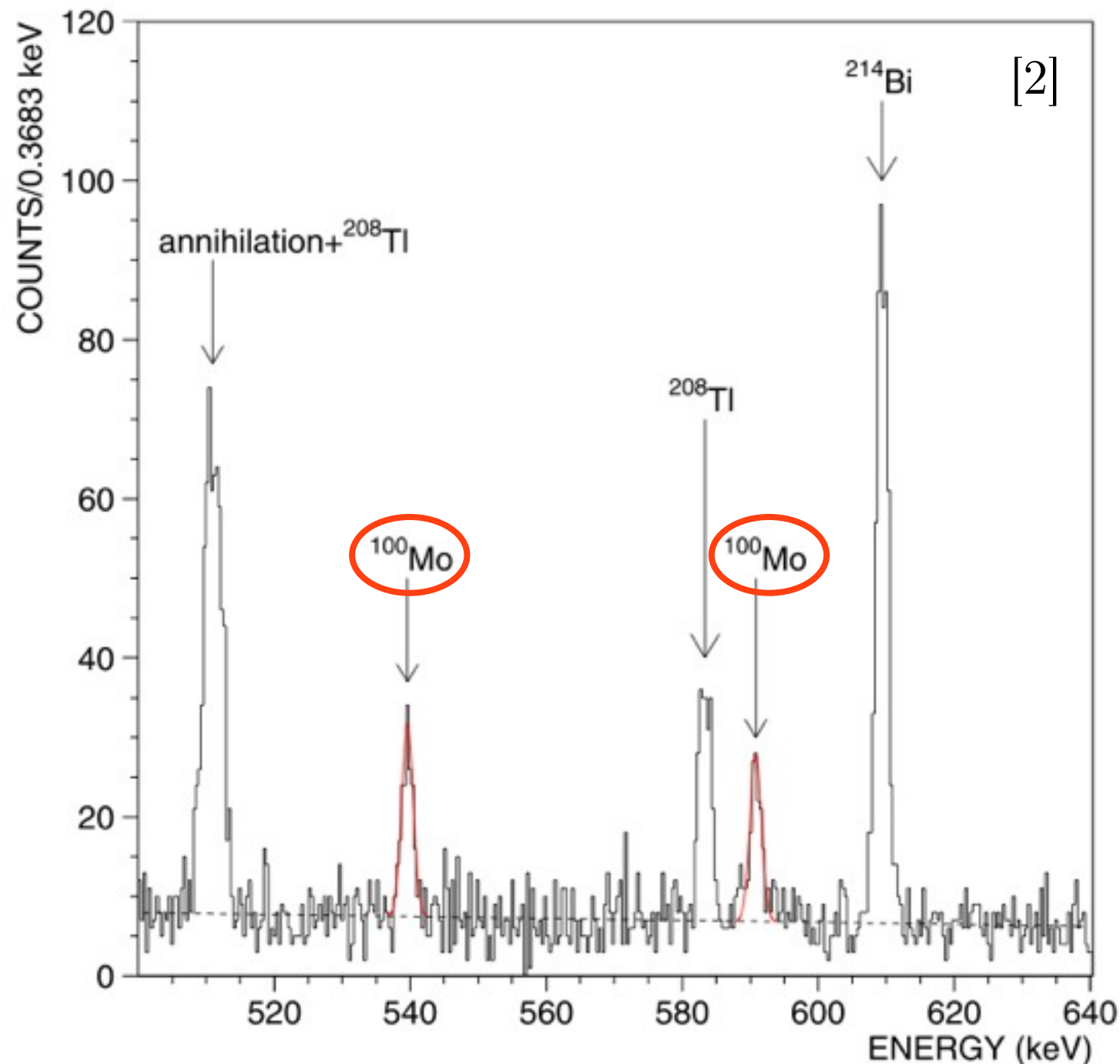
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$^{100}\text{Mo } 0^+_1$

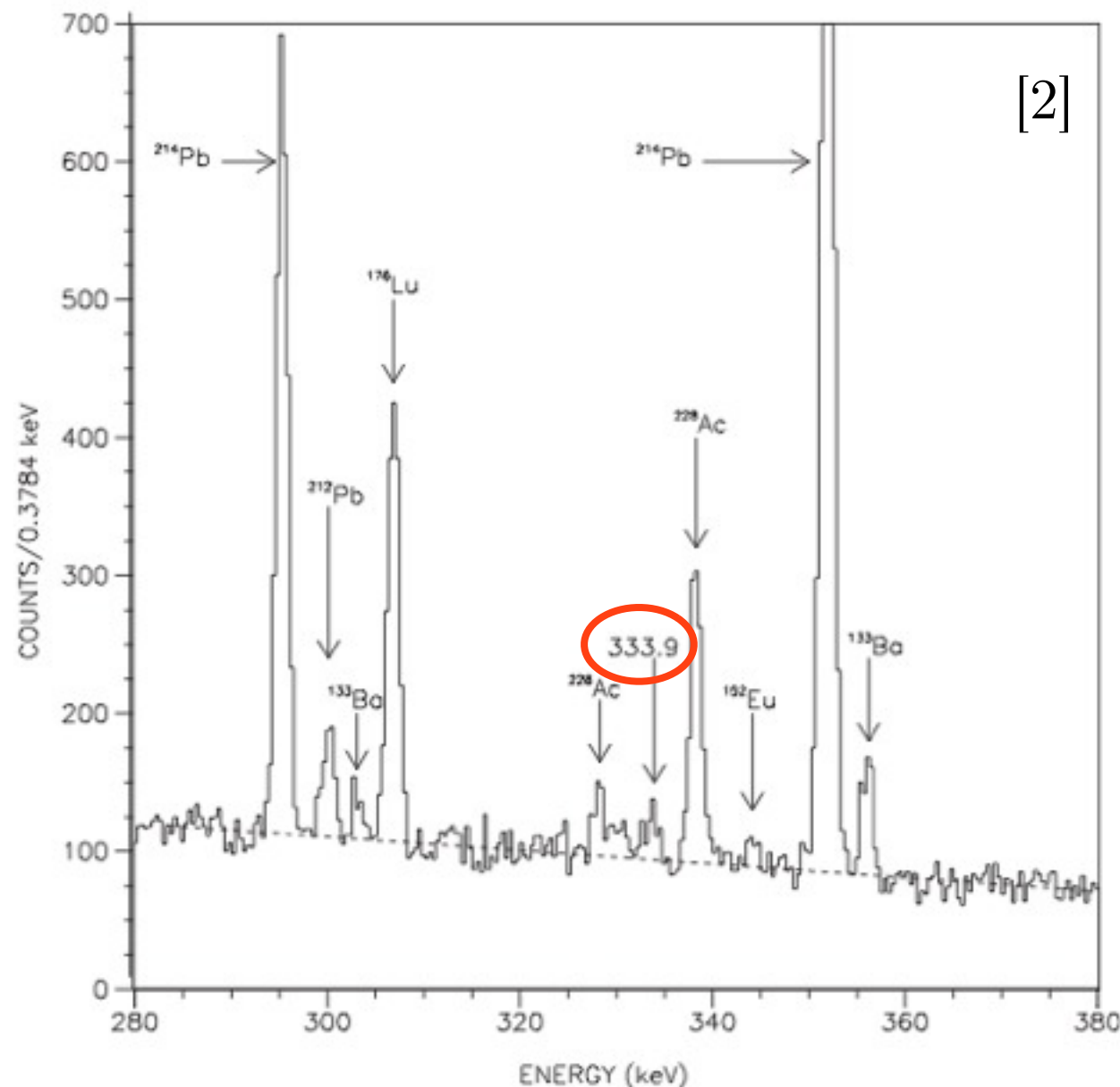
- Gammas: 539.5 keV and 590.8 keV
- [1] First discovered in 1995 by Barabash et al.: Phys. Lett. B, vol. 345, pp. 408–413 (1995)
- [2] Latest measurement by NEMO-3 Collaboration: Nucl. Phys. A, vol. 925, pp. 25–36 (2014)



