Reversible superdense ordering of lithium between two graphene sheets


Originally published:
November 2018

Nature 564(2018), 234-239

DOI: https://doi.org/10.1038/s41586-018-0754-2

Perma-Link to Publication Repository of HZDR:
https://www.hzdr.de/publications/Publ-28259
Reversible superdense ordering of lithium between two graphene sheets

Matthias Kühne\textsuperscript{1*}, Felix Börrnert\textsuperscript{2*}, Sven Fecher\textsuperscript{1}, Mahdi Ghorbani-Asl\textsuperscript{3}, Johannes Biskupek\textsuperscript{2}, Dominik Samuelis\textsuperscript{1†}, Arkady V. Krasheninnikov\textsuperscript{3,4,5}, Ute Kaiser\textsuperscript{2} & Jurgen H. Smet\textsuperscript{1}

\textsuperscript{1} Max Planck Institute for Solid State Research, 70569 Stuttgart, Germany.
\textsuperscript{2} Materialwissenschaftliche Elektronenmikroskopie, Universität Ulm, 89081 Ulm, Germany.
\textsuperscript{3} Institute of Ion Beam Physics and Materials Research, Helmholtz-Zentrum Dresden-Rossendorf, 01328 Dresden, Germany.
\textsuperscript{4} Department of Applied Physics, Aalto University, 00076 Aalto, Finland.
\textsuperscript{5} National University of Science and Technology MISiS, 119049 Moscow, Russia.
\textsuperscript{†} Present address: Heraeus Battery Technology, 63450 Hanau, Germany.
\textsuperscript{*} These authors contributed equally to this work.

Many carbon allotropes can act as host materials for reversible lithium uptake,\textsuperscript{1,2} laying the grounds for existing and future electrochemical energy storage. Insights into exactly how lithium is arranged within those are, however, challenging to obtain \textit{in operando}. Using \textit{in situ} transmission electron microscopy (TEM)\textsuperscript{3-5} to probe light elements (especially Li)\textsuperscript{6,7} is severely hampered by their low scattering cross section for impinging electrons and their susceptibility to knock-on damage\textsuperscript{8}. Here, we study the reversible Li intercalation of bilayer graphene \textit{by in situ} spherical and chromatic aberration-corrected low-voltage TEM\textsuperscript{9}, supported by electron energy loss spectroscopy (EELS) and density functional theory (DFT) calculations. Upon their remote insertion from an electrochemical gate, we observe Li atoms to assume multi-layered close-packed order between the two carbon
sheets. The associated Li storage capacity by far exceeds the one of LiC$_6$—the densest configuration known under normal conditions for bulk graphitic carbon$^{10}$. Our findings thus point to the possible existence of distinct storage arrangements of ions in 2D layered materials as compared to their bulk parent compounds.

Figure 1a shows a schematic of our devices, all of which are supported by Si$_3$N$_4$-covered Si substrates. The Si$_3$N$_4$ forms a 40×40 μm$^2$ membrane at the centre of the Si-chip. The bilayer graphene flake is exfoliated from natural graphite and etched into a Hall bar shape. One end of the flake is connected to a counter electrode via a Li-ion conducting solid polymer electrolyte. This setup allows for the controlled electrochemical reduction/oxidation of bilayer graphene according to

$$x\text{Li}^+ + xe^- + C_n \rightleftharpoons \text{Li}_xC_n.$$  (1)