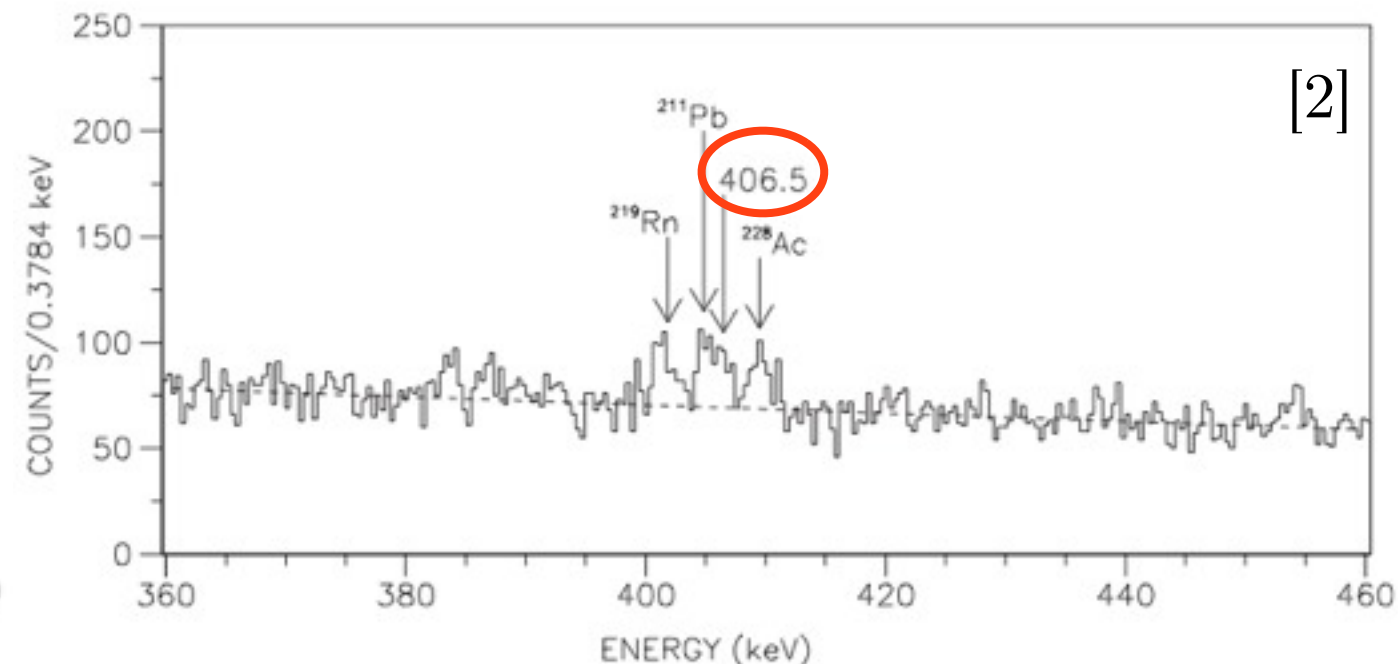
- 2.6 kg of enriched metallic ^{100}Mo
- 600 cm³ HPGe detector
- 3.3% efficiency @ 540 keV
- Exp [2]: $T_{1/2} = 7.5 \pm 1.2 \cdot 10^{20}$ yr
- QRPA: $T_{1/2} = (1.6 - 2.2) \cdot 10^{21}$ yr
- IBM-2: $T_{1/2} = 2.2 \cdot 10^{22}$ yr

$^{150}\text{Nd } 0^+_1$

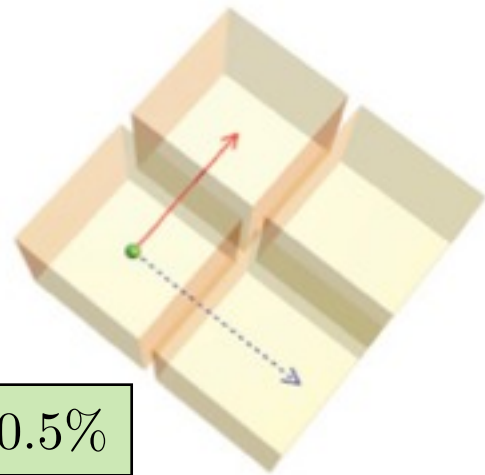
- Gammas: 333.9 keV and 406.5 keV
- [1] First discovered in 2004 by Barabash et al.: JETP Lett., vol. 79, pp. 10–12 (2004)
- [2] Latest measurement by Barabash et al.: Phys. Rev. C, vol. 79, no. 4, p. 045501 (2009)



- 3 kg of natural Nd_2O_3 (153 g ^{150}Nd)
- HPGe: 2.3% efficiency @ 334 keV
- [2]: $T_{1/2} = 1.33^{+0.63}_{-0.36} \cdot 10^{20} \text{ yr}$
- IBM-2: $T_{1/2} = 1.9 \cdot 10^{21} \text{ yr}$

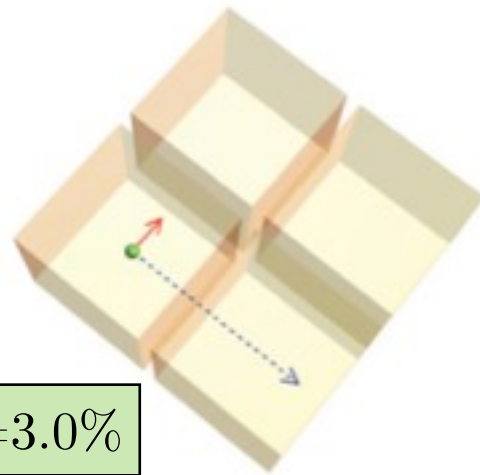


CUORICINO: ^{130}Te



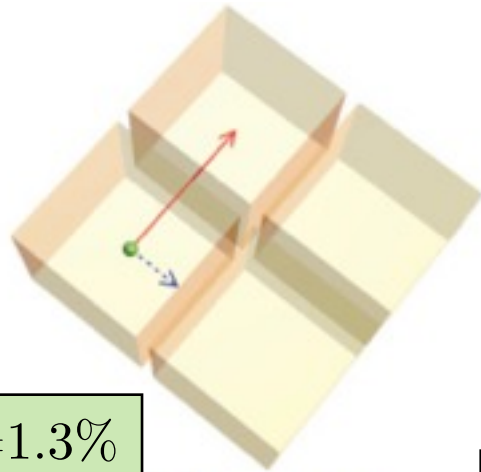
$\epsilon=0.5\%$

(a) Scenario 1



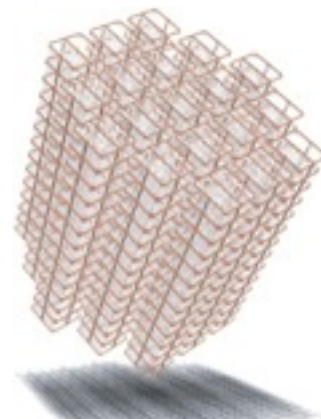
$\epsilon=3.0\%$

(b) Scenario 2



$\epsilon=1.3\%$

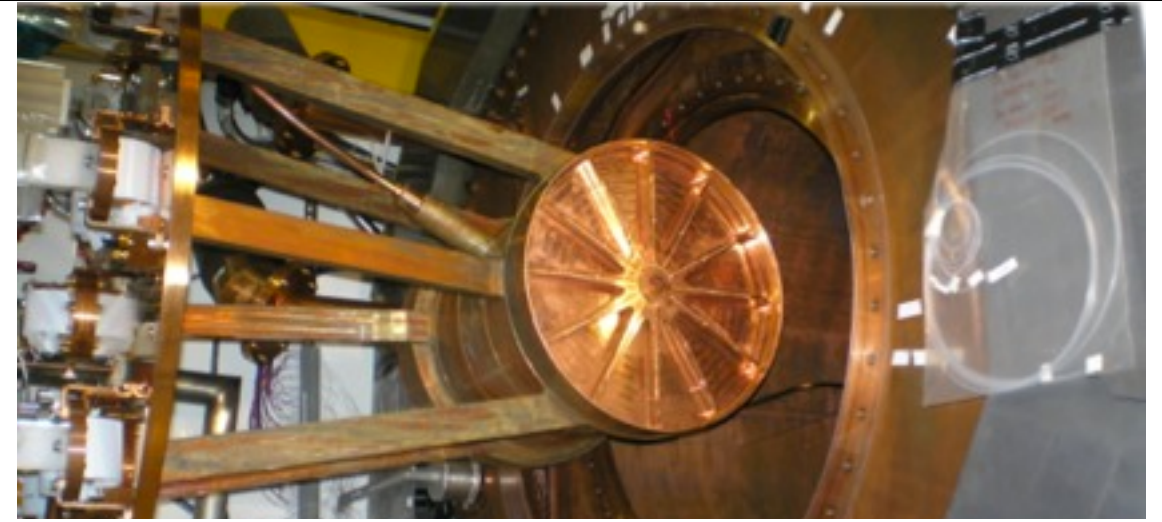
(c) Scenario 3



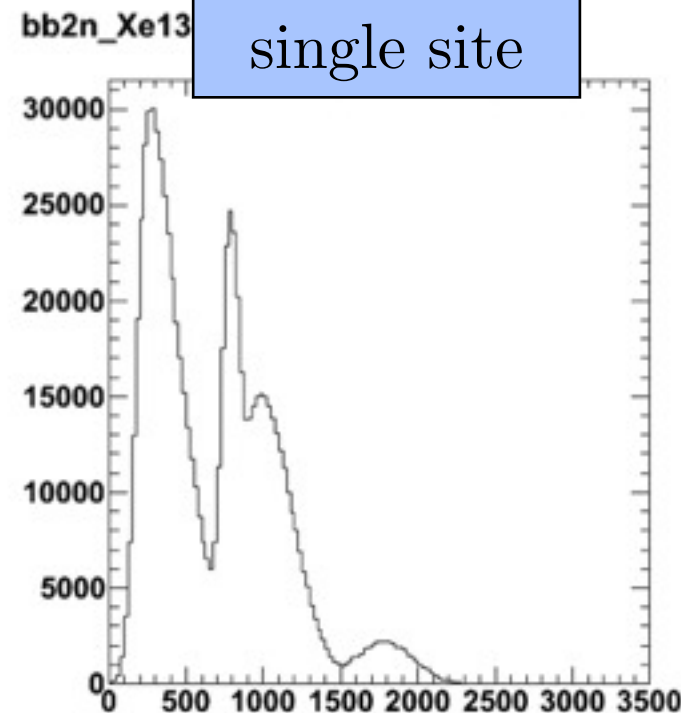
Future CUORE
19 towers x 13 floors

- 11.3 kg of TeO_2 bolometers
- γ : 536 keV and 1257 keV; $\beta < 734$ keV
- $T_{1/2} > 1.3 \cdot 10^{23}$ yr [Phys. Rev. C 85 045503 (2012)]
- QRPA: $T_{1/2} = (0.5 - 1.4) \cdot 10^{23}$ yr
- IBM-2: $T_{1/2} = 2.2 \cdot 10^{25}$ yr

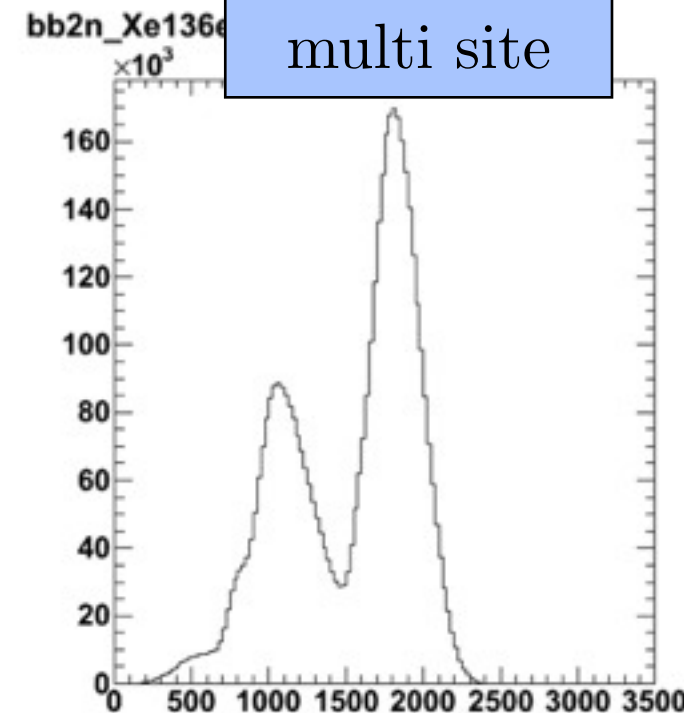
EXO-200: ^{136}Xe



single site

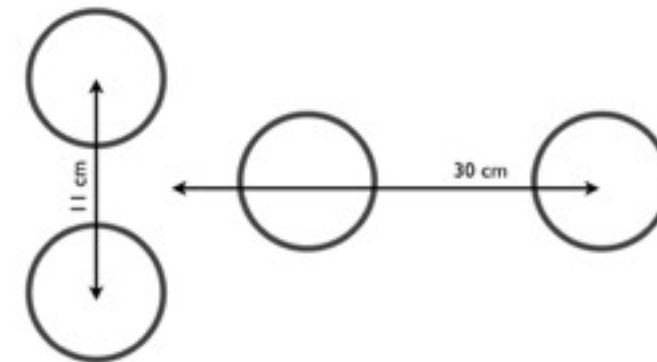
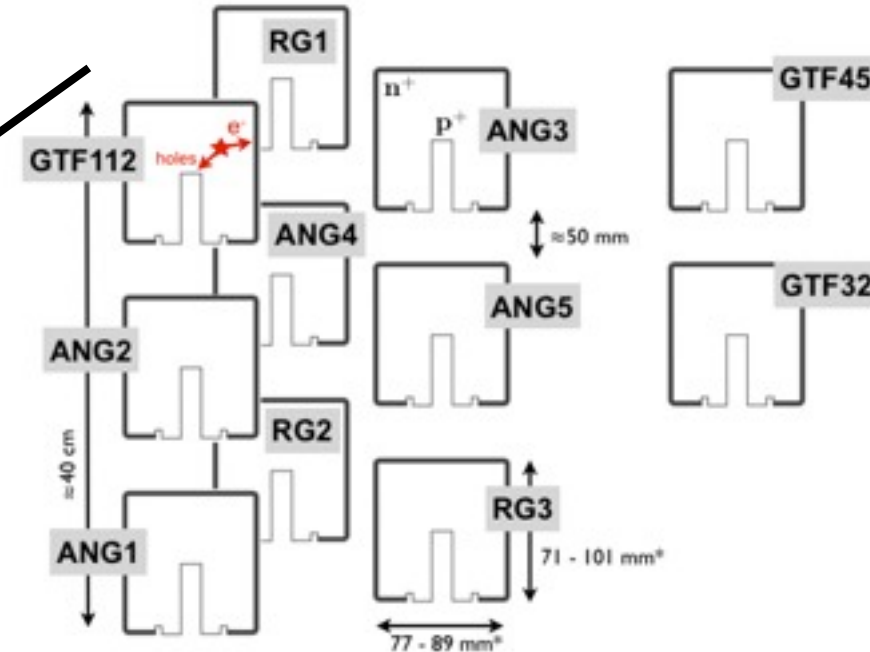
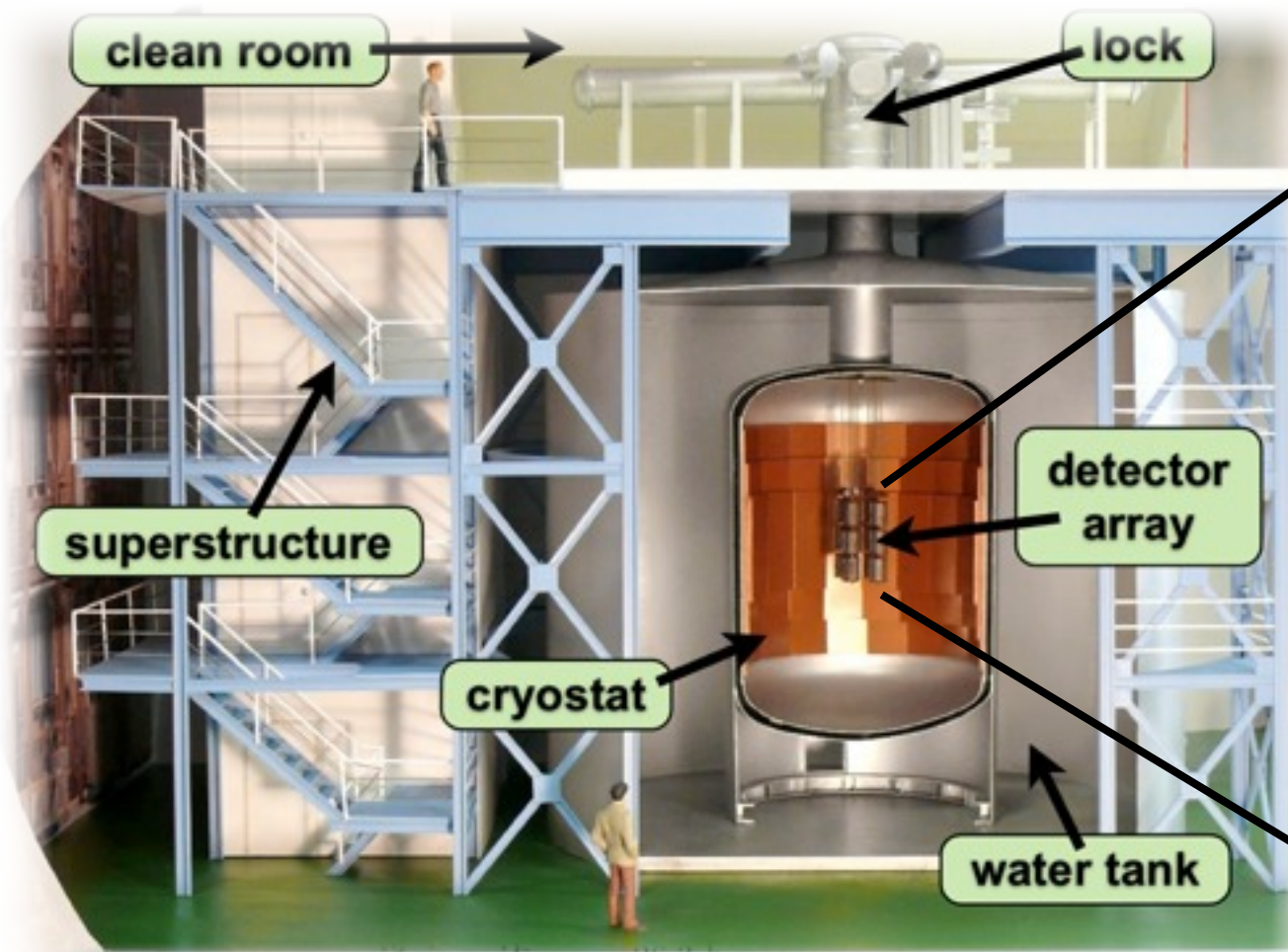


multi site



- 80 kg liquid enriched Xe TPC
- Complicated analysis without segmentation
- γ : 761 keV and 819 keV; β : < 879 keV
- $T_{1/2} > 1.2 \cdot 10^{23}$ yr [PhD Thesis Yung-Ruey Yen (2013)]
- IBM-2: $T_{1/2} = 2.5 \cdot 10^{25}$ yr