Similar to Refs. [11, 12], we trigger lithiation (delithiation) by applying a positive voltage $U_G = 5 \text{ V}$ ($U_G = 0 \text{ V}$) to the counter electrode with respect to bilayer graphene. A grounded current lead to the latter serves as a source/sink for electrons required to facilitate the reversible intercalation of Li-ions at the electrolyte-covered end of bilayer graphene. Intercalated Li exhibits rapid lateral diffusion that tends to establish and maintain an even distribution of Li throughout the bilayer.$^{13}$ Hence, one may study its ordering in a region well separated from the electrolyte by in situ TEM (Figs. 1a–b), thereby also preventing exposure of the electrolyte to the electron beam. In the region probed by TEM, bilayer graphene is suspended over a hole in the Si$_3$N$_4$ membrane. Metallic contacts to the bilayer allow monitoring its resistivity $\rho_{xx} = U_{xx}/I$ in the electrolyte-uncovered region (Fig. 1a). During subsequent lithiation/delithiation cycles, we typically observe reversible changes in $\rho_{xx}$ (Fig. 1c). These relate to changes in the local Li concentration via finite electronic charge transfer.$^{12,13}$ The drop in $\rho_{xx}$ during lithiation reflects an increase in electron density, characteristic for ambipolar diffusion of electron-ion
pairs into the probed area. During delithiation, Li-ions and electrons leave the bilayer, thereby restoring its initial resistivity value. The exact time evolution of $\rho_{xx}$ depends on the kinetics of several (uncontrolled) processes, related to e.g. ionic transport within the electrolyte and across the solid electrolyte interphase. Yet, Fig. 1c is a qualitative characteristic of reversible Li intercalation in bilayer graphene. In the following, we present in situ TEM data obtained in the SALVE (Sub-Ångström Low-Voltage Electron microscopy) microscope. We work at an electron acceleration voltage of 80 kV, just below the threshold for knock-on damage of C atoms in graphene. Despite these conditions, the instrument still delivers sub-Ångström resolution in the images. Further details can be found in Methods (also Extended Data Figs. 1–2).

Figure 2a shows a TEM image of pristine bilayer graphene. The inset depicts its Fourier transform (FT) and confirms the known value of the in-plane lattice constant $a_C = 2.46$ Å. The image marks the beginning of a series acquired during lithiation of a bilayer graphene device (Figs. 2a–c and Supplementary Video 1), but it is representative of the sample state prior to application of the bias voltage $U_G = 5$ V. In Fig. 2b, acquired after 170 s, a second crystal lattice has appeared in the lower half of the probed area. White dashed lines demarcate its boundary on the left and right of the image. The image in Fig. 2c is recorded at $t = 288$ s. The additional crystal structure now extends throughout almost the whole field of view. Fig. 2d is the Fourier transform of Fig. 2b. Compared with the Fourier transform of pristine bilayer graphene (inset to Fig. 2a), we identify three sets of additional signals, highlighted in red, green, and blue. These attest to hexagonal crystalline order (as for graphene), but with an in-plane lattice constant of 3.1 Å. In Fig. 2e, their spatial distribution is mapped. This allows discerning three grains none of which are aligned to the encapsulating graphene lattice. In Fig. 2f the same Fourier transform is shown but with a von Hann filter applied to minimize the streaks. The highlighted signals stem from both bilayer graphene (cyan) and the additional crystalline phase (green) as well as
Moiré artefacts (magenta) and their origin (bold arrows). Fig. 2g is a Fourier-filtered (Methods and Extended Data Fig. 3) version of panel b, where the graphene lattice, as well as the Moiré effects, have been removed (see panels h and i for a magnification before and after filtering). These images offer a direct view on the encapsulated crystal. The observed contrast in the images also suggests regions of different thickness even within a single grain. This is worked out in detail in Methods and Extended Data Fig. 4.