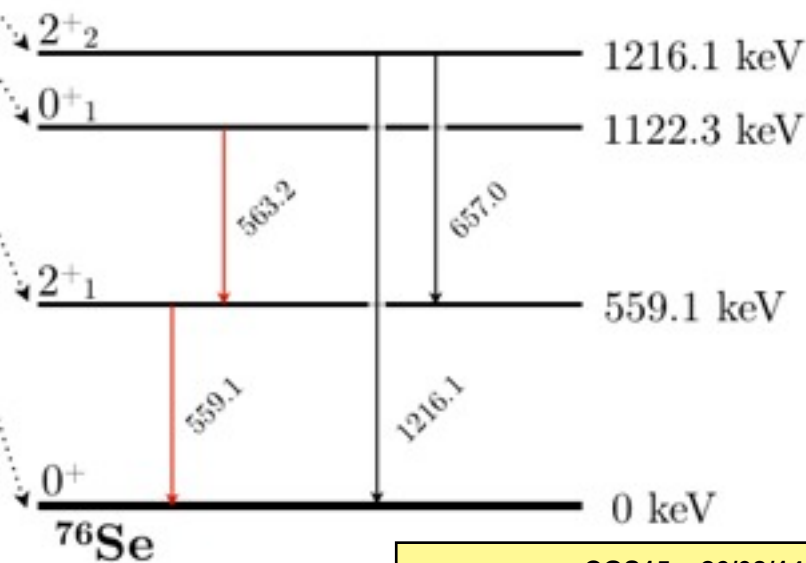
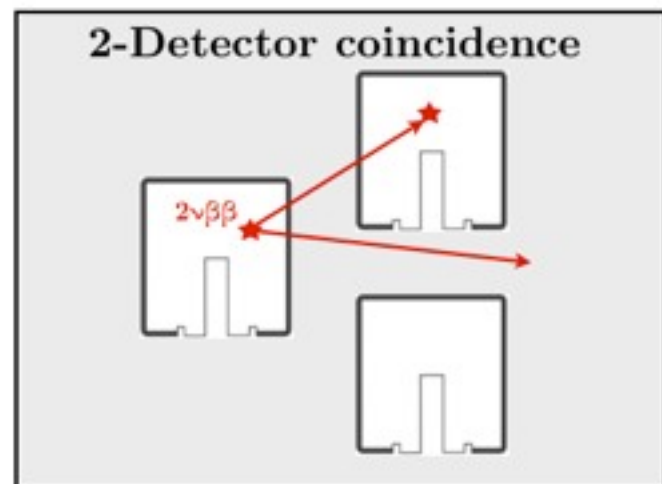
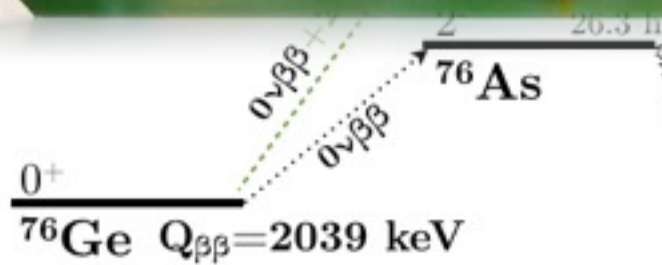
Excited States in GERDA Phase I



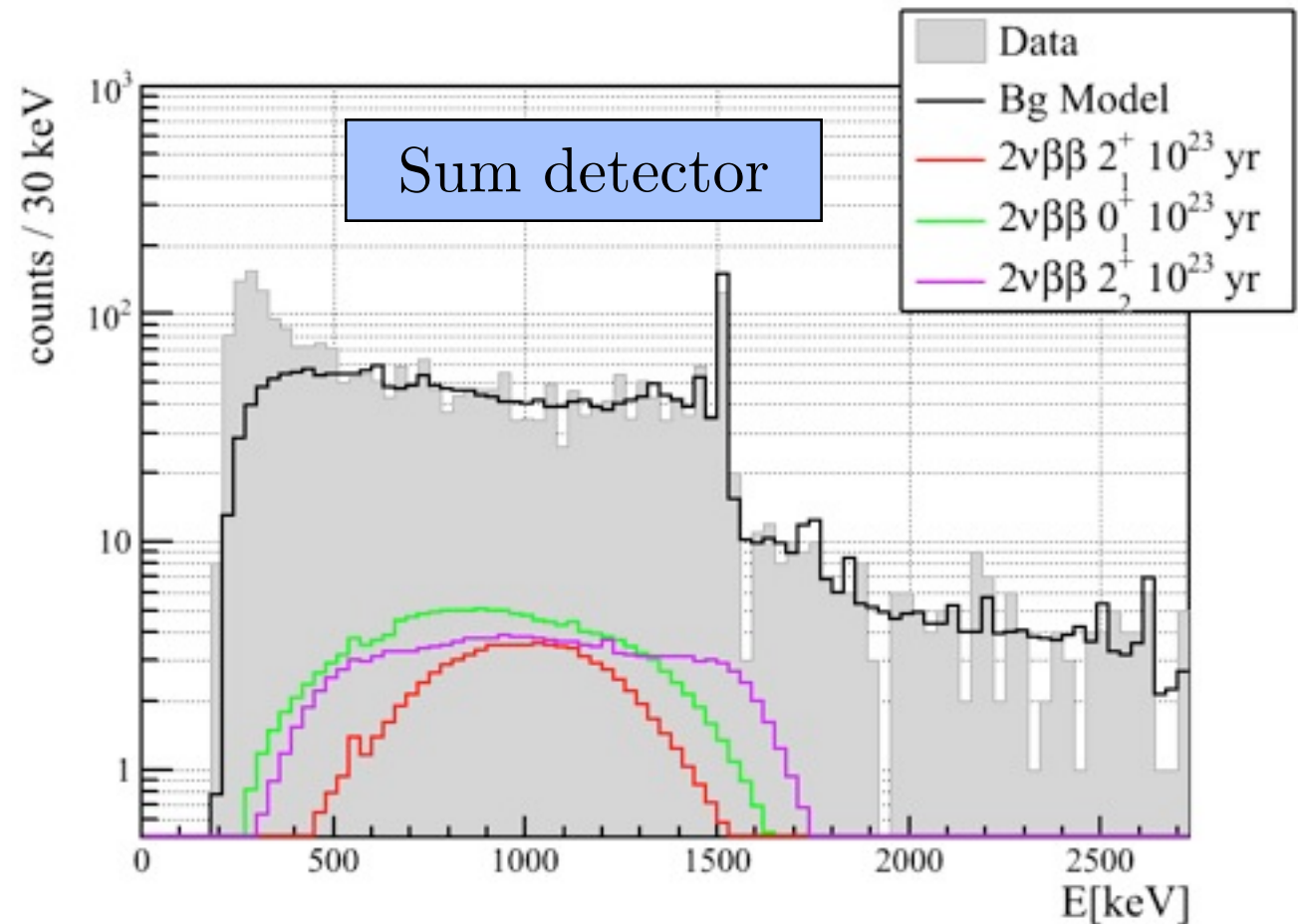
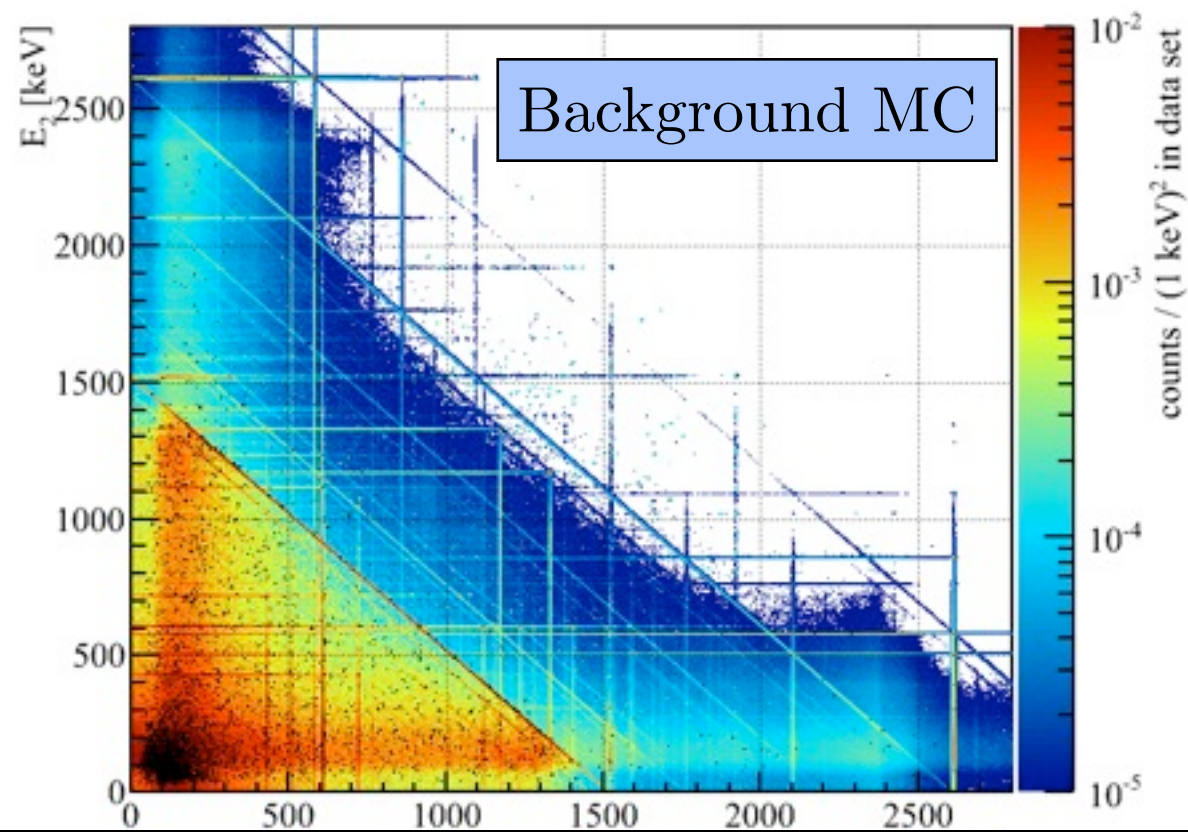
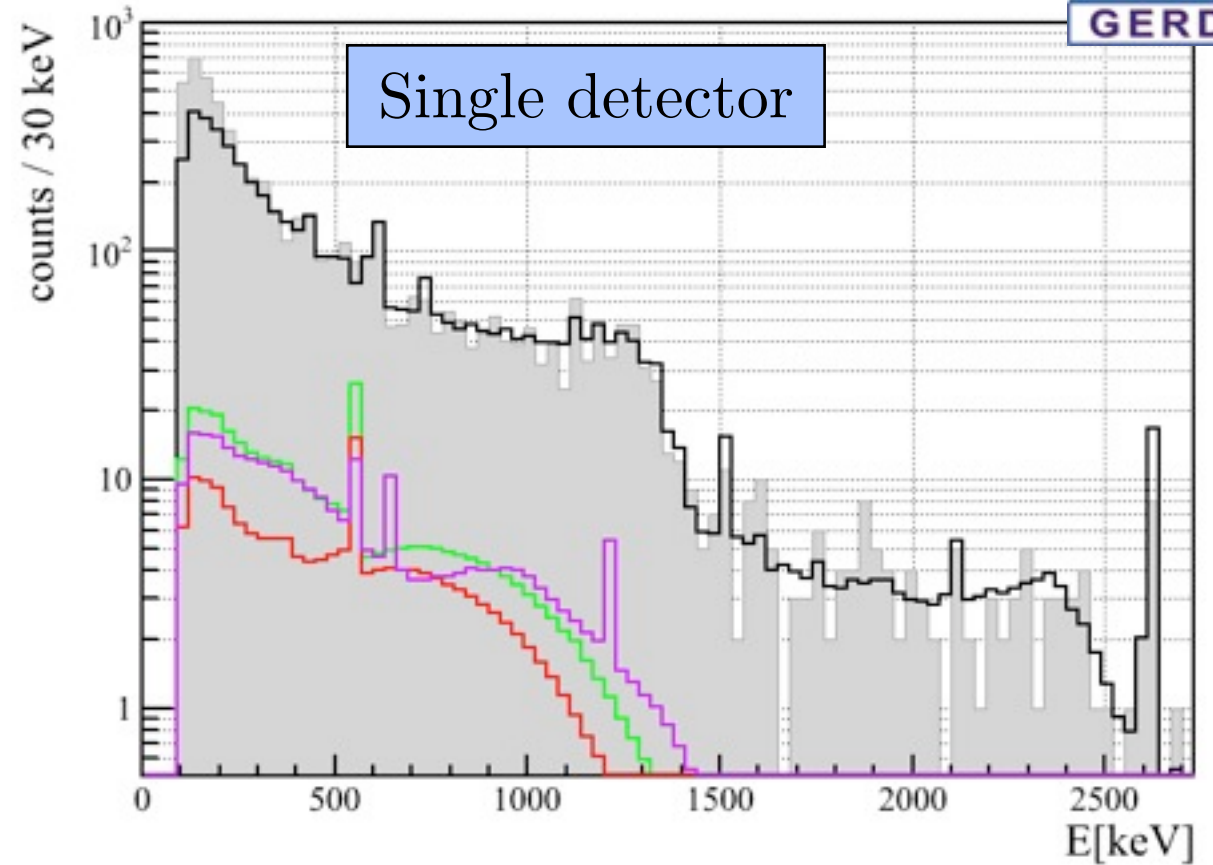
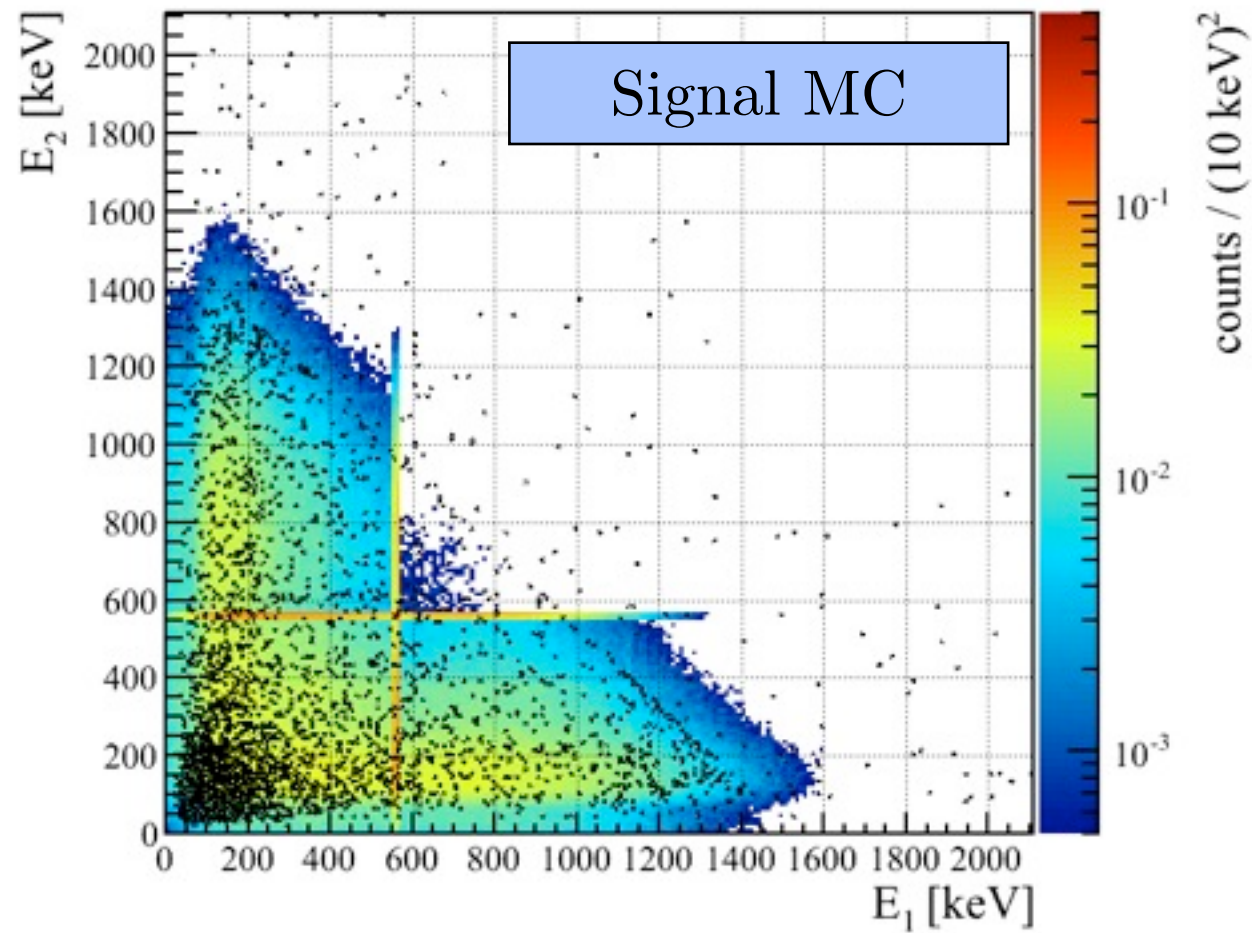
3-string arm: Phase Ia, Ib, Ic
coaxial detectors