To narrow down the chemical composition of the additional crystal structure, EELS data has been acquired on bilayer graphene before and during lithiation (Fig. 2j). Before lithiation, we exclusively observe the C K-edge at 284 eV. During lithiation the Li K-edge at 55 eV on regions characterized by a Fourier transform as in Fig. 2d is additionally detected. Based on the absence of other signals in the explored energy range of 0–800 eV, Si (L_{2,3}-edge starting at 99 eV), S (L_{2,3}-edge starting at 165 eV), N (K-edge starting at 400 eV), O (K-edge starting at 532 eV and F (K-edge starting at 685 eV) are discarded as significant constituents of the new crystalline phase. Likewise, Ti and Pt (electrode material) can be ruled out based on the observed light atomic contrast in Fig. 2 for the case of Pt and on the absence of the distinct Ti L_{2,3}-edge at 456 eV in the EELS data. Although it is inherently impossible to exclude H or C, the crystalline phase formed during lithiation likely consists of pure Li. The low onset in energy of the Li K-edge supports this assertion.^{15,16} Also, a Li plasmon mode appears near 9 eV^{17,18} (Extended Data Fig. 5a). Though the shape of the Li-K edge resembles the one of Li_2O/LiOH,^{15,16} stoichiometric Li_2O/LiOH can be disqualified, since we would then expect both a more pronounced O-K edge as well as an imaging contrast comparable in strength to the encapsulating graphene.^{19} Good agreement with experiment is attained when calculating the Li-K edge shape of graphene-encapsulated Li multilayers (Methods and Extended Data Fig. 5b). We do not rule out the presence of trace oxygen, also suggested by the occasional observation of a weak shoulder near
30 eV (Extended Data Fig. 5a), previously attributed to oxidized lithium.\textsuperscript{17,18} Yet, the extracted in-plane lattice constant of 3.1 Å matches close-packed Li.\textsuperscript{20} This coincidence is surprising, since normally very low temperatures and/or extreme pressures are required for Li to assume this ordering.\textsuperscript{21}

To test whether indeed the formation of a dense, multi-layered Li crystal in bilayer graphene is conceivable, first-principles calculations were carried out (Methods). The chemical potential of Li atoms in bulk close-packed phases was evaluated. All energies below are given with respect to the hexagonal close-packed (hcp) phase, which had the lowest energy in the calculations. Several layers of Li atoms inside bilayer graphene are considered (Fig. 3 and Extended Data Figs. 6–8). Since the in situ TEM studies do not reveal evidence for a change in registry of the graphene sheets (Extended Data Fig. 9), AB stacking is assumed as in the pristine device. Our main conclusions, however, hold irrespective of the stacking order. The relaxation of atomic coordinates of a single layer of Li atoms yields an energetically favoured C\textsubscript{6}LiC\textsubscript{6} configuration, with Li arranged in a commensurate (\(\sqrt{3} \times \sqrt{3}\))R30° superstructure with a lattice constant of \(a_{\text{LiC6}}\) = 4.26 Å (Figs. 3a–b). Except for the graphene registry, this finding is similar to the bulk LiC\textsubscript{6} phase\textsuperscript{10} and the AA-stacked C\textsubscript{6}LiC\textsubscript{6} phase\textsuperscript{22}. The situation changes for a larger number of Li layers. Finite clusters and infinite (periodic) hcp structures with different orientation with respect to the graphene lattice were considered (Figs. 3c–f, Extended Data Figs. 7–8). The energies of these systems are very close to that of the C\textsubscript{6}LiC\textsubscript{6} configuration (higher by only 0.01–0.05 eV/Li atom). The in-plane lattice constant \(a_{\text{Li}}\) of the Li hcp bilayer and trilayer (average distances, as the positions of Li atoms are affected by nearby C atoms) is in the range of 3.05–3.15 Å, matching the experimental value of 3.1 Å as well as the identical literature value.\textsuperscript{20} These results suggest that the formation of a multilayer close-packed Li phase between graphene sheets is conceivable. Its precise stacking order may however differ from hcp as other configurations are
energetically similar (Extended Data Fig. 8d–e). These are hard to distinguish in these TEM experiments, because we may only observe the projection along one crystal direction. Moreover, some bulk diffraction selection rules do not hold in the given case of an atomically thin specimen. TEM image simulations reveal comparable contrast and diffraction patterns for the two extreme cases: cubic close-packed (ccp) and hexagonal close packed (Extended Data Fig. 2f–g).