1-string arm: Phase Ia
coaxial detectors

1-string arm: Phase Ic
BEGe detectors



Excited States in GERDA Phase I

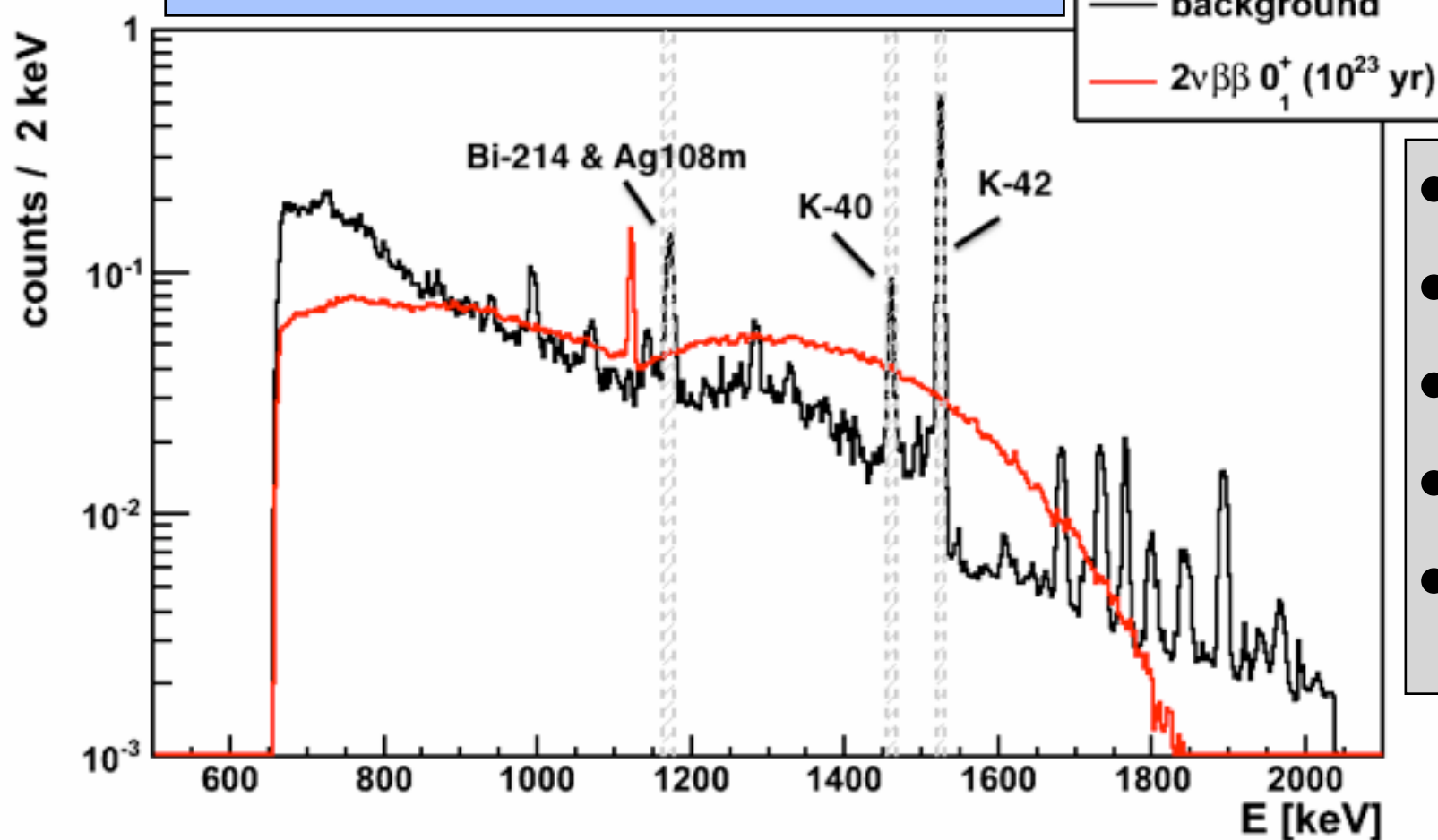


Excited States in GERDA Phase I



- Single detector spectra used for analysis
- Cut flow in 4 levels:
 0. Standard cuts: Quality cuts and muon-veto
 1. Coincidence cuts: Any of the 2 detectors has any de-excitation gamma energy ± 2 sigma
 2. Background cuts: Exclusion of background gamma lines
 3. Pair cuts: Choose detector pairs that maximize sensitivity

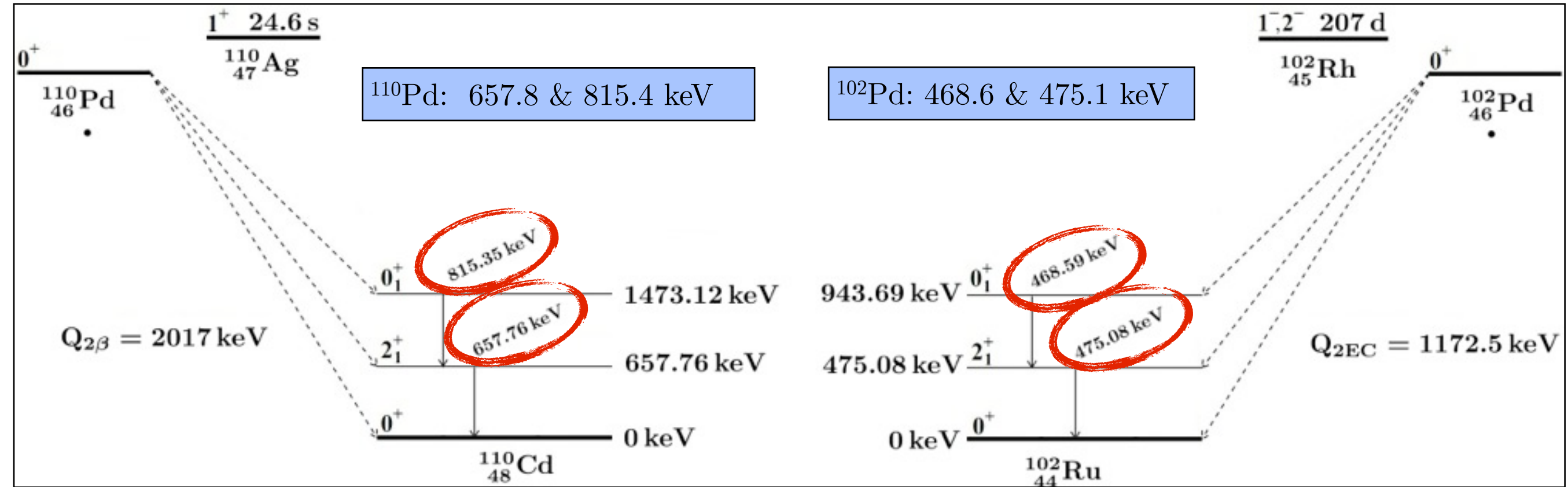
Sum detector spectrum after coincidence cut



- GERDA sensitivity $> 1 \cdot 10^{23}$ yr
- Exp (0_1^+): $T_{1/2} > 6.2 \cdot 10^{21}$ yr
- Many old predictions $< 1 \cdot 10^{23}$ yr
- New (RQRPA): $T_{1/2} = 1.2 - 5.8 \cdot 10^{23}$ yr
- IBM-2: $T_{1/2} = 5.7 \cdot 10^{24}$ yr

Work in progress ...