By analysing the electronic structure and charge distribution, the charge transfer between Li and graphene can be estimated. Fig. 3b,d and f display colour renditions of the charge probability distribution as compared to isolated graphene and Li crystals consisting of a single, double or triple layer. As can be seen from Fig. 3f in the triple layer case, charge transfer is significant only for the outer Li layers directly neighbouring a graphene sheet. Inner Li layers retain their metallic character as electronic charge is distributed between the Li$^+$ lattice sites. Extended Data Fig. 8c depicts the average charge transfer per Li atom, which drops as the close-packed Li phase gets thicker. Renormalizing by the number of atoms in the outermost Li layers only, we find a constant charge transfer of approximately 0.33 e$^-$/outer Li atom, irrespective of how many (metallic) Li layers (with nearly zero charge transfer) are packed in between. This is consistent with the observation that the resistivity $\rho_{xx}$ measured during lithiation tends to saturate rather than to decrease progressively as more Li enters bilayer graphene (Fig. 1c). Note that although for C$_6$LiC$_6$ (Figs. 3a–b) a higher value of 0.85 e$^-$/Li atom for the charge transfer applies resulting in an estimated density of transferred electrons of $2.7\cdot10^{14}$ cm$^-2$ per graphene sheet, the much denser arrangement of Li atoms in the close-packed structure yields a higher electron density per graphene sheet of $4\cdot10^{14}$ cm$^-2$ despite the smaller charge transfer value.
During lithiation, the close-packed Li phase grows laterally between the graphene sheets (Fig. 4a and Supplementary Video 1). In Fig. 4a, the graphene signals have been Fourier filtered in order to reveal the Li crystal structure. Three grains of varying in-plane orientation have been coloured for the sake of illustration. While the grain boundary between the central grain (green) and the lower right grain (blue) is rather sharp and stable, the one with the left grain (red) appears more fuzzy and mobile as their orientation nearly matches. Regions of different thickness can be identified even within a single grain (Extended Data Fig. 4). The specimen is too thin to reliably extract its exact thickness \( t \) from EELS (we typically obtain \( t/\lambda < 0.1 \), where \( \lambda \) is the inelastic mean free path of our 80 keV electrons). One may nonetheless determine relative variations (see Methods). When imaging an extremely thin slab of weakly scattering elements in a microscope with sufficient resolving power, the imaging contrast does increase with increasing specimen thickness. This is quantified in the Extended Data Figs. 4e–f, revealing that thinner parts of the close-packed Li phase tend to be located closer to its perimeter. At the leading edge, single atoms can be identified (Methods, Extended Data Fig. 1). From the image time series, a lateral growth rate of the order of 1 Å/s can be extracted. During delithiation the close-packed Li phase disassembles and gradually disappears (Fig. 4b). Eventually, the pure bilayer graphene lattice remains behind. The degree of reversibility of this process is limited by the number of defects in the graphene lattice and their irreversible formation during prolonged imaging (electron irradiation). Given the combined slow image acquisition (on the order of 1 s) and low sensitivity to light atoms, Li diffusing at speed in less ordered configuration remains concealed to the TEM observer. Such processes are nonetheless present in parallel with the close packing as well as beyond the boundaries of this phase and are likely responsible for the initial abrupt change in resistivity \( \rho_{xx} \) during lithiation (Fig. 1c).
We note that the observed crystalline phase of Li proves stable between intact graphene planes only. With the incident electron energy exceeding the threshold for displacement damage of Li (~20 keV), conditions are such that Li readily “boils” under the electron beam\textsuperscript{16,18}. The close-packed phase is volatile when imaged near bilayer edges or in the presence of a high density of defects in the graphene lattice. Constituents may escape from between graphene sheets via such edges or defects upon electron-beam induced melting of the crystalline phase. Likewise, we find material having escaped from within and agglomerated on the outer bilayer surfaces near such defects to quickly evaporate under electron beam irradiation. The protective encapsulation by two impermeable atomic sheets may thus be regarded a prerequisite to safe probing by TEM of the crystal formation therein, akin to the situation in graphene liquid cells\textsuperscript{23}.