Palladium: ^{110}Pd and ^{102}Pd



- ^{110}Pd : $2\nu\beta^-\beta^-$
- nat abundance: 11.72 %
- Q-value 2017.9 keV PRL 108, 062502 (2012)
- Only measurement for g.s. (1952)

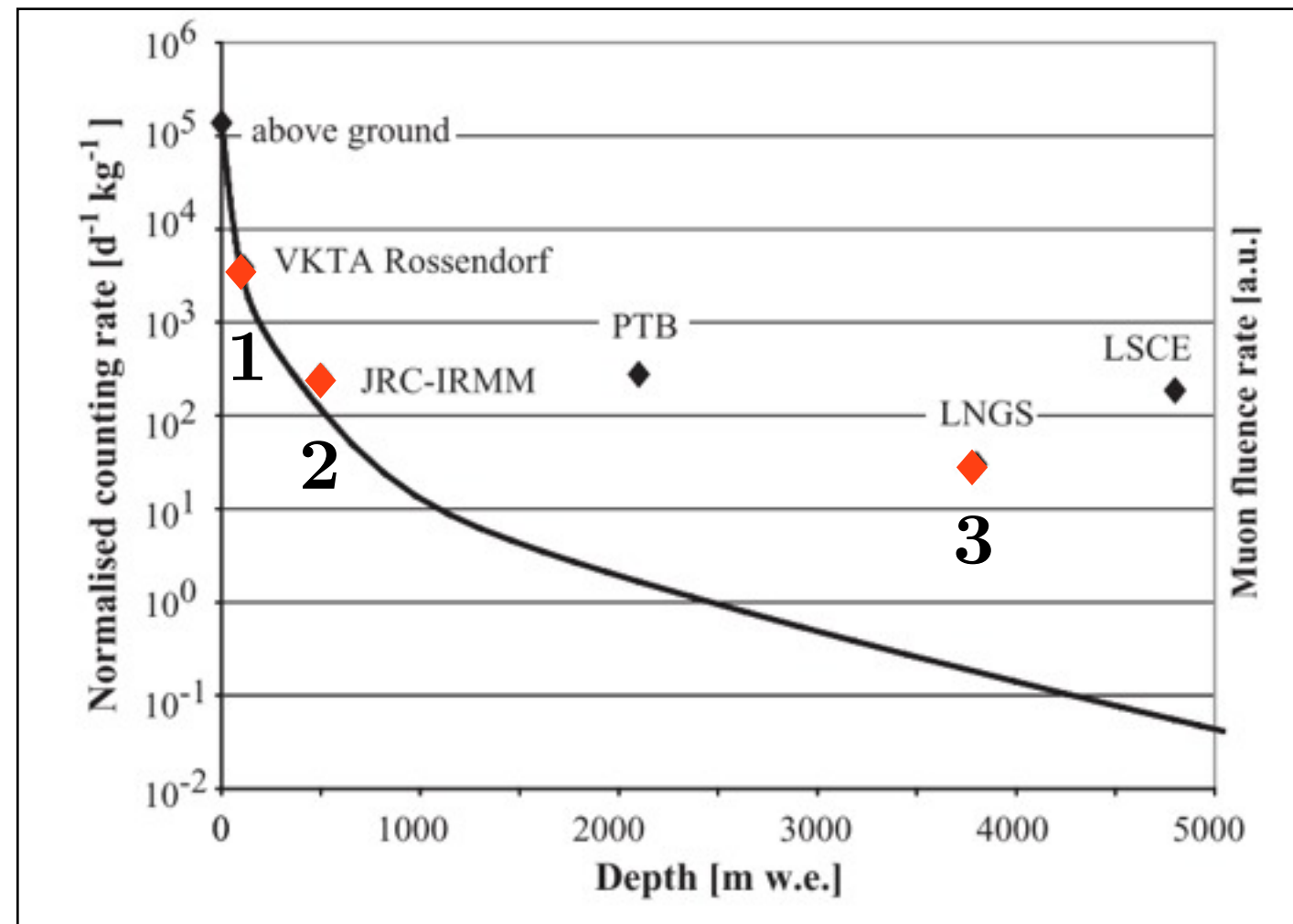
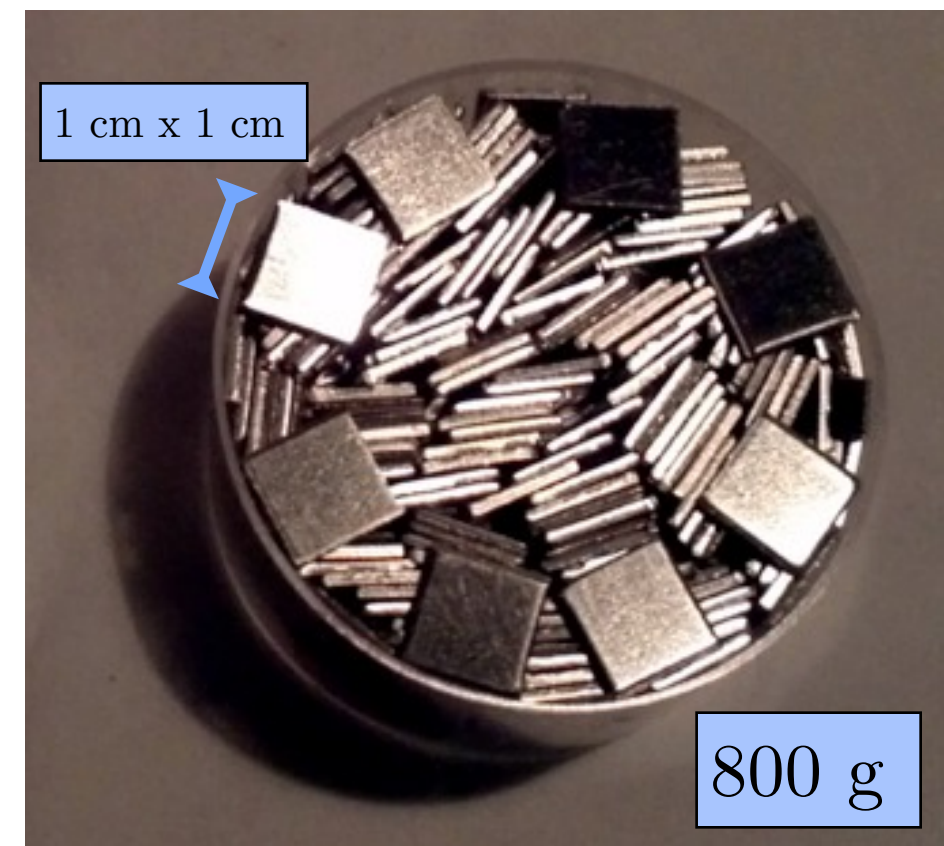
- ^{102}Pd : $2\nu\text{ECEC}$, $2\nu\text{EC}\beta^+$
- nat abundance: 1.02 %
- Q-value: 1172 keV
- No previous measurement

- Idea: Measure sample with HPGe γ -spectroscopy in low background env.
- Use only γ -lines from excited state transitions as experimental signatures
- Not sensitive to g.s. transitions; No separation between $2\nu\beta\beta$ and $0\nu\beta\beta$

Three Palladium Measurements

The Palladium world tour:

1. Felsenkeller (Dresden, Germany)
2. HADES (Mol, Belgium)
3. LNGS (L'Aquila, Italy)

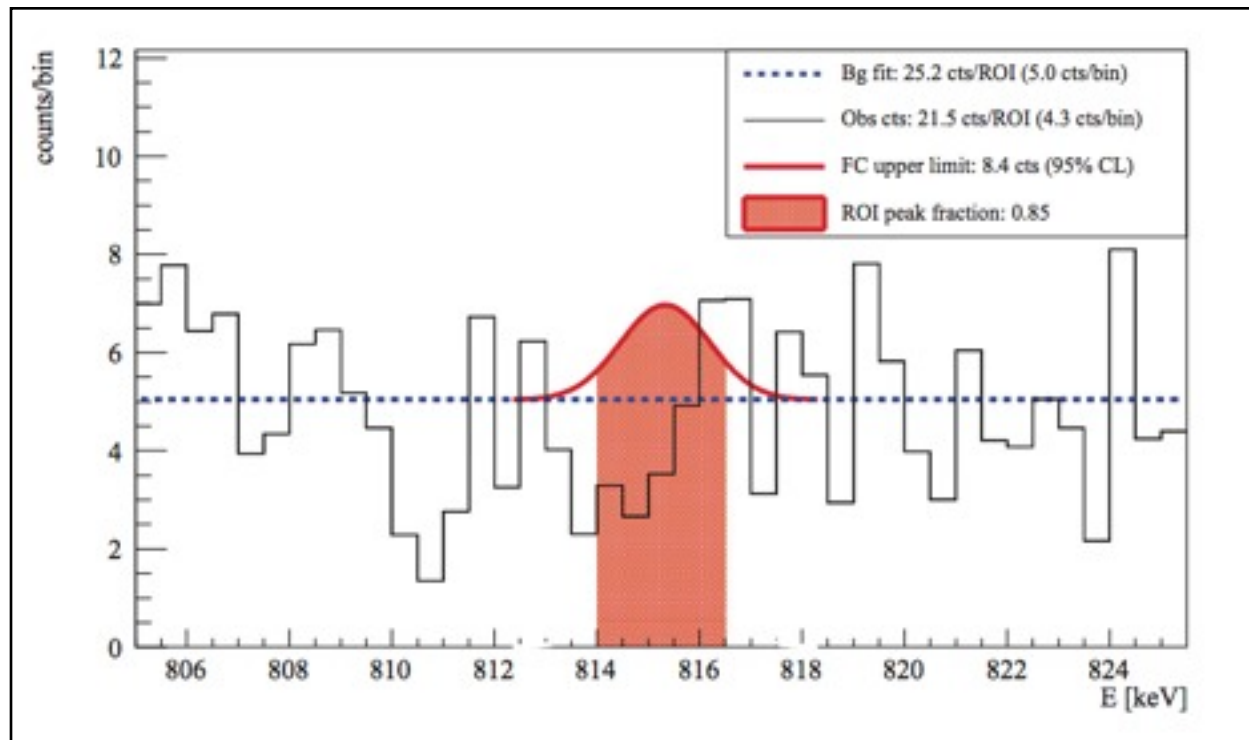


First ^{110}Pd and ^{102}Pd Limits

Felsenkeller: Phys. Lett. B 705 47–51 (2011)

HADES: Phys. Rev. C 87, 034312 (2013)

LNGS: in preparation



- First excited state limits for ^{110}Pd
- (0^+_1) : $T_{1/2} > 2 \cdot 10^{20}$ yr
- QRPA: $T_{1/2} = (4 - 9) \cdot 10^{23}$ yr
- IBM-2: $T_{1/2} > 1.1 \cdot 10^{22}$ yr
(no ground state measured)

Th model	Lower Limit $T_{1/2}$ [yr]	Reference	Year of Publication
^{110}Pd Ground State Transition			
Exp	$1 \cdot 10^{17}$ (68 % CL)	[30]	1952
PHFM	$1.41 \cdot 10^{20}$ and $3.44 \cdot 10^{20}$ ¹	[31]	2005
SSDH	$1.75 \cdot 10^{20}$	[32]	2000
SSDH	$1.2 - 1.8 \cdot 10^{20}$ ²	[33]	1998
SRPA	$1.6 \cdot 10^{20}$	[34]	1994
OEM	$1.24 \cdot 10^{21}$	[35]	1994
QRPA	$1.16 \cdot 10^{19}$	[36]	1990
SSD	$1.2 \cdot 10^{20}$	[37]	2005
pnQRPA	$1.1 \cdot 10^{20}$ and $0.91 \cdot 10^{20}$ ³	[38]	2011
^{110}Pd 2^+_1 Excited State Transition @ 657.76 keV			
Exp	$4.40 \cdot 10^{19}$ (95 % CL)	[39]	2011
Exp	$1.72 \cdot 10^{20}$ (95 % CL)	[40]	2013
SSD	$4.4 \cdot 10^{25}$	[37]	2005
SRPA	$8.37 \cdot 10^{25}$	[34]	1994
pnQRPA	$1.48 \cdot 10^{25}$	[41]	2007
pnQRPA	$0.62 \cdot 10^{25}$ and $1.3 \cdot 10^{25}$ ³	[38]	2011
^{110}Pd 0^+_1 Excited State Transition @ 1473.12 keV			
Exp	$5.89 \cdot 10^{19}$ (95 % CL)	[39]	2011
Exp	$1.98 \cdot 10^{20}$ (95 % CL)	[40]	2013
SSD	$2.4 \cdot 10^{26}$	[37]	2005
pnQRPA	$4.2 \cdot 10^{23}$ and $9.1 \cdot 10^{23}$ ³	[38]	2011
^{110}Pd 2^+_2 Excited State Transition @ 1475.80 keV			
Exp	$9.26 \cdot 10^{19}$ (95 % CL)	[40]	2013
SSD	$3.8 \cdot 10^{31}$	[37]	2005
pnQRPA	$11 \cdot 10^{30}$ and $7.4 \cdot 10^{30}$ ³	[38]	2011
^{110}Pd 0^+_2 Excited State Transition @ 1731.33 keV			
Exp	$1.38 \cdot 10^{20}$ (95 % CL)	[40]	2013
SSD	$5.3 \cdot 10^{29}$	[37]	2005
^{110}Pd 2^+_3 Excited State Transition @ 1783.48 keV			
Exp	$1.09 \cdot 10^{20}$ (95 % CL)	[40]	2013
SSD	$1.3 \cdot 10^{35}$	[37]	2005

Conclusions

- Excited states in $2\nu\beta\beta$ DBD helps $0\nu\beta\beta$ NME calculations
- New generation $0\nu\beta\beta$ DBD will soon discover more $2\nu\beta\beta$ 0^+_{11} transitions
- New result in ^{136}Xe (EXO-200) and ^{130}Te (CUORICINO)
- Analysis with ^{76}Ge (GERDA Phase I) in preparation
- New first limits for ^{110}Pd and ^{102}Pd

Transition $2\nu\beta\beta$	$0^+_{\text{g.s.}}$ exp $T_{1/2}$ [yr]	0^+_1 exp $T_{1/2}$ [yr]	0^+_1 QRPA [61] [62] $T_{1/2}$ [yr]	0^+_1 QRPA [63] $T_{1/2}$ [yr]	0^+_1 IBM-2 $T_{1/2}$ [yr]
$^{48}_{20}\text{Ca} \rightarrow ^{48}_{20}\text{Ti}$	$4.4^{+0.6}_{-0.5} \cdot 10^{19}$ [57] ^a	$> 1.5 \cdot 10^{20}$ [64]	—	—	$1.5 \cdot 10^{23}$
$^{76}_{32}\text{Ge} \rightarrow ^{76}_{34}\text{Se}$	$1.84^{+0.14}_{-0.10} \cdot 10^{21}$ [58]	$> 6.2 \cdot 10^{21}$ [65]	$(7.5 - 310) \cdot 10^{21}$	$4.5 \cdot 10^{21}$	$5.7 \cdot 10^{24}$
$^{82}_{34}\text{Se} \rightarrow ^{82}_{36}\text{Kr}$	$9.2 \pm 0.7 \cdot 10^{19}$ [57] ^a	$> 3.0 \cdot 10^{21}$ [66]	$(1.5 - 3.3) \cdot 10^{21}$	—	—
$^{96}_{40}\text{Zr} \rightarrow ^{96}_{42}\text{Mo}$	$2.3 \pm 0.2 \cdot 10^{19}$ [57] ^a	$> 6.8 \cdot 10^{19}$ [67]	$(2.4 - 2.7) \cdot 10^{21}$	$3.8 \cdot 10^{21}$	$2.7 \cdot 10^{24}$
$^{100}_{42}\text{Mo} \rightarrow ^{100}_{44}\text{Ru}$	$7.1 \pm 0.4 \cdot 10^{18}$ [57] ^a	$= 5.9^{+0.8}_{-0.6} \cdot 10^{20}$ [57] ^a	$1.6 \cdot 10^{21}$ [68]	$2.1 \cdot 10^{21}$	$2.2 \cdot 10^{22}$
$^{110}_{46}\text{Pd} \rightarrow ^{110}_{48}\text{Cd}$	$> 1 \cdot 10^{17}$ [30]	$> 2.0 \cdot 10^{20}$ [40]	$(4.2 - 9.1) \cdot 10^{23}$ [38]	—	$> 1.1 \cdot 10^{22}$
$^{116}_{48}\text{Cd} \rightarrow ^{116}_{50}\text{Sn}$	$2.8 \pm 0.2 \cdot 10^{19}$ [57] ^a	$> 2.0 \cdot 10^{21}$ [69]	$1.1 \cdot 10^{22}$	$1.1 \cdot 10^{21}$	$6.4 \cdot 10^{23}$
$^{124}_{50}\text{Sn} \rightarrow ^{124}_{52}\text{Te}$	—	—	$2.7 \cdot 10^{21}$	—	—
$^{130}_{52}\text{Te} \rightarrow ^{130}_{54}\text{Xe}$	$6.8^{+1.2}_{-1.1} \cdot 10^{20}$ [57] ^a	$> 1.3 \cdot 10^{23}$ [70]	$(5.1 - 14) \cdot 10^{22}$ ^b	—	$2.2 \cdot 10^{25}$
$^{136}_{54}\text{Xe} \rightarrow ^{136}_{56}\text{Ba}$	$2.29 \pm 0.08 \cdot 10^{21}$ ^b	$> 1.2 \cdot 10^{23}$ [71]	$2.5 \cdot 10^{21}$	$6.3 \cdot 10^{21}$	$2.6 \cdot 10^{25}$
$^{150}_{60}\text{Nd} \rightarrow ^{150}_{62}\text{Sm}$	$8.2 \pm 0.9 \cdot 10^{18}$ [57] ^a	$= 1.33^{+0.45}_{-0.26} \cdot 10^{20}$ [57] ^a	—	—	$1.9 \cdot 10^{21}$

^a world average values

^b corrected in [72]

BACKUP

Angular Correlations