Close-packing of Li intercalated between graphene sheets, as demonstrated here, results in a structure with Li-content in great excess of LiC\textsubscript{6}. Although enhanced Li storage has been proposed to occur on the outside of graphene planes\textsuperscript{24,25} previously, suggested atomistic configurations were contradictory among the different works and could neither be addressed nor verified by microscopic means. The same holds for the scarce reports claiming the formation of nanocrystallites of close-packed Li during the lithiation of different carbon allotropes.\textsuperscript{26,27} At elevated temperatures, a similar configuration of Li, as we report here, may, as a matter of fact, have been left unidentified in bulk graphite.\textsuperscript{28} Since the energy cost for close-packing of Li in the van der Waals gap of bilayer graphene as compared to forming C\textsubscript{6}LiC\textsubscript{6} is very similar (Fig. 3), close-packing may be the answer of the system for having to accommodate a larger amount of Li supplied within a short time. The activation energy for Li diffusion in bilayer graphene has been calculated (Methods). It points toward facile diffusion of Li between graphene sheets, even in the presence of a close-packed Li phase. Even though, a bilayer can be regarded as the fundamental unit of bulk graphite, it may still feature considerably distinct properties and in
particular its layers may spread more easily to accommodate for dense intercalate ordering. It should also be noted that Li-graphite intercalation compounds only occupy a small region in the C-Li binary alloy phase diagram. In the miscibility gap beyond LiC₆, alternative configurations may be available for storing larger amounts of lithium in layered carbons.


Acknowledgments We acknowledge financial support from the Baden-Württemberg Stiftung gGmbH (project CT 5) as well as the European Union graphene flagship. We are grateful to FEI/ThermoFisher Scientific for providing drawings and specifications of the NanoEx-i/v holder. F. B., J. B. and U. K. acknowledge funding from the German Research Foundation (DFG) and the Ministry of Science, Research and the Arts (MWK) of the federal state of Baden-Württemberg, Germany, in the frame of the SALVE project. A. V. K. thanks the Academy of Finland for the support under Project No. 286279 and the DFG under project KR 4866. The theoretical study of Li diffusion (A. V. K) was supported by the Russian Science Foundation (Project identifier: 17-72-20223). We thank K. v. Klitzing for discussions and support as well as J. Popovic for useful comments on the manuscript. We acknowledge CSC Finland and PRACE (HLRS, Stuttgart, Germany) for generous grants of CPU time.

Author Contributions J. H. S and U. K. composed the project. M. K. and S. F. fabricated the samples and performed the electrochemical measurements. F. B. performed the TEM and EELS experiments. M. G. and A. V. K. did the DFT calculations. J. B. helped with TEM and EELS experiments and did TEM imaging simulations. U. K. supervised the TEM work. D. S. contributed to the electrochemical design of the experiment. M. K. and J. H. S. wrote the manuscript and all authors contributed to it.

Author Information Reprints and permissions information is available at www.nature.com/reprints. The authors declare no competing financial interests. Readers are welcome to comment on the online version of the paper. Correspondence and requests for materials should be addressed to J. H. S. (j.smet@fkf.mpg.de) or U. K. (ute.kaiser@uni-ulm.de).
**Figure 1 | Device layout and working principle.** a, Schematic of the device (not to scale). Bilayer graphene (black) on a substrate (dark grey) is contacted by several metallic electrodes (bright grey). A Li-ion (white spheres) conducting electrolyte (yellow) connects the bilayer with a metallic counter electrode. On the order of 50 µm away from its electrolyte-covered end, bilayer graphene is partially suspended over a hole allowing for transmission electron microscopy (TEM) investigations (electron beam illustrated in blue). b, Schematic side view of the pristine device as well as during lithiation and delithiation. Reference to TEM data acquired at the respective state is given. c, Bilayer graphene’s resistivity $\rho_{xx}$ measured *in situ* during two lithiation (L)/delithiation cycles inside the SALVE microscope with the electron beam blanked. As schematically shown in (a), a four point probe configuration serves to reveal reversible changes in the electrolyte-uncovered region of the device.
Figure 2 | *In situ* TEM measurements. a–c, TEM images showing the propagating front of a Li crystal forming inside bilayer graphene during lithiation. The images are acquired on the
same sample area at consecutive times as indicated. Amorphous hydrocarbon adsorbates appear as few nm-large blobs, located above or below the bilayer. The inset in (a) is its Fourier transform. d, Fourier transform of (b). Three sets of spots marked in red, green, and blue are rotated relative to each other as they stem from three different Li crystal grains. The cross-shaped streaks are edge artefacts from the Fourier transform. e, Spatial distribution of the Li grains in (b) with the colour coding from (d). f, von Hann-filtered Fourier transform of (b). Fourier transforms are point symmetric, therefore, marks on the left side do not mask information. Signals from bilayer graphene (Li) are highlighted in cyan (green); (the origin of) Moiré artefacts are highlighted in (bold) magenta. The anisotropic smearing of the Li signals is due to the sharp propagation front. Signals originating from the grains coloured red and blue in e are dampened away by the applied von Hann filter. Half circles represent the fundamental periodicities of 0.213 nm for graphene (cyan) and 0.276 nm for Li (green). g, Fourier-filtered version of (b) where the graphene lattice, as well as the Moiré effects, are filtered out and only the Li crystal structure is left. The contrast at the edge of the figure is an artefact from the Fourier filter. h, i, Magnified detail from the demarcated areas in b and g respectively, showing the Li crystal edge. Scale bars of equal size are coloured identically but labelled only once in (a–g). j, EELS data with logarithmic intensity scale before (blue) and during (yellow) lithiation acquired on an area as in (a) and (c), respectively. Highlighted are the energies of the relevant major edges. Insets show the near-edge structure of the Li and C K-edges on a linear intensity scale and after individual subtraction of an inverse-power law background. Note that EELS data get noisy at high energies because of the exponential decay of the signal.
Figure 3 | Atomistic models of Li crystals between AB-stacked graphene sheets obtained from DFT calculations. **a, b**, The ‘conventional’ $C_6LiC_6$ configuration with Li arranged in a commensurate $(\sqrt{3} \times \sqrt{3})R30^\circ$ superstructure between graphene sheets. **c, d**, Fully optimized bilayer Li crystal. **e, f**, Fully optimized trilayer Li crystal (one of two energetically close stacking configurations, compare with Extended Data Fig. 8d). The projection of the latter two structures matches well the experimental observations. **(a, c, e)** are top views and **(b, d, f)** are side views along the dashed line given in the respective top view. C atoms and $sp^2$-bonds are grey, Li atoms are magenta. The insets in **(a, c, e)** schematically show diffraction patterns associated with the respective structure (scale bar is 2 nm$^{-1}$), with first order diffraction spots from the Li (C) lattice indicated in magenta (grey). The close-packed Li phase in **(c, e)** may assume any relative rotation angle with respect to the bilayer graphene lattice at virtually no additional energy cost (see Extended Data Fig. 7b). A faint magenta circle indicates that the Li diffraction spots may therefore be rotated with respect to those of graphene. $E_f$ is the energy required to take a Li atom from a bulk Li crystal and insert it between graphene sheets in the corresponding configuration. Contour plots in **(b, d, f)** represent the charge transfer between Li and graphene as compared to the isolated graphene and Li crystals. An increase in the electron density (negative charge) is blue, and a decrease in the electron density (positive charge) is red. Note the smaller average charge transfer to graphene for the multilayer Li crystals.
Figure 4 | Li crystal growth between two graphene sheets. a, b, Time series of TEM images after Fourier filtering of the bilayer graphene signal acquired during (a) lithiation and (b) delithiation. Crystal grains of different in-plane orientation are coloured in red, green, and blue. An increased amount of amorphous, immobilized residues (grey areas, essentially composed of carbon) are present within the field of view in (b), an unavoidable consequence of extended electron beam illumination. In both (a, b) the interface with the electrolyte (not contained in the images) is oriented towards the bottom of the page.